

# Communication Networks

Prof. Laurent Vanbever

## Communication Networks

Spring 2021



Laurent Vanbever  
[nsg.ee.ethz.ch](mailto:nsg.ee.ethz.ch)

ETH Zürich (D-ITET)  
March 15 2021

Last week on  
Communication Networks

We explored the concepts behind **routing**

Last week

routing

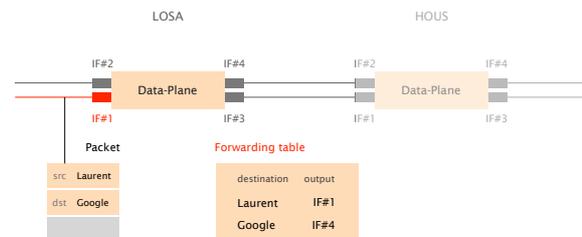
This week

reliable  
delivery

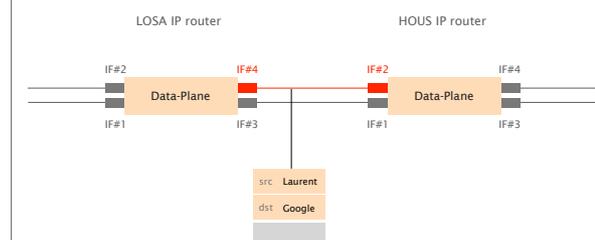
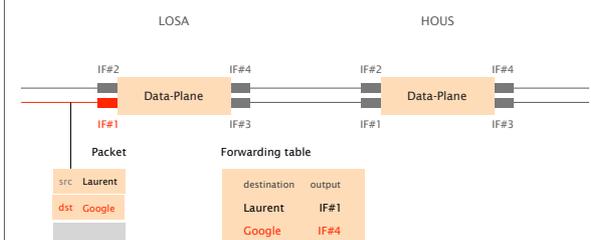
How do you guide **IP packets**  
from a source to destination?



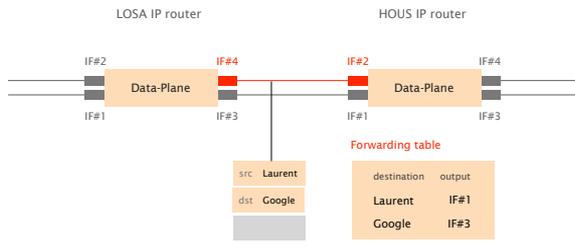
Upon packet reception, routers **locally** look up  
their forwarding table to know where to send it next



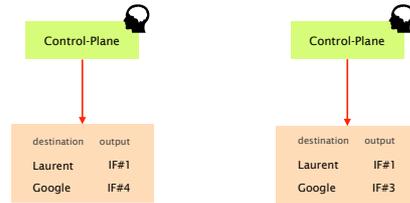
Here, the packet should be directed to **IF#4**



Forwarding is repeated at each router, until the destination is reached



Routing is the control-plane process that **computes** and **populates** the forwarding tables



### Forwarding vs Routing summary

	forwarding	routing
goal	directing packet to an outgoing link	computing the paths packets will follow
scope	local	network-wide
implem.	hardware usually	software always
timescale	nanoseconds	10s of ms hopefully

The goal of routing is to compute valid global forwarding state

definition a global forwarding state is valid if  
**it always** delivers packets to the correct destination

**Theorem** a global forwarding state is valid **if and only if** sufficient and necessary condition

- there are no dead ends  
*i.e.* no outgoing port defined in the table
- there are no loops  
*i.e.* packets going around the same set of nodes

observation 1 Verifying that a forwarding state is valid is **easy**  
observation 2 There are **3 ways** to compute valid forwarding state

There are three ways to compute valid routing state

	Intuition	Example
#1	Use tree-like topologies	Spanning-tree
#2	Rely on a global network view	Link-State SDN
#3	Rely on distributed computation	Distance-Vector BGP

This week on  
Communication Networks

# Communication Networks

Part 2: Concepts



How do you ensure reliable transport on top of best-effort delivery?

In the Internet, reliability is ensured by the end hosts, **not** by the network

The Internet puts reliability in L4, just above the Network layer

**goals** Keep the network simple, dumb  
make it relatively "easy" to build and operate a network

Keep applications as network "unaware" as possible  
a developer should focus on its app, not on the network

**design** Implement reliability in-between, in the networking stack  
relieve the burden from both the app and the network

The Internet puts **reliability in L4**, just above the Network layer



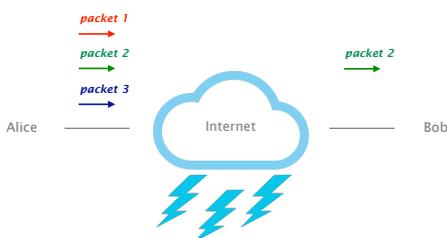
Recall that the Network provides a **best-effort service**, with quite poor guarantees



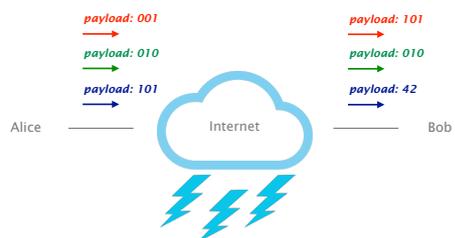
Let's consider a simple communication between two end-points, Alice and Bob

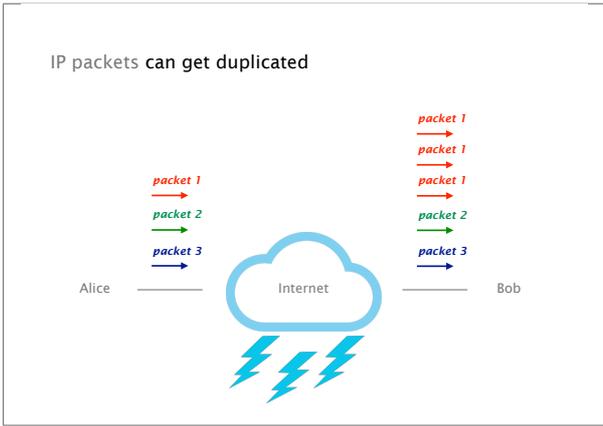
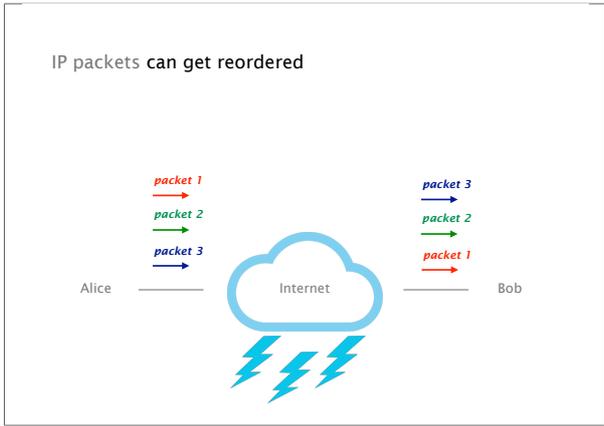


IP packets can get lost or delayed



IP packets can get corrupted





### Reliable Transport

- Correctness condition**  
if-and-only if again
- Design space**  
timeliness vs efficiency vs ...
- Examples**  
Go-Back-N & Selective Repeat

### Reliable Transport

- Correctness condition**  
if-and-only if again
- Design space**  
timeliness vs efficiency vs ...
- Examples**  
Go-Back-N & Selective Repeat

### The four goals of reliable transfer

goals

- correctness** ensure data is delivered, in order, and untouched
- timeliness** minimize time until data is transferred
- efficiency** optimal use of bandwidth
- fairness** play well with concurrent communications

### The four goals of reliable transfer

goals

- correctness** ensure data is delivered, in order, and untouched

### Routing had a clean sufficient and necessary correctness condition

sufficient and necessary condition

**Theorem** a global forwarding state is valid **if and only if**

- there are no dead ends  
no outgoing port defined in the table
- there are no loops  
packets going around the same set of nodes

We need the same kind of “if and only if” condition for a “correct” reliable transport design

A reliable transport design is correct if...

attempt #1    packets are delivered to the receiver

**Wrong**    Consider that the network is partitioned

We cannot say a transport design is *incorrect* if it doesn't work in a partitioned network...

A reliable transport design is correct if...

attempt #2    packets are delivered to receiver if and only if it was possible to deliver them

**Wrong**    If the network is only available one instant in time, only an oracle would know when to send

We cannot say a transport design is *incorrect* if it doesn't know the unknowable

A reliable transport design is correct if...

attempt #3    It resends a packet if and only if the previous packet was lost or corrupted

**Wrong**    Consider two cases

- packet **made it** to the receiver and all packets from receiver were dropped
- packet **is dropped** on the way and all packets from receiver were dropped

A reliable transport design is correct if...

attempt #3    It resends a packet if and only if the previous packet was lost or corrupted

**Wrong**    In both case, the sender has no feedback at all

**Does it resend or not?**

A reliable transport design is correct if...

attempt #3    It resends a packet if and only if the previous packet was lost or corrupted

**Wrong**

**but better** as it refers to what the design does (which it can control), not whether it always succeeds (which it can't)

A reliable transport design is correct if...

attempt #4    A packet is **always resent** if the previous packet was lost or corrupted

A packet **may be resent** at other times

**Correct!**

A transport mechanism is correct if and only if it resends all dropped or corrupted packets

**Sufficient** "if"    algorithm will always keep trying to deliver undelivered packets

**Necessary** "only if"    if it ever let a packet go undelivered without resending it, it isn't reliable

**Note**    it is ok to give up after a while but must announce it to the application

Reliable Transport



**Correctness condition** if-and-only if again

2 **Design space** timeliness vs efficiency vs ...

**Examples** Go-Back-N & Selective Repeat

Now, that we have a correctness condition  
how do we achieve it and with what tradeoffs?

Design a **correct, timely, efficient** and *fair* transport mechanism  
knowing that

packets can get **lost** — let's focus on these aspects first

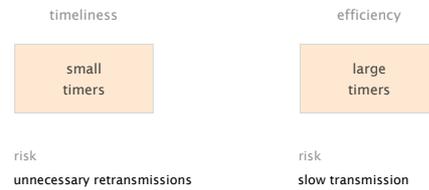
- corrupted
- reordered
- delayed
- duplicate

<p>Alice</p> <p>for word in list: send_packet(word); set_timer();</p> <p>upon timer going off: if no ACK received: send_packet(word); reset_timer();</p> <p>upon ACK: pass;</p>	<p>Bob</p> <p>receive_packet(p); if check(p.payload) == p.checksum: send_ack();</p> <p>if word not delivered: deliver_word(word); else: pass;</p>
---	---

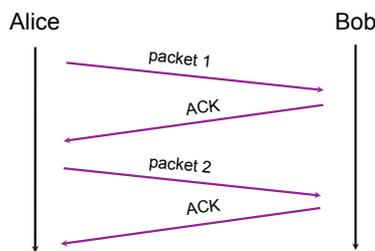
There is a clear tradeoff between timeliness and efficiency  
in the selection of the timeout value

<p>for word in list: send_packet(word); set_timer();</p> <p>upon timer going off: if no ACK received: send_packet(word); reset_timer();</p> <p>upon ACK: pass</p>	<p>receive_packet(p); if check(p.payload) == p.checksum: send_ack();</p> <p>if word not delivered: deliver_word(word); else: pass;</p>
---	--

Timeliness argues for small timers,  
efficiency for large ones

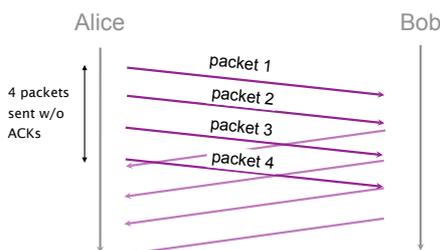


Even with short timers, the timeliness of our protocol is  
extremely poor: one packet per Round-Trip Time (RTT)

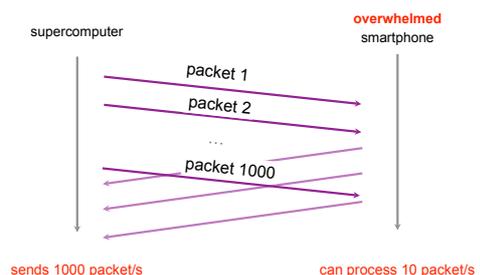


An obvious solution to improve timeliness is  
to send multiple packets at the same time

approach	add sequence number inside each packet
	add buffers to the sender and receiver
sender	store packets sent & not acknowledged
receiver	store out-of-sequence packets received



Sending multiple packets improves timeliness,  
but it can also overwhelm the receiver



To solve this issue, we need a mechanism for **flow control**

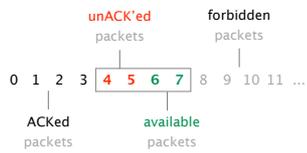
Using a sliding window is one way to do that

Sender keeps a list of the sequence # it can send known as the *sending window*

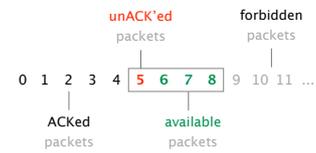
Receiver also keeps a list of the acceptable sequence # known as the *receiving window*

Sender and receiver negotiate the window size  
 $sending\ window \leq receiving\ window$

Example with a window composed of 4 packets

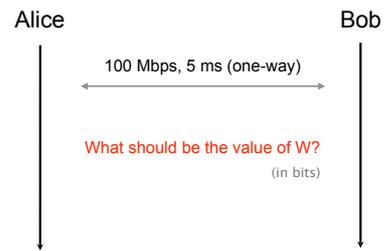


Window after sender receives ACK 4



Timeliness of the window protocol depends on the size of the sending window

Assuming infinite buffers, how big should the window be to maximize timeliness?



Timeliness matters, but what about **efficiency**?

The efficiency of our protocol essentially depends on two factors

receiver feedback

How much information does the sender get?

behavior upon losses

How does the sender detect and react to losses?

The efficiency of our protocol essentially depends on two factors

receiver feedback

behavior upon losses

How much information does the sender get?

ACKing individual packets provides detailed feedback, but triggers unnecessary retransmission upon losses

advantages

know fate of each packet

simple window algorithm  
W single-packet algorithms

not sensitive to reordering

disadvantages

loss of an ACK packet requires a retransmission  
causes unnecessary retransmission

Cumulative ACKs enables to recover from lost ACKs, but provides coarse-grained information to the sender

approach ACK the highest sequence number for which all the previous packets have been received

advantages recover from lost ACKs

disadvantages confused by reordering  
incomplete information about which packets have arrived  
causes unnecessary retransmission

Full Information Feedback prevents unnecessary retransmission, but can induce a sizable overhead

approach List all packets that have been received  
highest cumulative ACK, plus any additional packets

advantages complete information  
resilient form of individual ACKs

disadvantages overhead (hence lowering efficiency)  
e.g., when large gaps between received packets

We see that Internet design is all about balancing tradeoffs (again)

The efficiency of our protocol essentially depends on two factors

receiver feedback

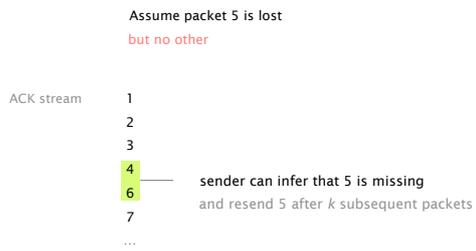
behavior upon losses

How does the sender detect and react to losses?

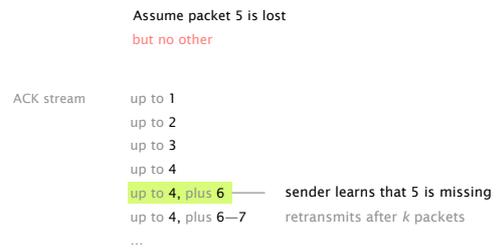
As of now, we detect loss by using timers. That's only one way though

Losses can also be detected by relying on ACKs

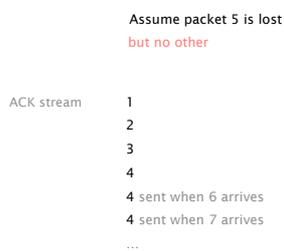
With individual ACKs,  
missing packets (gaps) are implicit



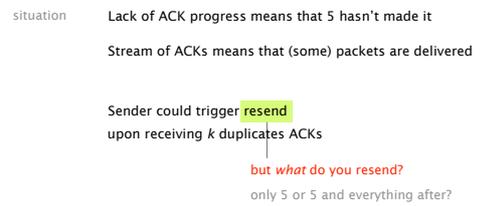
With full information,  
missing packets (gaps) are explicit



With cumulative ACKs,  
missing packets are harder to know



Duplicated ACKs are a sign of isolated losses.  
Dealing with them is trickier though.



What about fairness?

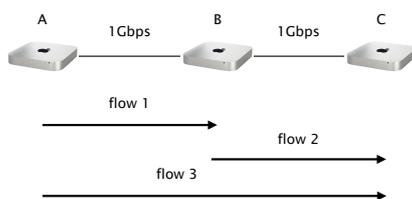
Design a *correct, timely, efficient* and **fair** transport mechanism  
knowing that

packets can get

- lost
- corrupted
- reordered
- delayed
- duplicated

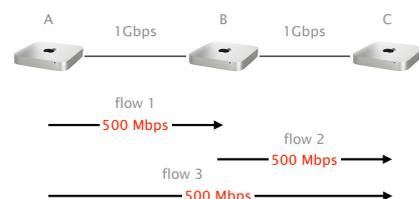
When  $n$  entities are using our transport mechanism,  
we want a **fair** allocation of the available bandwidth

Consider this simple network  
in which three hosts are sharing two links



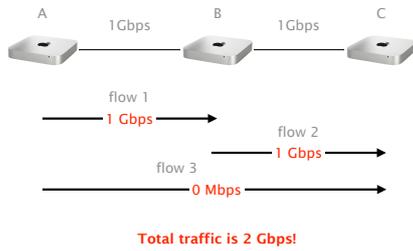
What is a fair allocation for the 3 flows?

An equal allocation is certainly "fair",  
but what about the efficiency of the network?



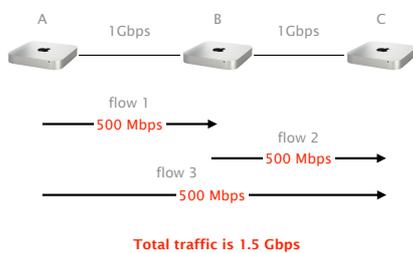
Total traffic is 1.5 Gbps

Fairness and efficiency don't always play along, here an unfair allocation ends up *more efficient*



What is fair anyway?

Equal-per-flow isn't really fair as (A,C) crosses two links: it uses *more resources*



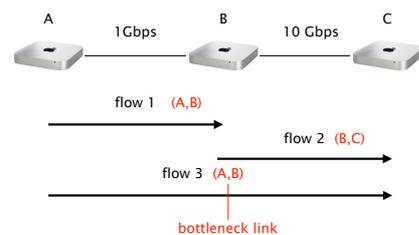
With equal-per-flow, A ends up with 1 Gbps because it sends 2 flows, while B ends up with 500 Mbps

Is it fair?

Seeking an exact notion of fairness is not productive. What matters is to avoid **starvation**.

equal-per-flow is good enough for this

Simply dividing the available bandwidth doesn't work in practice since flows can see different bottleneck



Intuitively, we want to give users with "small" demands what they want, and evenly distribute the rest

**Max-min fair allocation** is such that

the lowest demand is maximized

after the lowest demand has been satisfied, the second lowest demand is maximized

after the second lowest demand has been satisfied, the third lowest demand is maximized

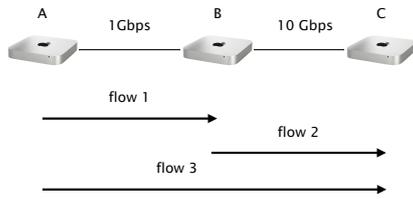
and so on...

Max-min fair allocation can easily be computed

- step 1 Start with all flows at rate 0
- step 2 Increase the flows until there is a new bottleneck in the network
- step 3 Hold the fixed rate of the flows that are bottlenecked
- step 4 Go to step 2 for the remaining flows

**Done!**

Let's try on this network



What's the max-min fair allocation?

Max-min fair allocation can be approximated by slowly increasing  $W$  until a loss is detected

Intuition      Progressively increase the sending window size      max=receiving window  
 Whenever a loss is detected, decrease the window size      signal of congestion  
**Repeat**

Design a *correct, timely, efficient* and *fair* transport mechanism knowing that

packets can get    lost  
                           corrupted  
                           reordered  
                           delayed  
                           duplicated

Dealing with **corruption** is easy:  
 Rely on a checksum, treat corrupted packets as lost

The effect of **reordering** depends on the type of ACKing mechanism used

individual ACKs	no problem
full feedback	no problem
cumm. ACKs	create duplicate ACKs why is it a problem?

Long **delays** can create useless timeouts, for all designs

Packets **duplicates** can lead to duplicate ACKs whose effects will depend on the ACKing mechanism used

individual ACKs	no problem
full feedback	no problem
cumm. ACKs	problematic

Design a *correct, timely, efficient* and *fair* transport mechanism knowing that

packets can get    lost  
                           corrupted  
                           reordered  
                           delayed  
                           duplicated

Here is one correct, timely, efficient and fair transport mechanism

ACKing	full information ACK
retransmission	after timeout after $k$ subsequent ACKs
window management	additive increase upon successful delivery multiple decrease when timeouts

We'll come back to this when we see TCP

## Reliable Transport



Correctness condition  
if-and-only if again

Design space  
timeliness vs efficiency vs ...

3 Examples  
Go-Back-N & Selective Repeat

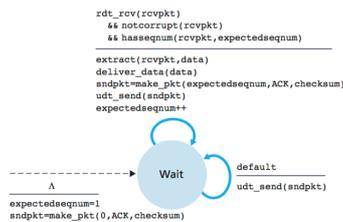
Go-Back-N (GBN) is a simple sliding window protocol using cumulative ACKs

principle	receiver should be as simple as possible
receiver	delivers packets in-order to the upper layer for each received segment, ACK the last in-order packet delivered (cumulative)
sender	use a single timer to detect loss, reset at each new ACK upon timeout, resend all $W$ packets starting with the lost one

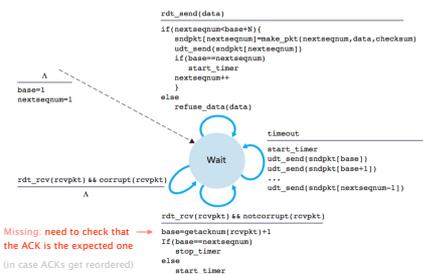
Selective Repeat (SR) avoid unnecessary retransmissions by using per-packet ACKs see Book 3.4.3

principle	avoids unnecessary retransmissions
receiver	acknowledge each packet, in-order or not buffer out-of-order packets
sender	use per-packet timer to detect loss upon loss, only resend the lost packet

Finite State Machine for the receiver  
see Book 3.4.3



Finite State Machine for the sender  
see Book 3.4.3



Let's see how it works in practice visually



[http://www.ccs-labs.org/teaching/rn/animations/gbn\\_sr/](http://www.ccs-labs.org/teaching/rn/animations/gbn_sr/)

## Reliable Transport



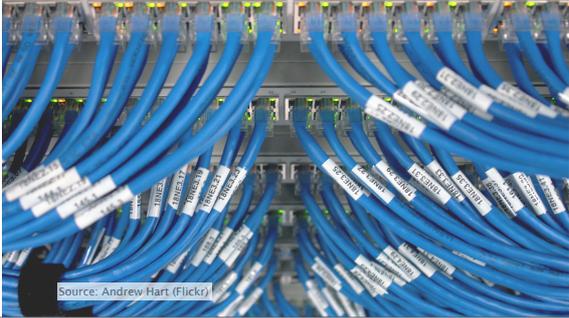
Correctness condition  
if-and-only if again

Design space  
timeliness vs efficiency vs ...

Examples  
Go-Back-N & Selective Repeat

Next week on Communication Networks

## Ethernet and Switching



# Communication Networks

Spring 2021



Laurent Vanbever  
[nsg.ee.ethz.ch](mailto:nsg.ee.ethz.ch)

ETH Zürich (D-ITET)  
March 15 2021