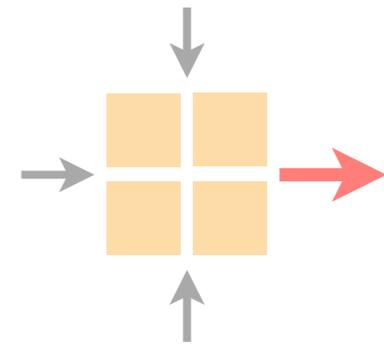


# Communication Networks

Spring 2019



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[nsg.ee.ethz.ch](http://nsg.ee.ethz.ch)

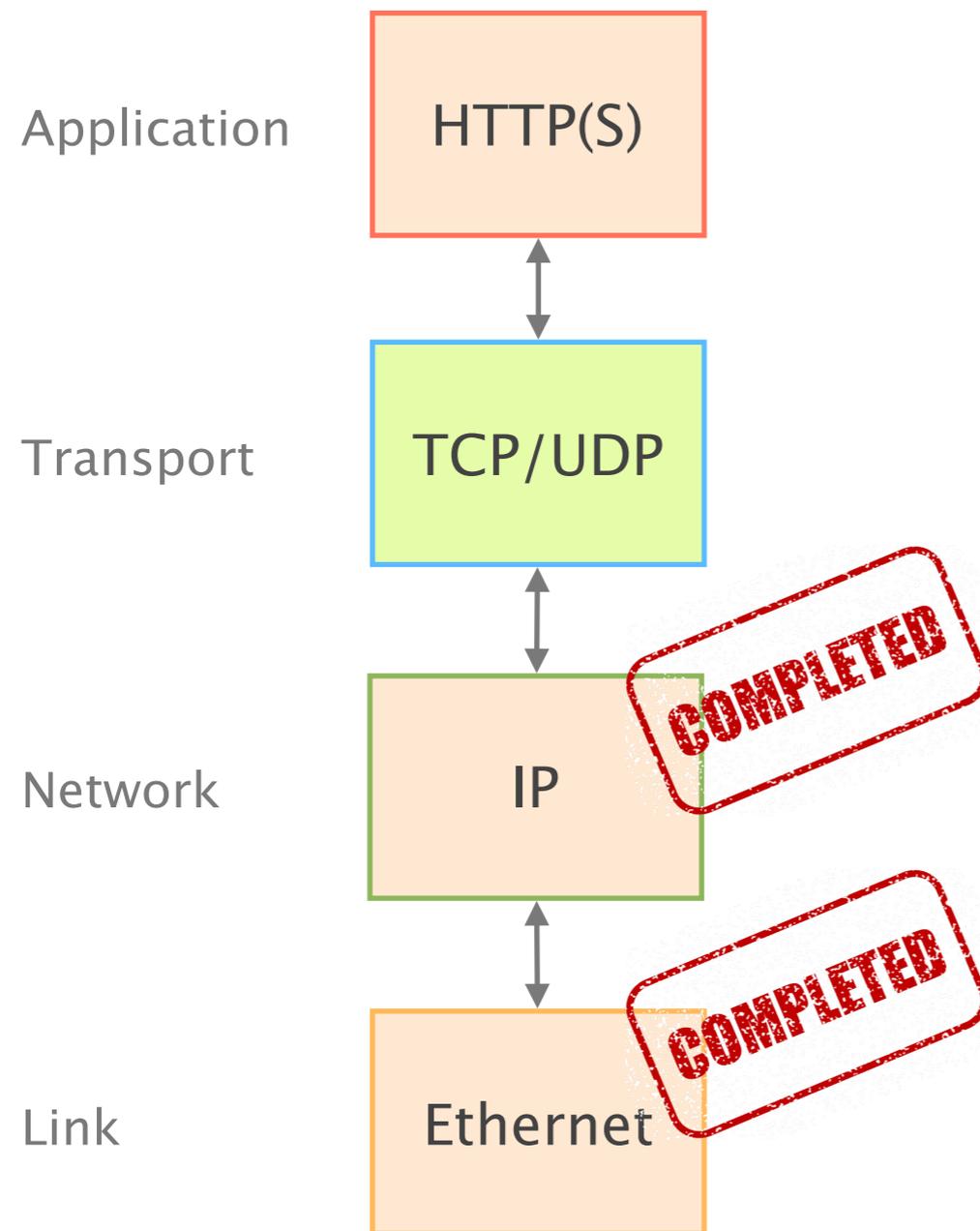
ETH Zürich (D-ITET)

April 15 2019

Materials inspired from Scott Shenker & Jennifer Rexford

Last week on  
**Communication Networks**

We started to look at **the transport layer**



# What Problems Should Be Solved Here?

Data delivering, to the *correct* application

- IP just points towards next protocol
- *Transport needs to demultiplex incoming data (ports)*

Files or bytestreams abstractions for the applications

- Network deals with packets
- *Transport layer needs to translate between them*

Reliable transfer (if needed)

Not overloading the receiver

Not overloading the network

# What Is Needed to Address These?

*Demultiplexing:* identifier for application process

- Going from host-to-host (IP) to process-to-process

*Translating between bytestreams and packets:*

- Do segmentation and reassembly

*Reliability:* ACKs and all that stuff

*Corruption:* Checksum

*Not overloading receiver:* “Flow Control”

- Limit data in receiver’s buffer

*Not overloading network:* “Congestion Control”

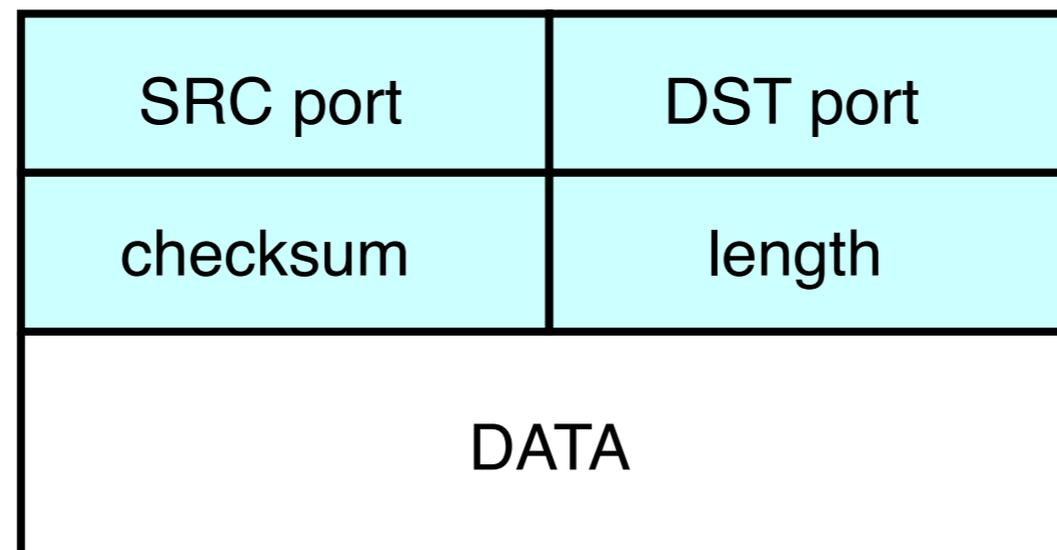
# UDP: User Datagram Protocol

Lightweight communication between processes

- Avoid overhead and delays of ordered, reliable delivery
- Send messages to and receive them from a socket

UDP described in RFC 768 – (1980!)

- IP plus port numbers to support (de)multiplexing
- Optional error checking on the packet contents
- (checksum field = 0 means “don’t verify checksum”)



# Transmission Control Protocol (TCP)

Reliable, in-order delivery

- Ensures byte stream (eventually) arrives intact
  - In the presence of **corruption** and **loss**

Connection oriented

- Explicit set-up and tear-down of TCP session

Full duplex stream-of-bytes service

- Sends and receives a stream of bytes, not messages

Flow control

- Ensures that sender doesn't overwhelm receiver

Congestion control

- Dynamic adaptation to network path's capacity

# TCP Header

Source port		Destination port	
Sequence number			
Acknowledgment			
HdrLen	0	Flags	Advertised window
Checksum		Urgent pointer	
Options (variable)			
Data			

**This week on**  
**Communication Networks**

Congestion  
Control



DNS

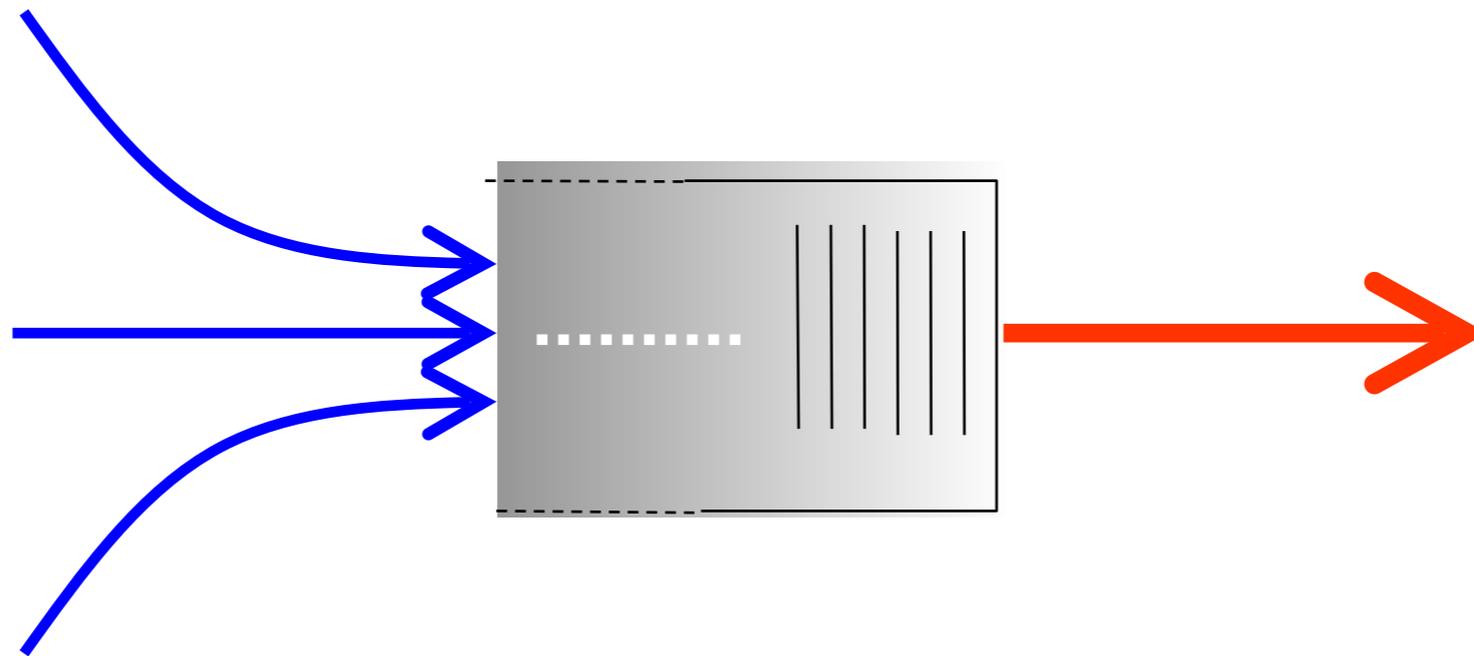
google.ch ↔ 172.217.16.131

Congestion  
Control

DNS



Because of traffic burstiness and lack of BW reservation,  
congestion is inevitable



If many packets arrive within  
a short period of time  
the node cannot keep up anymore

Congestion is harmful

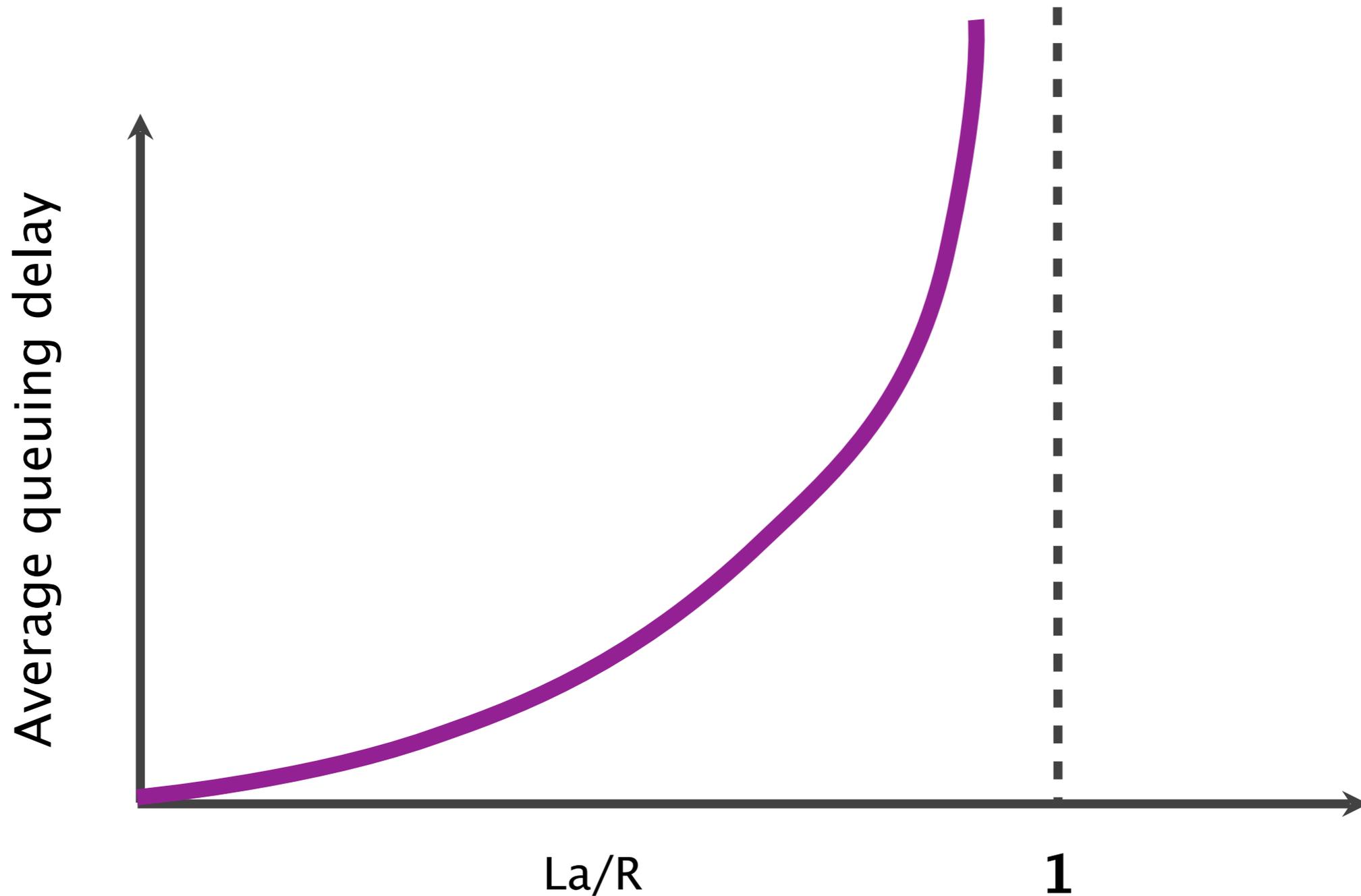
average packet arrival rate	$a$	[packet/sec]
transmission rate of outgoing link	$R$	[bit/sec]
fixed packets length	$L$	[bit
average bits arrival rate	$La$	[bit/sec]
traffic intensity	$La/R$	

When the **traffic intensity is  $>1$** , the queue will increase without bound, and so does the queuing delay

Golden rule

Design your queuing system,  
so that it operates far from that point

When the **traffic intensity** is  $\leq 1$ ,  
queueing delay depends on the burst size



# Congestion is **not a new problem**

The Internet almost died of congestion in 1986  
throughput collapsed from 32 Kbps to... 40 bps

Van Jacobson saved us with Congestion Control  
his solution went right into BSD

Recent resurgence of research interest after brief lag  
new methods (ML), context (Data centers), requirements

The Internet almost died of congestion in 1986  
throughput collapsed from 32 Kbps to... 40 bps

original  
behavior

On connection,  
nodes send full window of packets

Upon timer expiration,  
retransmit packet immediately

meaning

sending rate only limited by flow control

net effect

window-sized burst of packets

Increase in network load results in  
a **decrease** of useful work done

Sudden load increased the round-trip time (RTT)  
faster than the hosts' measurements of it

As RTT exceeds the maximum retransmission interval,  
hosts begin to retransmit packets

Hosts are sending each packet several times,  
eventually some copies arrive at the destination.

This phenomenon is known as **congestion collapse**

**Knee**

point after which

throughput

increases

slowly

delay

increases

quickly

**Cliff**

point after which

throughput

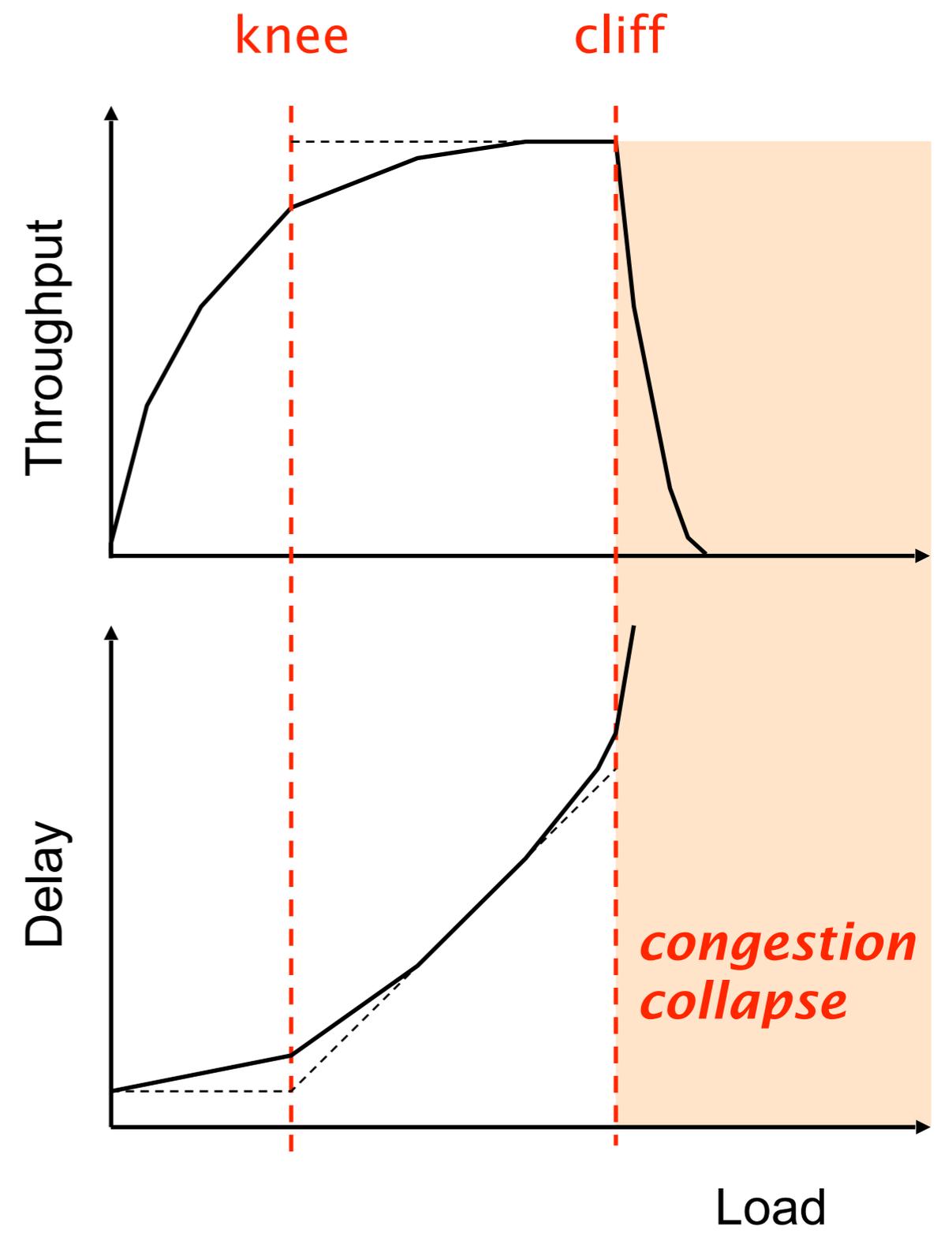
decreases

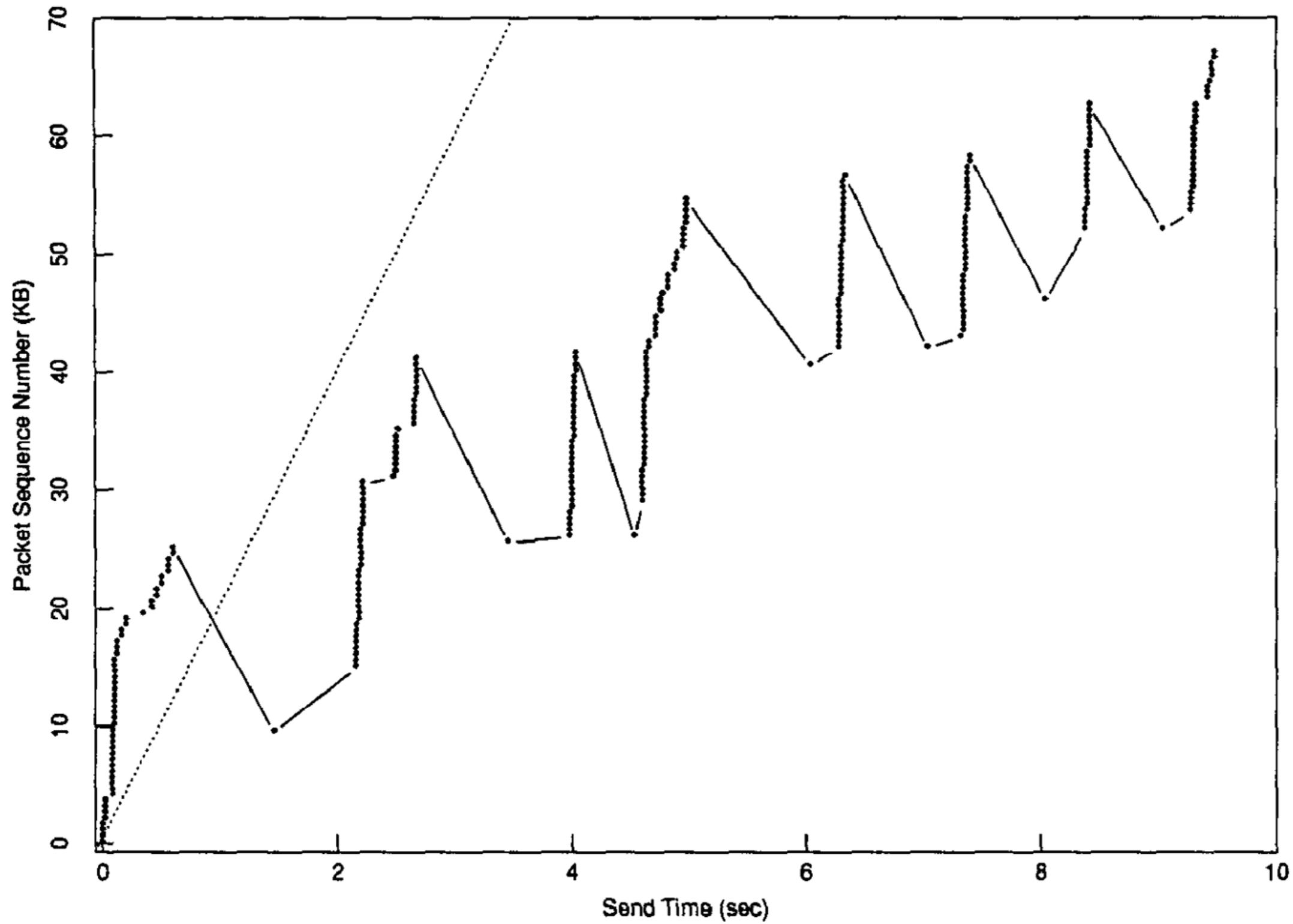
quickly

delay

tends to

infinity





Van Jacobson saved us with **Congestion Control**  
his solution went right into BSD

# Congestion control aims at solving three problems

- #1      bandwidth  
         **estimation**              How to adjust the bandwidth of a single flow to the bottleneck bandwidth?  
  
   could be 1 Mbps or 1 Gbps...
- #2      bandwidth  
         **adaptation**              How to adjust the bandwidth of a single flow to variation of the bottleneck bandwidth?
- #3      **fairness**                  How to share bandwidth "fairly" among flows, without overloading the network

# Congestion control differs from flow control

both are provided by TCP though

Flow control

prevents one fast sender from overloading **a slow receiver**

Congestion control

prevents a set of senders from overloading **the network**

# TCP solves both using two distinct windows

Flow control

prevents one fast sender from  
overloading a slow receiver

solved using a **receiving window**

Congestion control

prevents a set of senders from  
overloading the network

solved using a **“congestion” window**

# The sender adapts its sending rate based on these two windows

Receiving Window

**RWND**

How many bytes can be sent without overflowing the receiver buffer?

based on the receiver input

Congestion Window

**CWND**

How many bytes can be sent without overflowing the routers?

based on network conditions

Sender Window

minimum(**CWND**, **RWND**)

# The 2 key mechanisms of Congestion Control

detecting  
congestion

reacting to  
congestion

# The 2 key mechanisms of Congestion Control

detecting  
congestion

reacting to  
congestion

# There are essentially three ways to detect congestion

Approach #1

Network could tell the source  
but signal itself could be lost

Approach #2

Measure packet delay  
but signal is noisy  
delay often varies considerably

Approach #3

Measure packet loss  
fail-safe signal that TCP already has to detect

**Packet dropping is the best solution**

delay- and signaling-based methods are hard & risky

Approach #3

Measure packet loss

fail-safe signal that TCP already has to detect

Detecting losses can be done using ACKs or timeouts,  
the two signals differ in their degree of severity

duplicate ACKs

**mild** congestion signal

packets are still making it

timeout

**severe** congestion signal

multiple consequent losses

# The 2 key mechanisms of Congestion Control

detecting  
congestion

reacting to  
congestion

TCP approach is to **gently increase** when not congested  
and to **rapidly decrease** when congested

question

What **increase/decrease function**  
should we use?

it depends on the problem we are solving...

# Remember that Congestion Control aims at solving three problems

- #1      bandwidth  
         **estimation**              How to adjust the bandwidth of a single flow to the bottleneck bandwidth?  
  
   could be 1 Mbps or 1 Gbps...
- #2      bandwidth  
         **adaptation**              How to adjust the bandwidth of a single flow to variation of the bottleneck bandwidth?
- #3      **fairness**                  How to share bandwidth "fairly" among flows, without overloading the network

#1 bandwidth  
estimation

How to adjust the bandwidth of a single flow  
to the bottleneck bandwidth?

could be 1 Mbps or 1 Gbps...

The goal here is to quickly get a first-order estimate of the available bandwidth

Intuition

Start slow but rapidly increase until a packet drop occurs

Increase policy

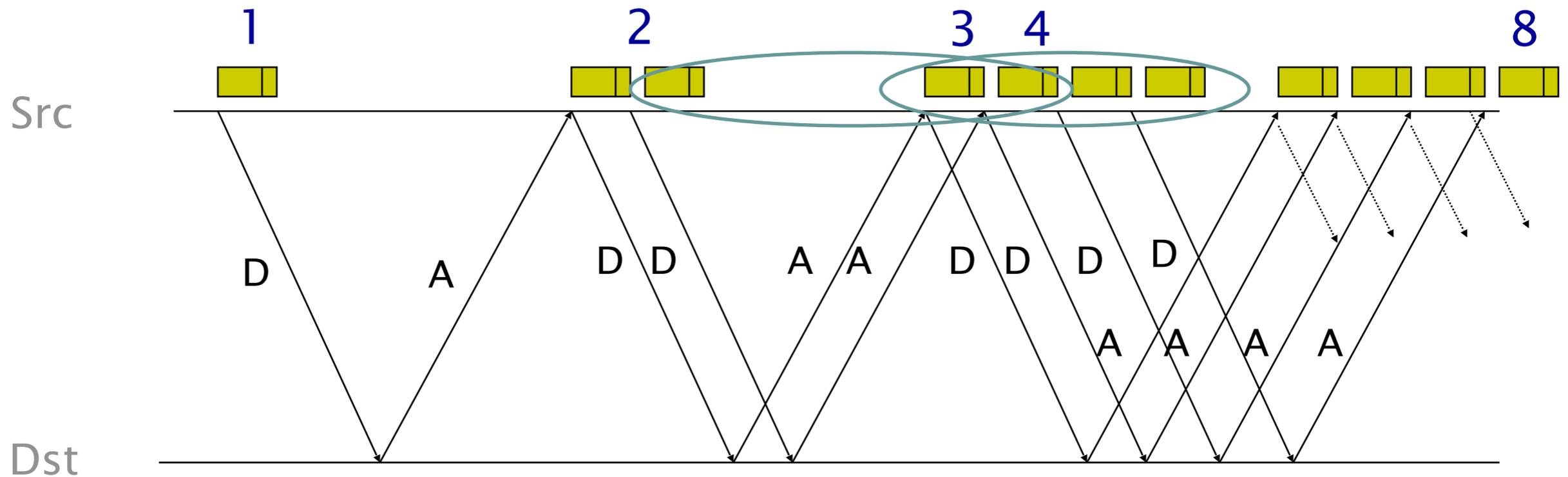
$\text{cwnd} = 1$

initially

$\text{cwnd} += 1$

upon receipt of an ACK

This increase phase, known as slow start, corresponds to an... exponential increase of CWND!



slow start is called like this only because of starting point

# The problem with slow start is that it can result in a full window of packet losses

Example

Assume that CWND is just enough to “fill the pipe”

After one RTT, CWND has doubled

All the excess packets are now dropped

Solution

We need a more gentle adjustment algorithm

once we have a rough estimate of the bandwidth

#2      bandwidth  
          adaptation

How to adjust the bandwidth of a single flow to variation of the bottleneck bandwidth?

The goal here is to track the available bandwidth,  
and oscillate around its current value

Two possible variations

- Multiplicative Increase or Decrease

$$cwnd = a * cwnd$$

- Additive Increase or Decrease

$$cwnd = b + cwnd$$

... leading to four alternative design

increase  
behavior

decrease  
behavior

AIAD

gentle

gentle

AIMD

gentle

aggressive

MIAD

aggressive

gentle

MIMD

aggressive

aggressive

To select one scheme, we need to consider  
the 3rd problem: **fairness**

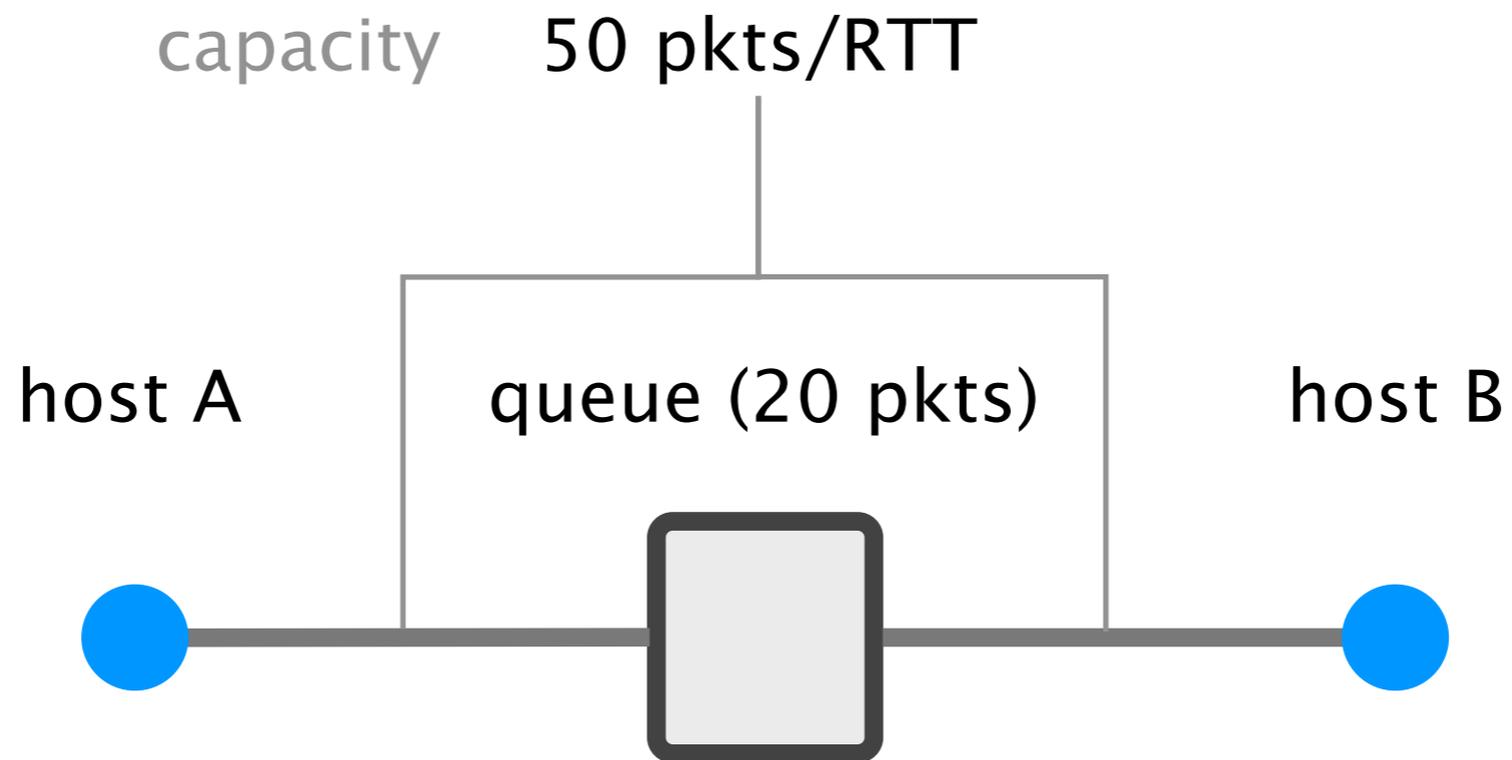
	increase behavior	decrease behavior
AIAD	gentle	gentle
AIMD	gentle	aggressive
MIAD	aggressive	gentle
MIMD	aggressive	aggressive

#3 **fairness**

How to share bandwidth "fairly" among flows,  
without overloading the network

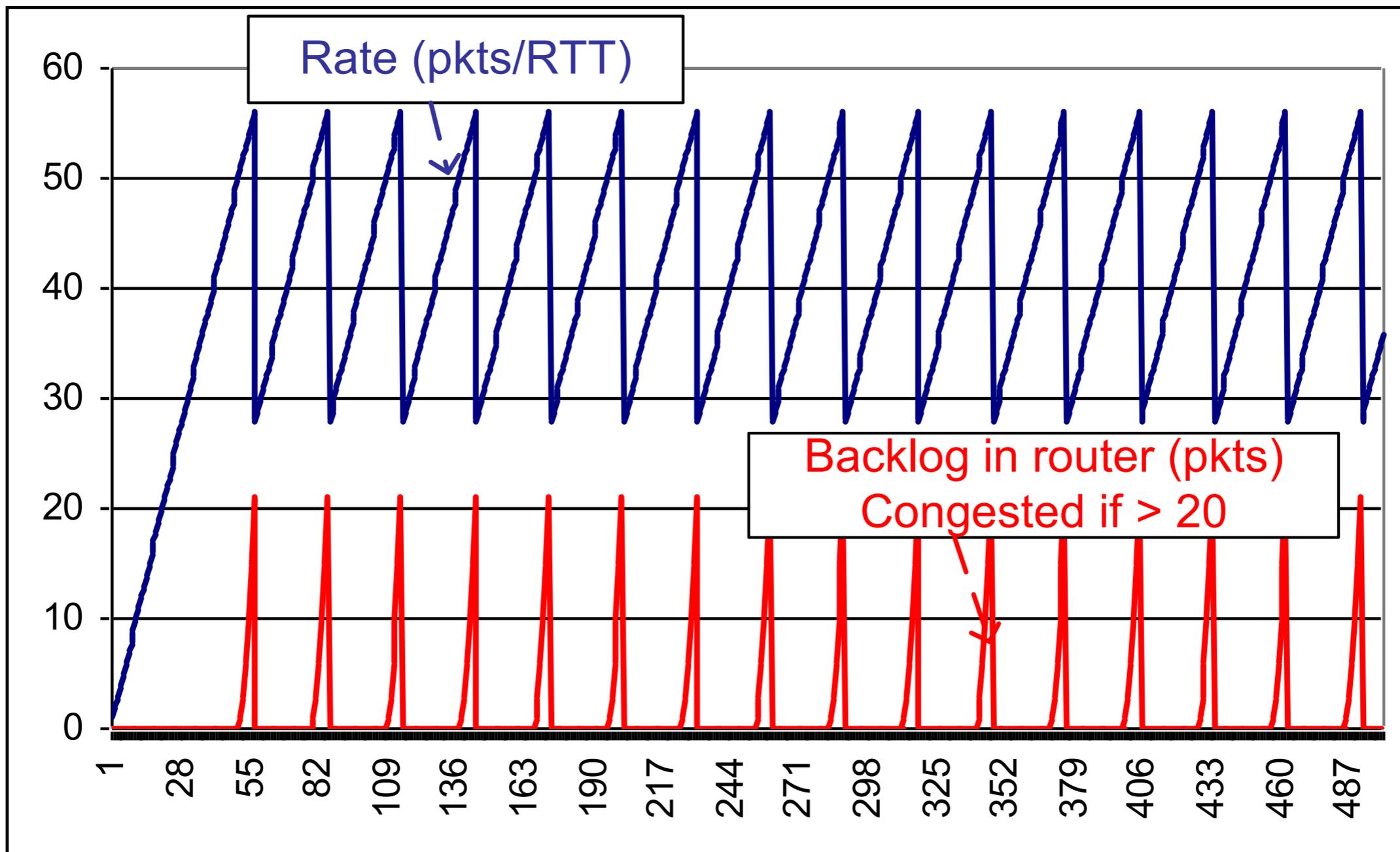
TCP notion of fairness: 2 identical flows  
should end up with the same bandwidth

Consider first a single flow between A and B  
and AIMD

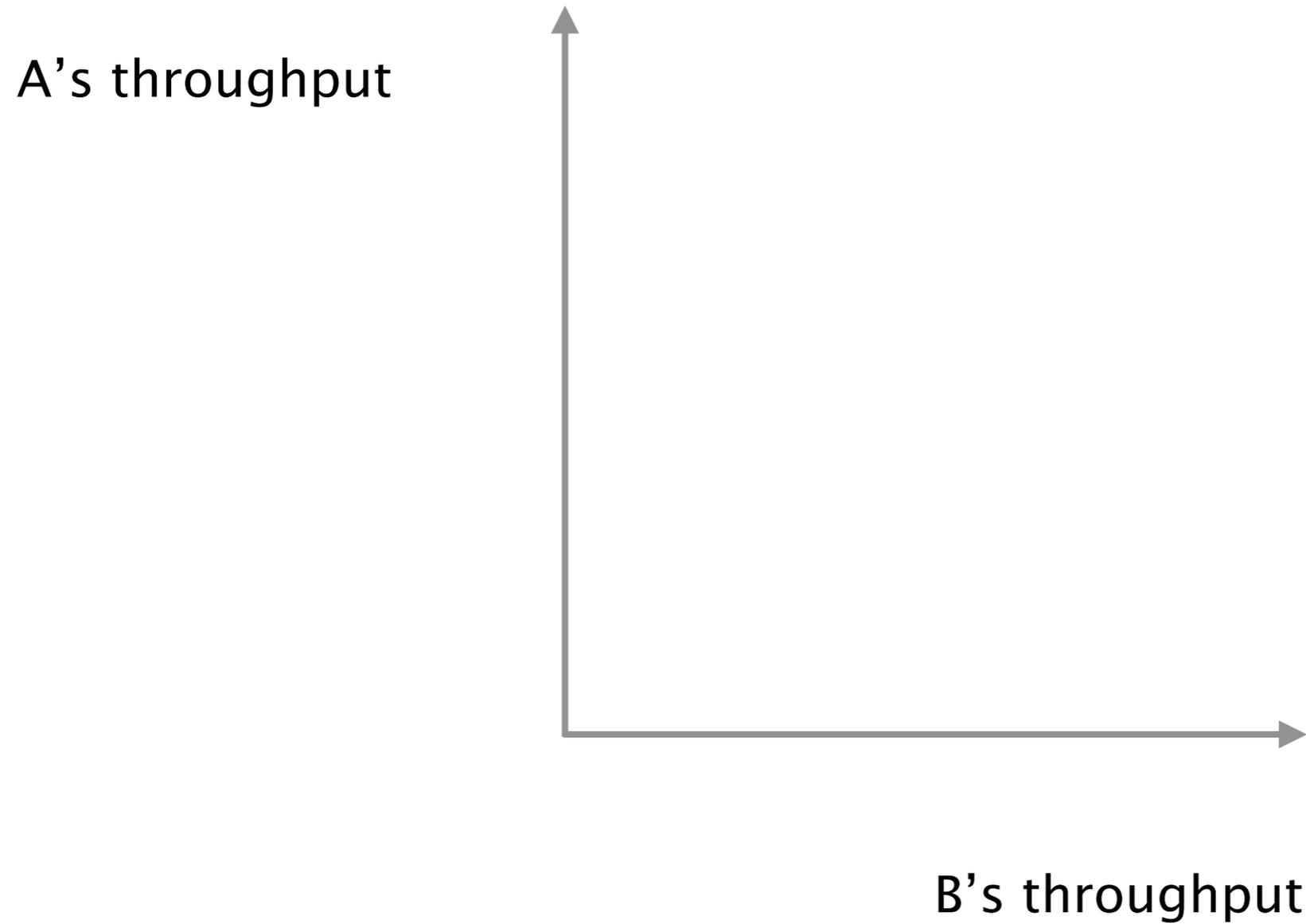


without congestion  
upon congestion

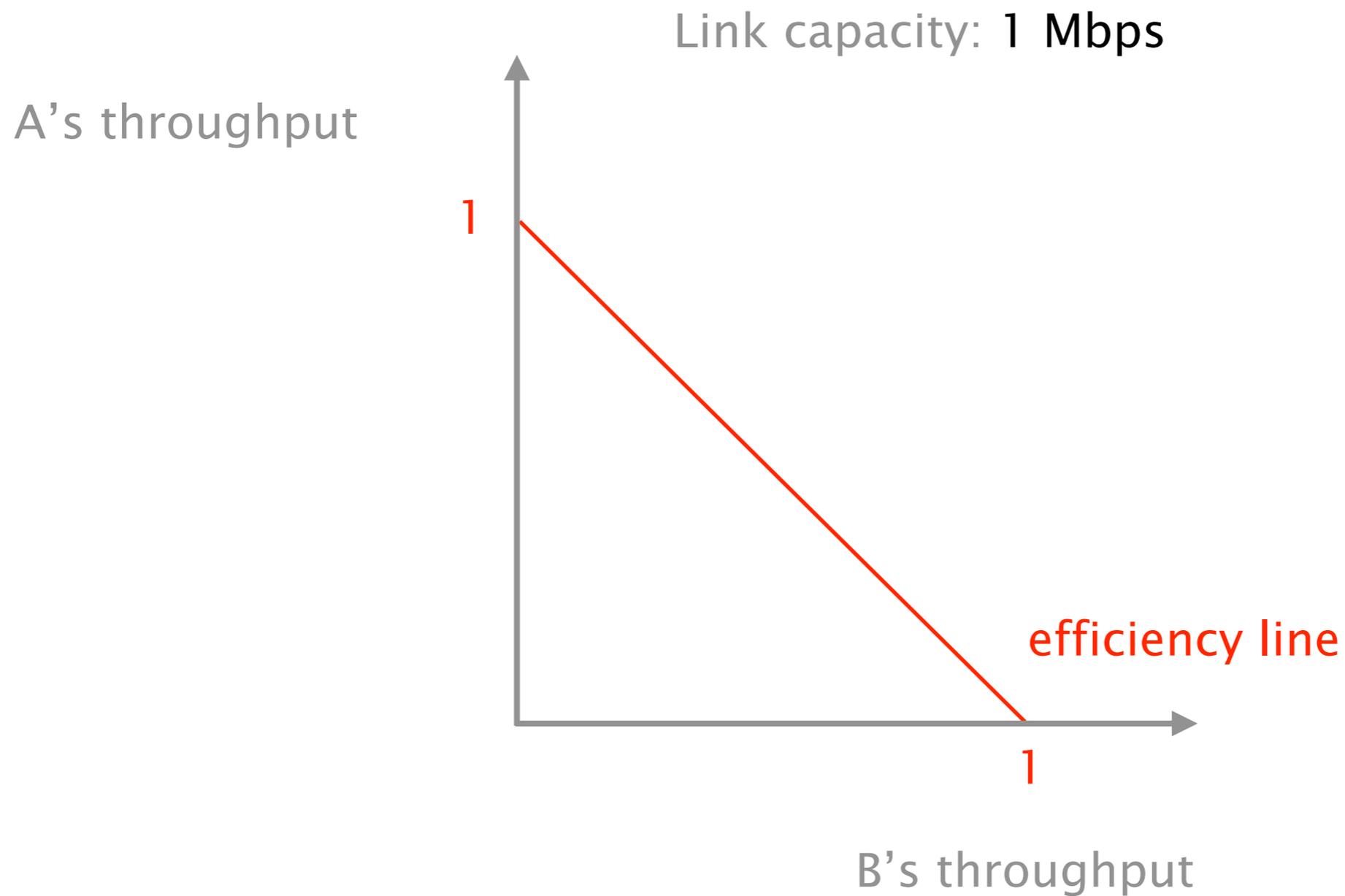
CWND increases by one packet every ACK  
CWND decreases by a factor 2



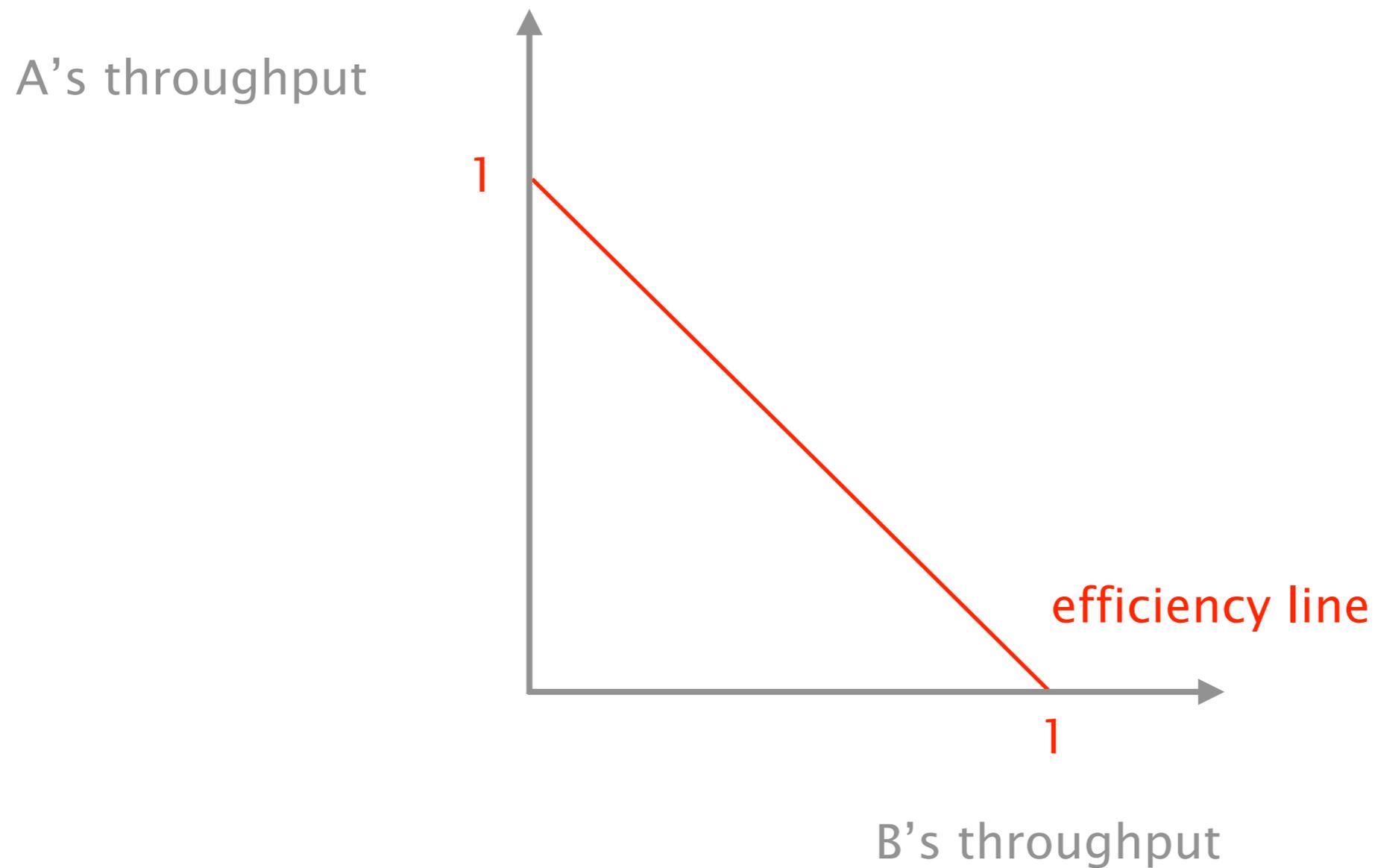
We can analyze the system behavior using a system trajectory plot



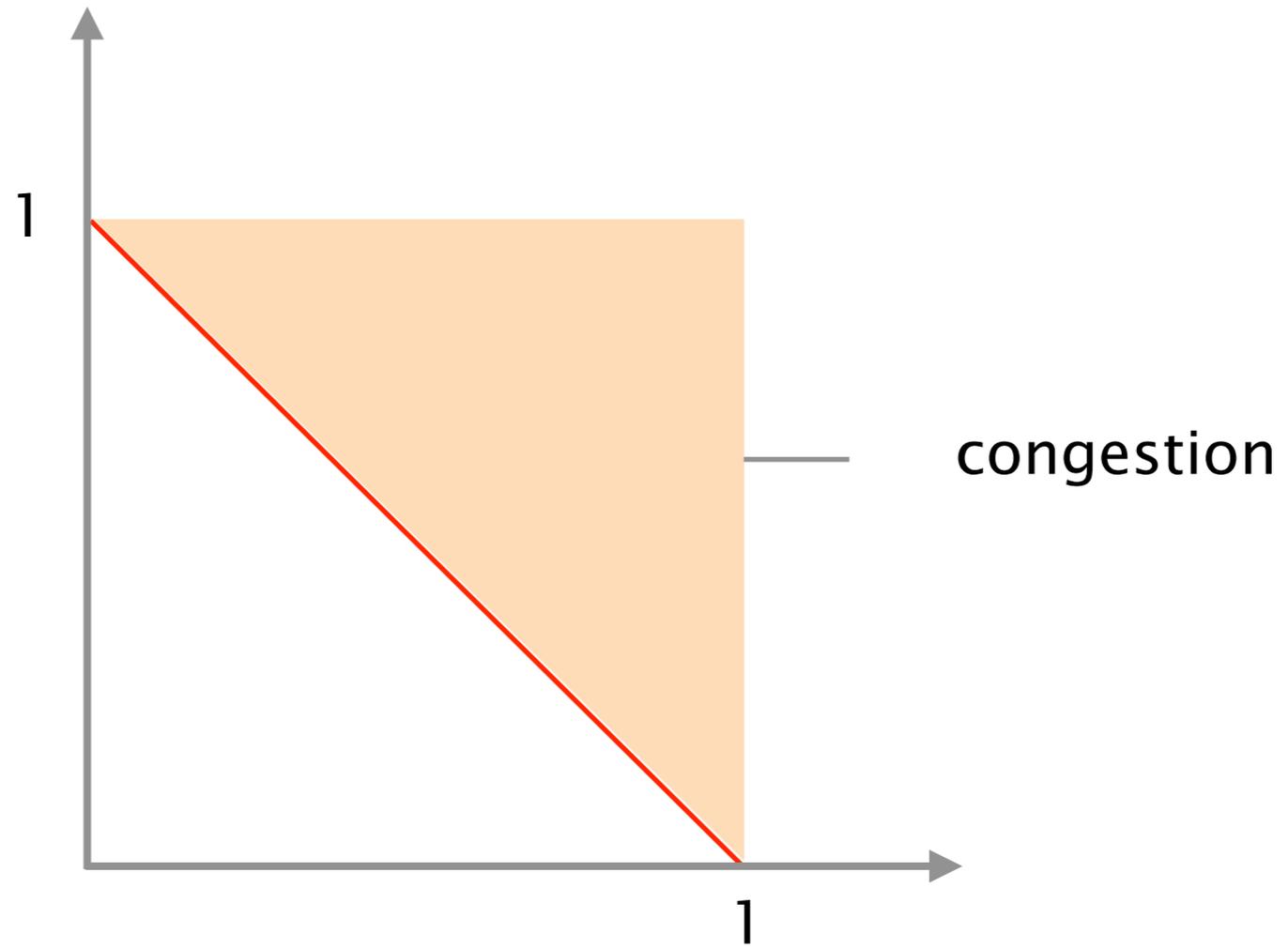
The system is efficient if the capacity is fully used, defining an **efficiency line** where  $a + b = 1$



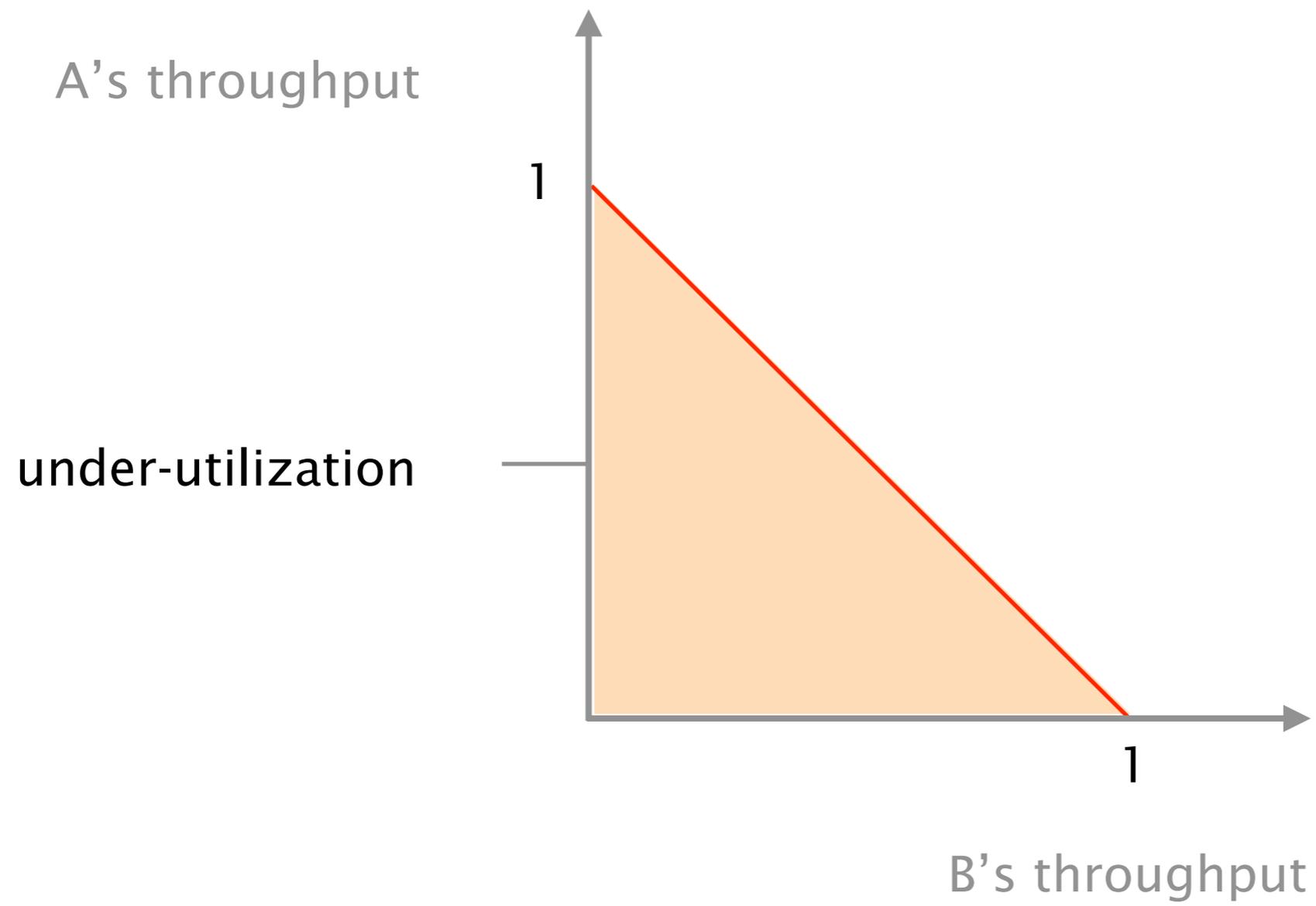
The goal of congestion control is to bring the system as close as possible to this line, and stay there



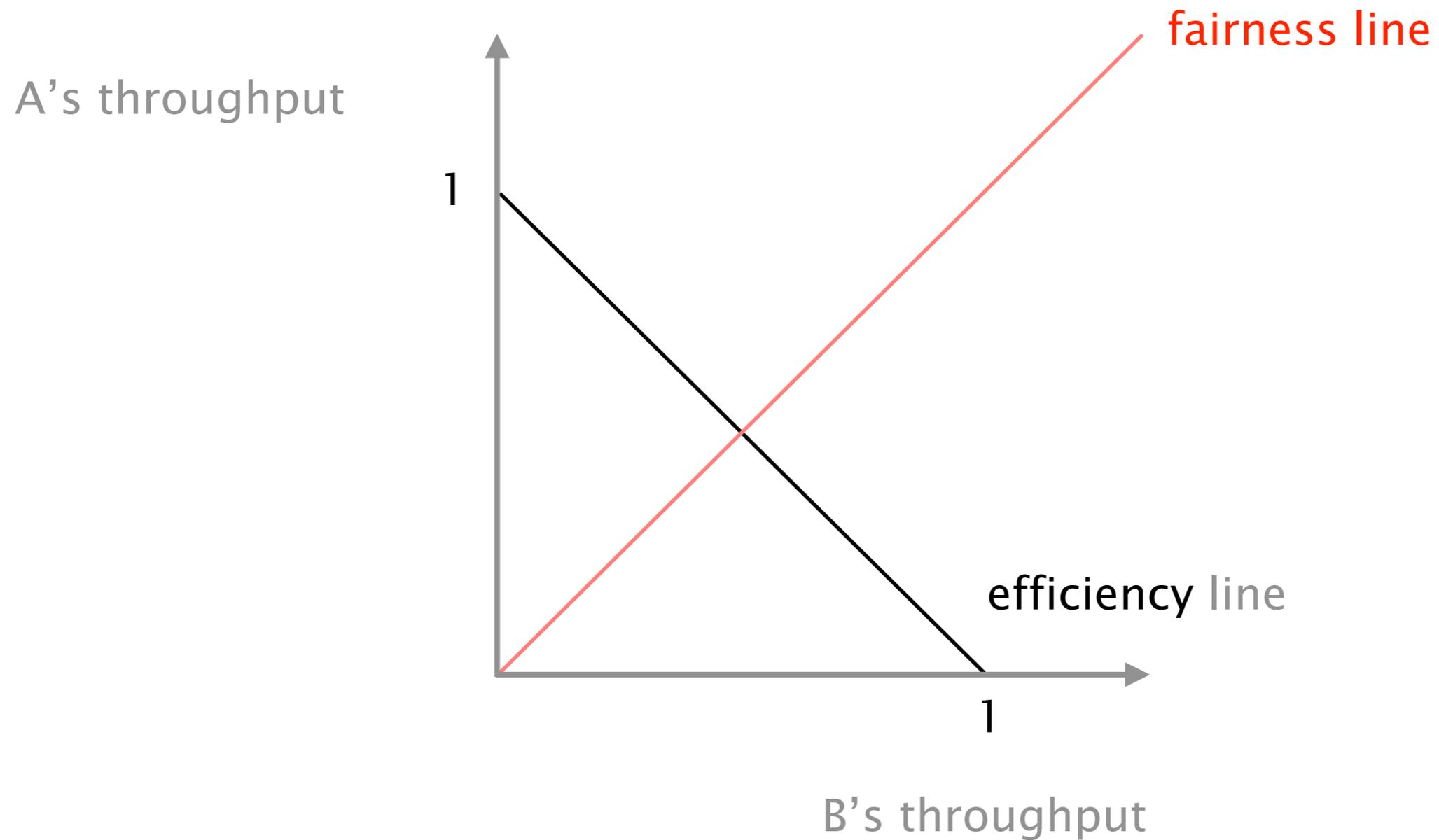
A's throughput

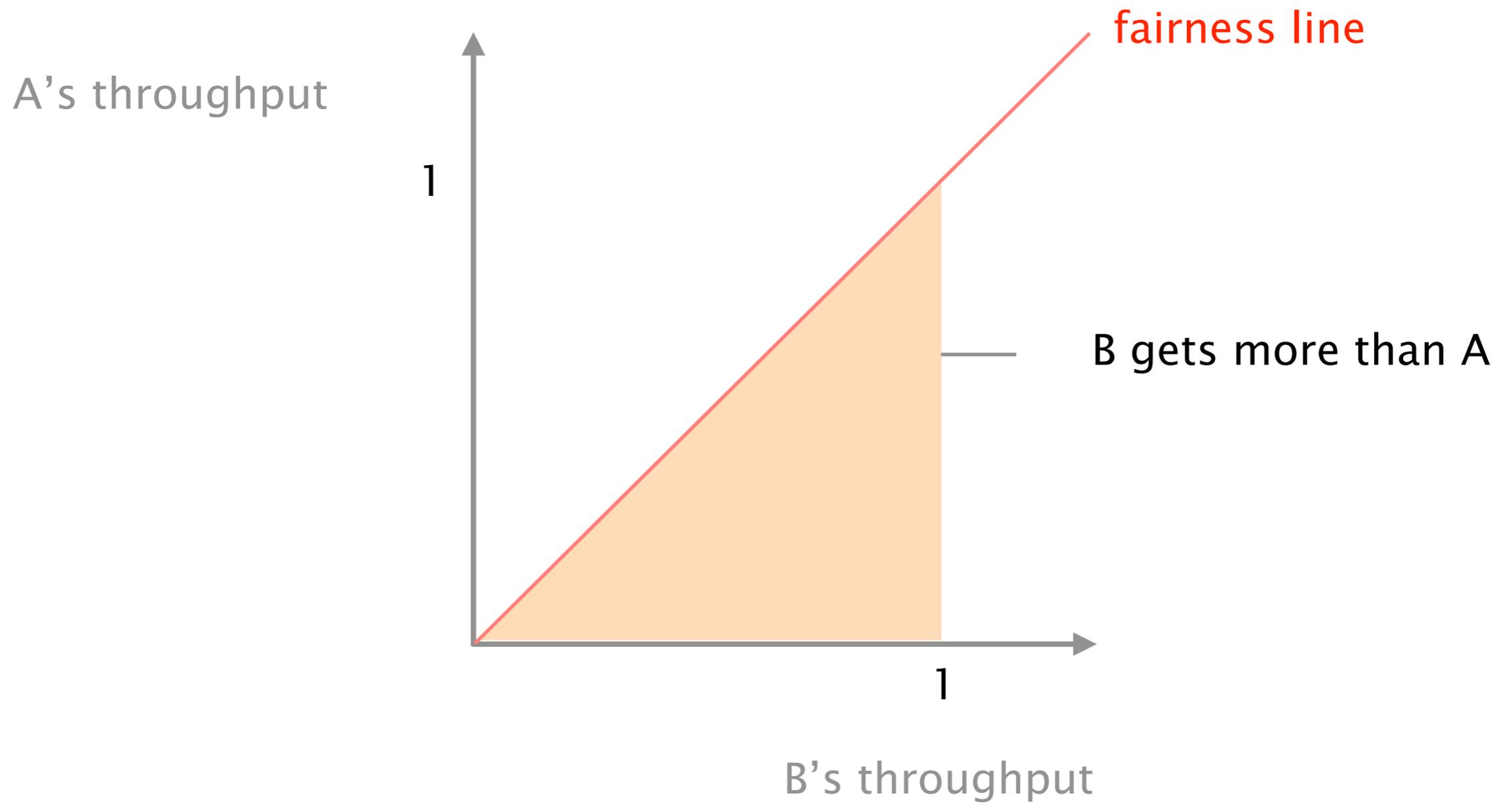


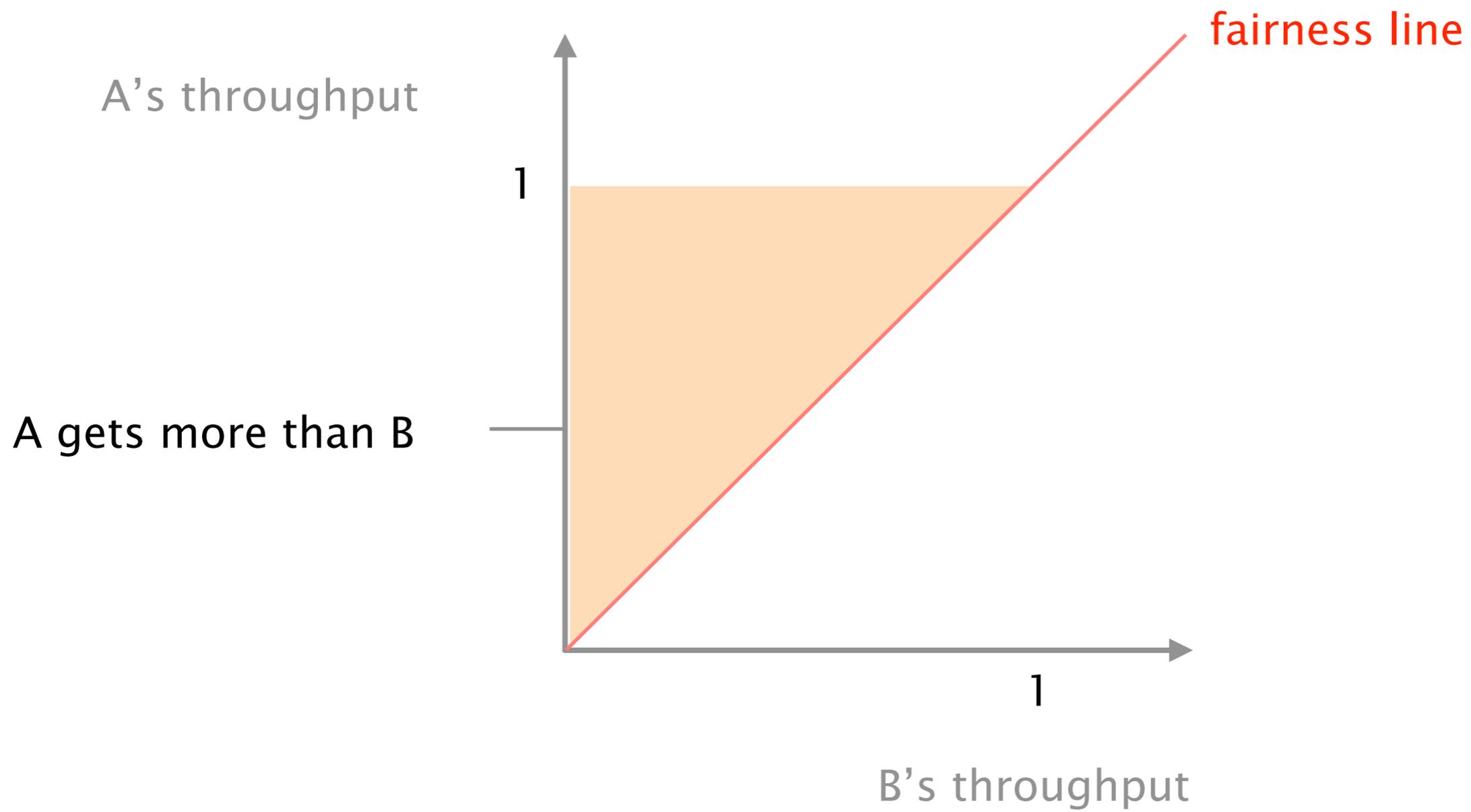
B's throughput

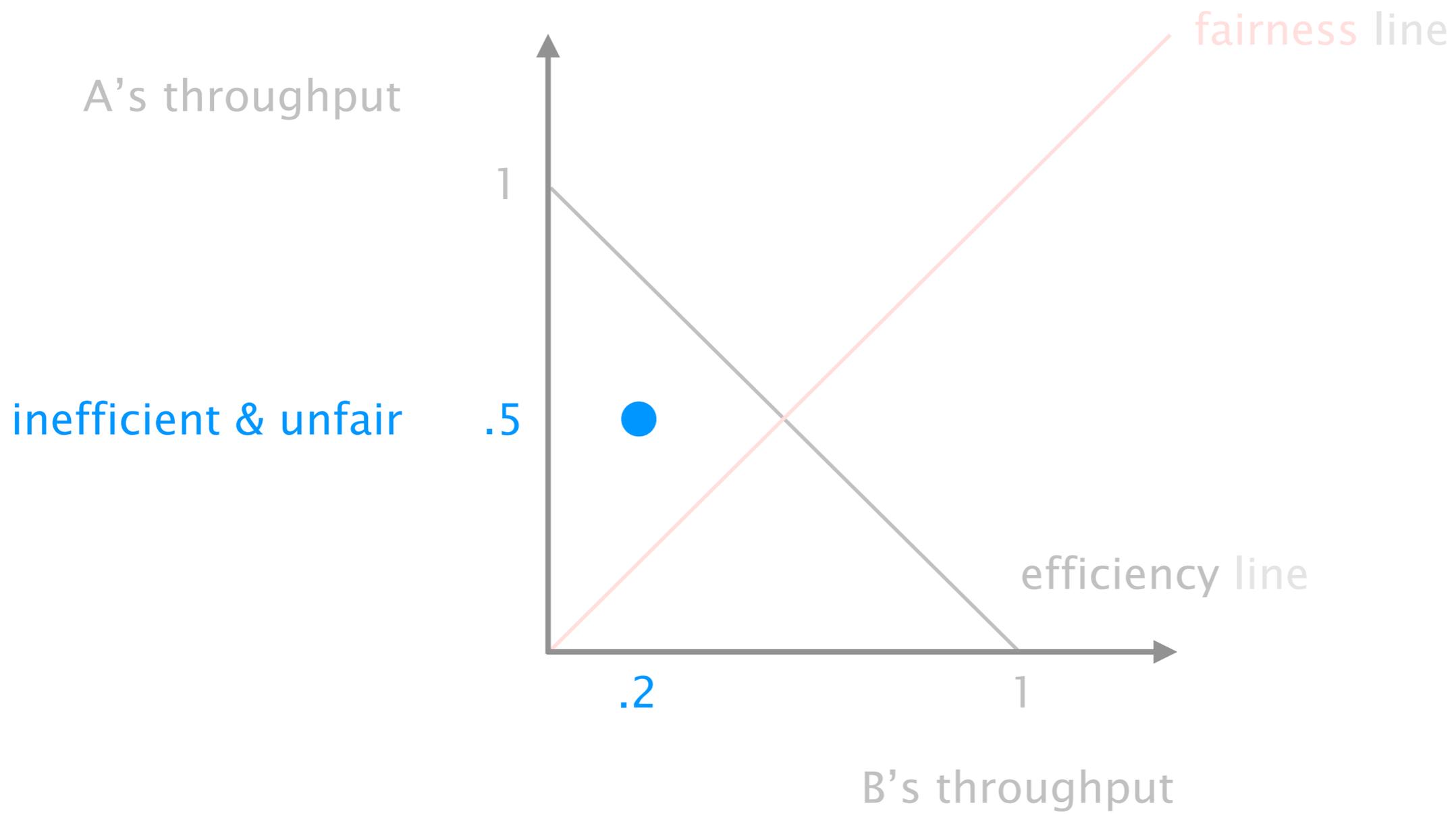


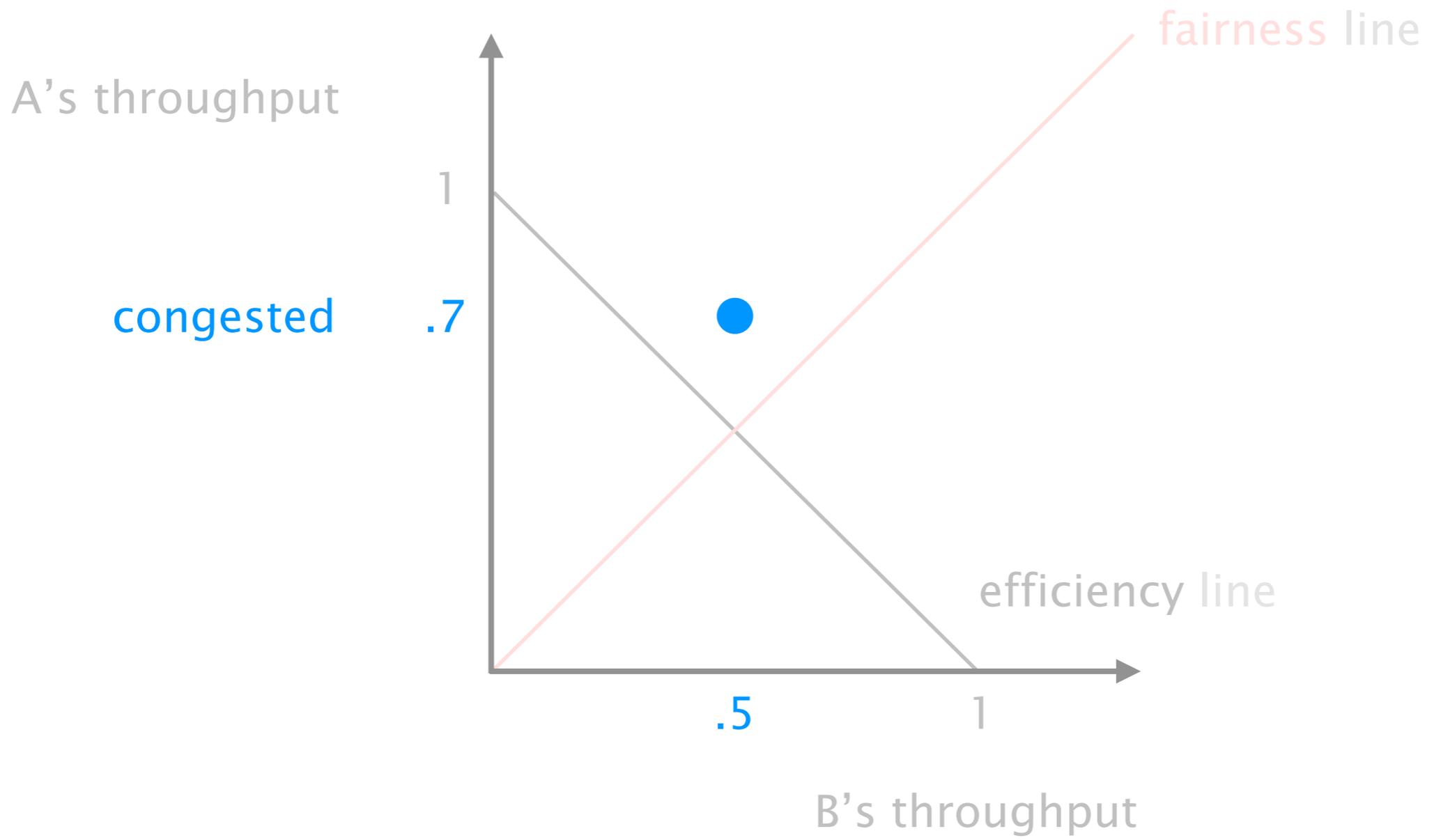
The system is fair whenever A and B have equal throughput, defining a **fairness line** where  $a = b$

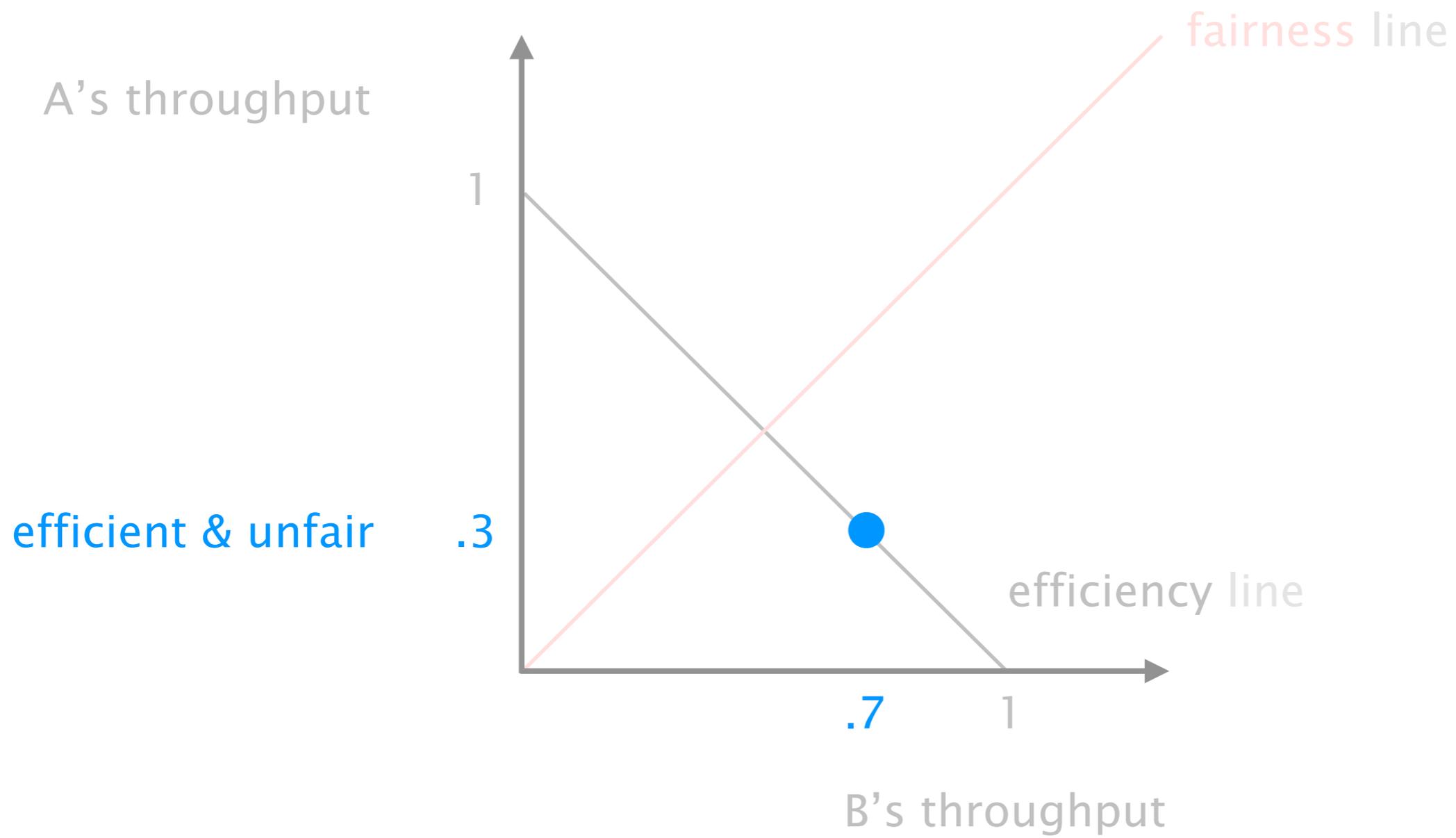


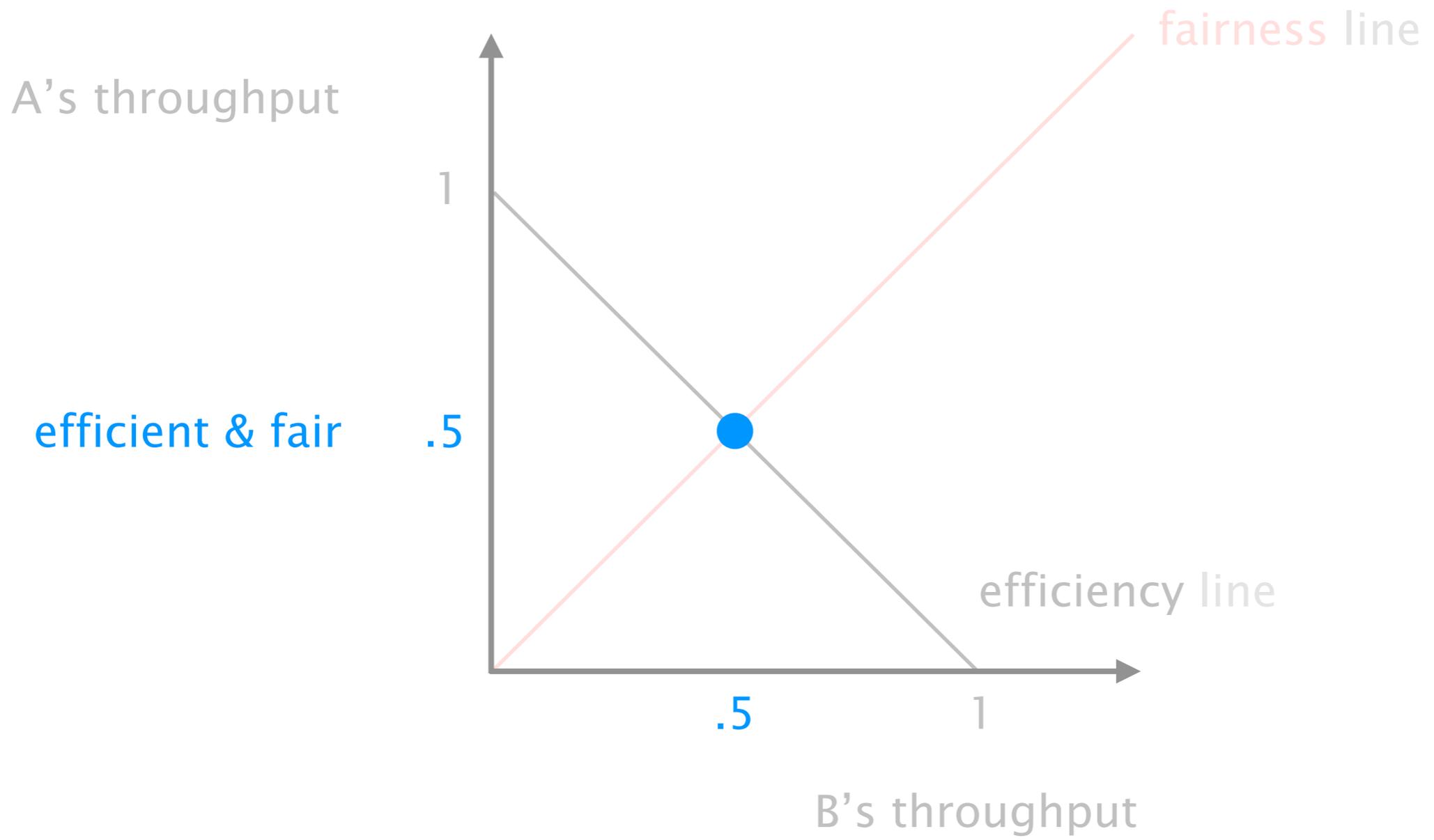












increase  
behavior

decrease  
behavior

AIAD

gentle

gentle

AIMD

gentle

aggressive

MIAD

aggressive

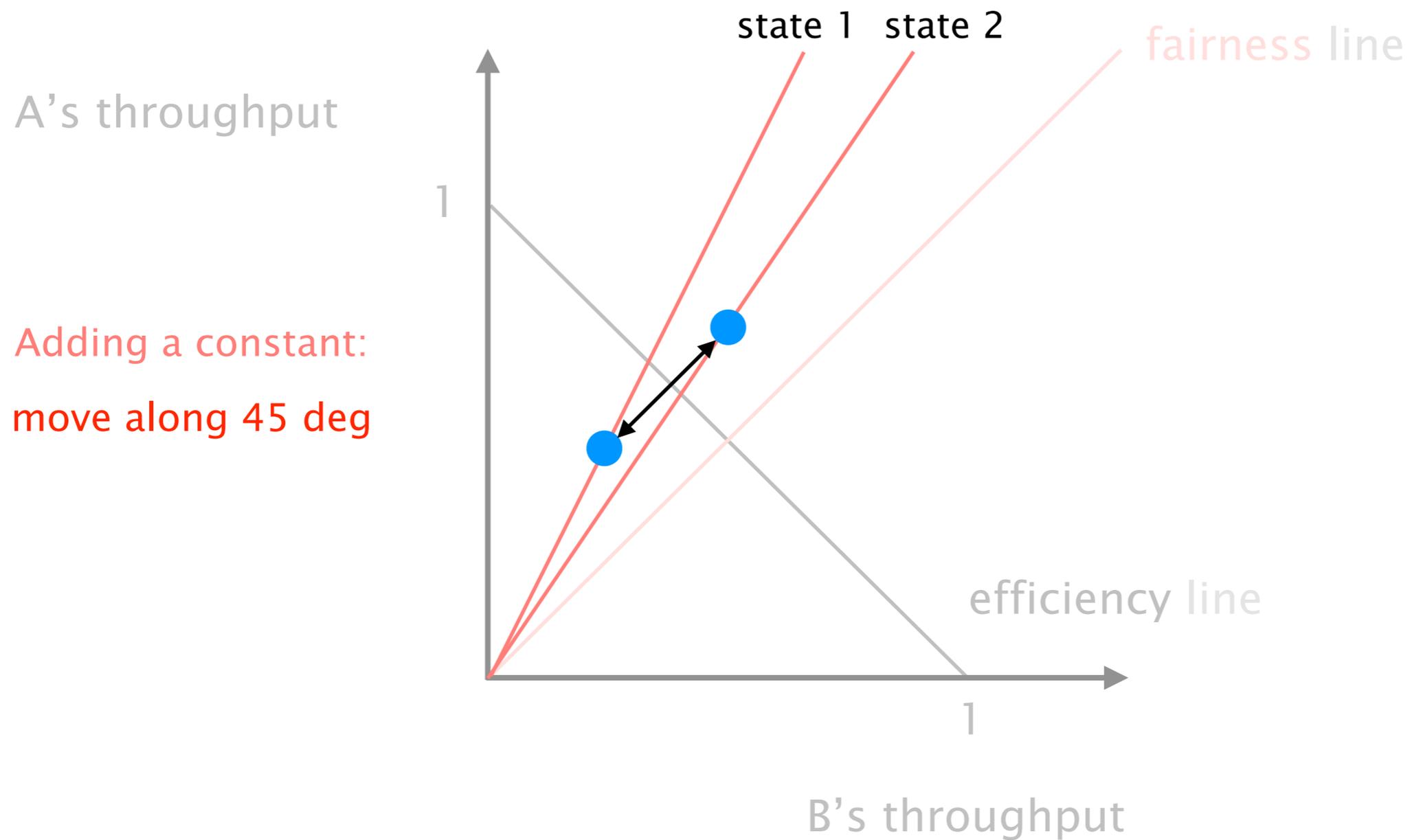
gentle

MIMD

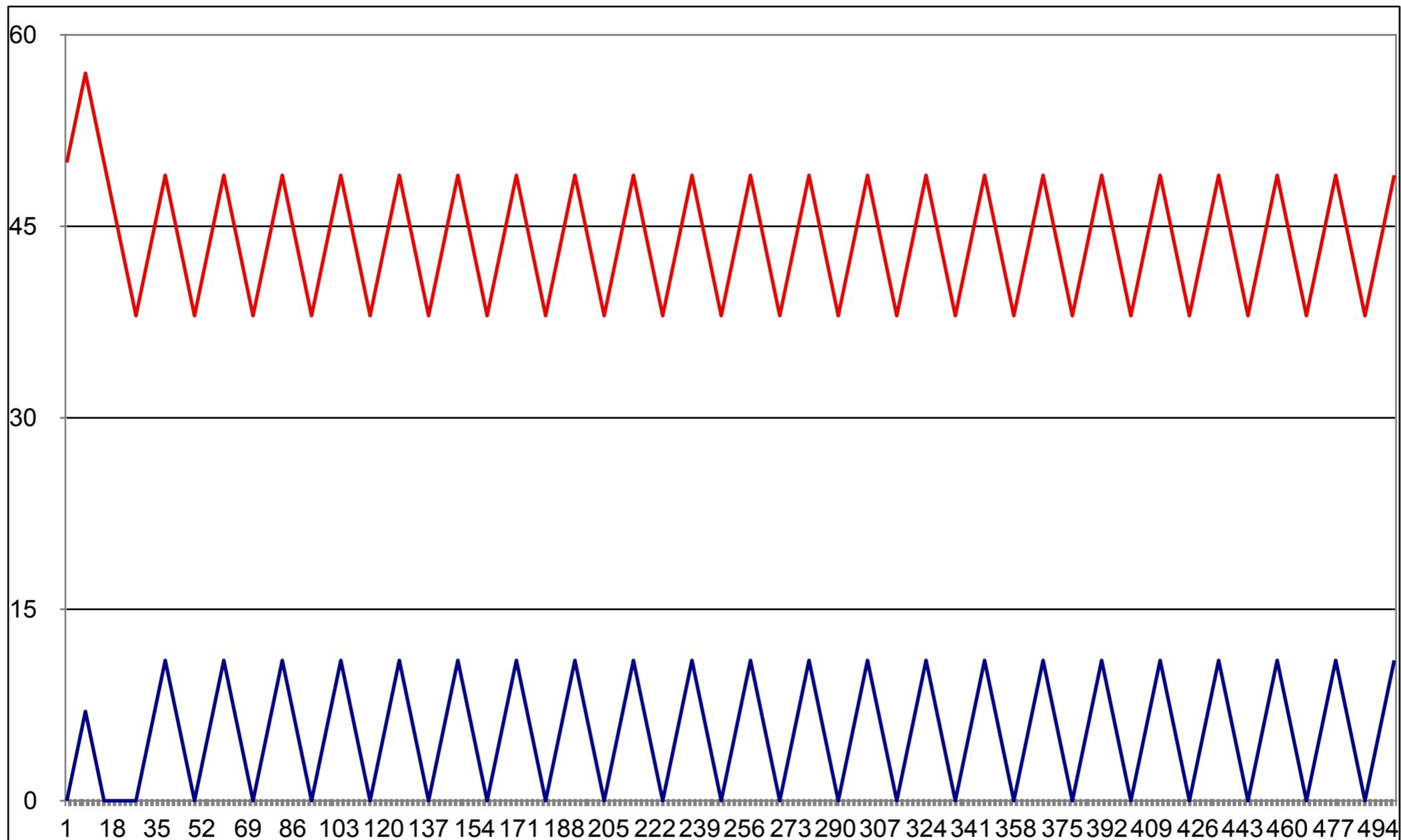
aggressive

aggressive

AIAD does not converge to fairness, nor efficiency:  
the system fluctuates between two fairness states



**AIAD does not converge to fairness, nor efficiency:**  
the system fluctuates between two fairness states



increase  
behavior

decrease  
behavior

AIAD

gentle

gentle

AIMD

gentle

aggressive

MIAD

aggressive

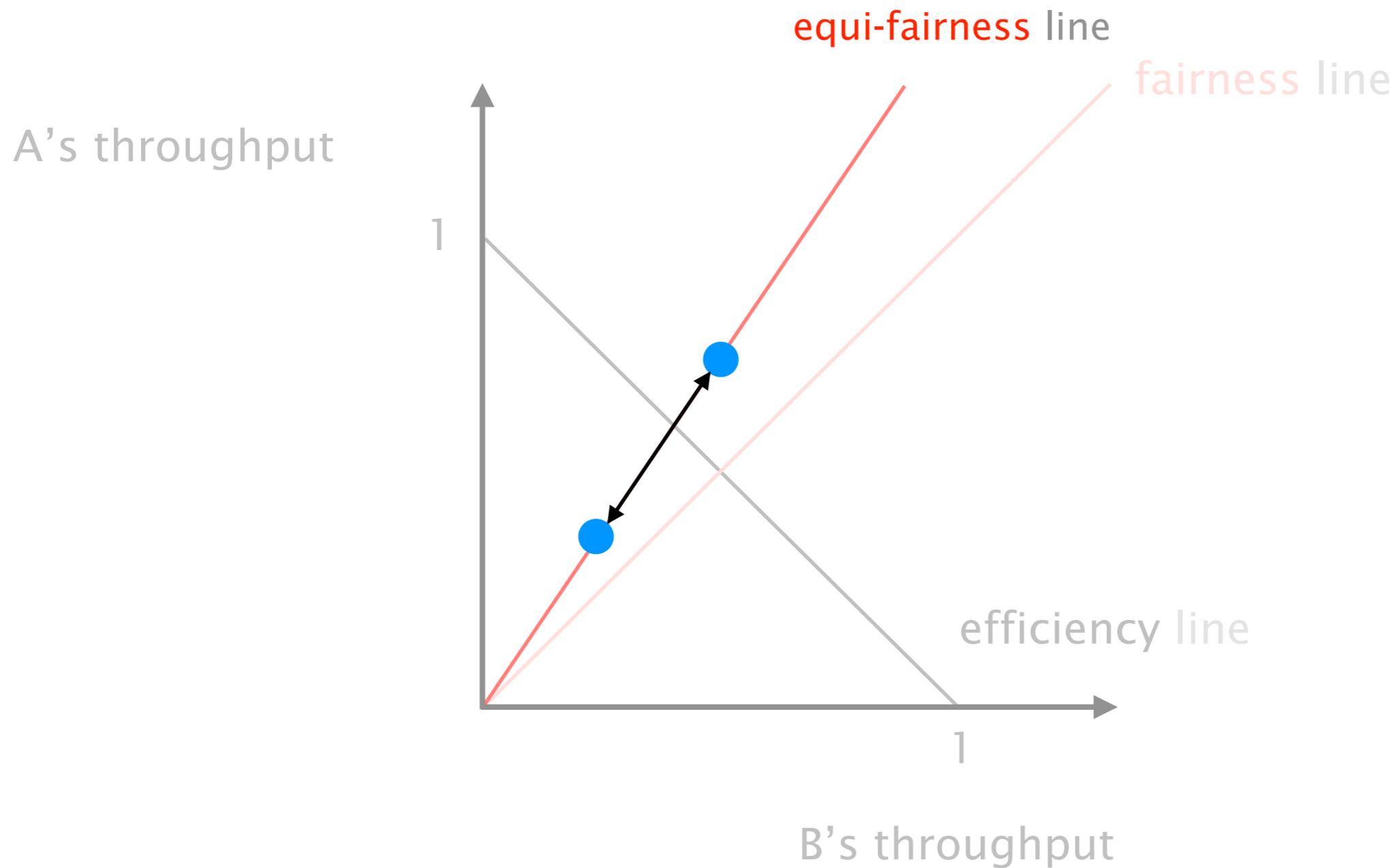
gentle

**MIMD**

**aggressive**

**aggressive**

MIMD does not converge to fairness, nor efficiency:  
the system fluctuates along a equi-fairness line



increase  
behavior

decrease  
behavior

AIAD

gentle

gentle

AIMD

gentle

aggressive

MIAD

aggressive

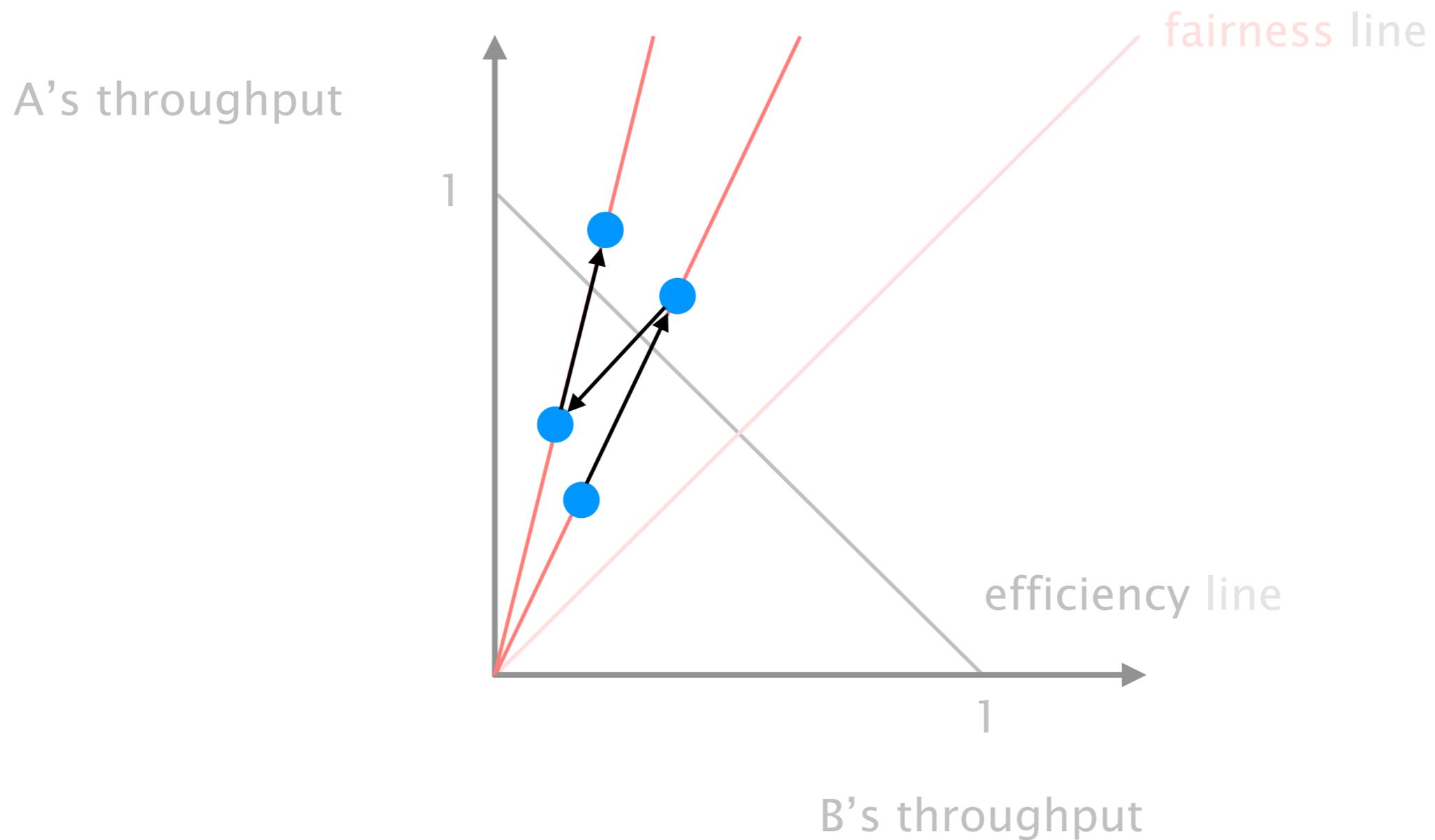
gentle

MIMD

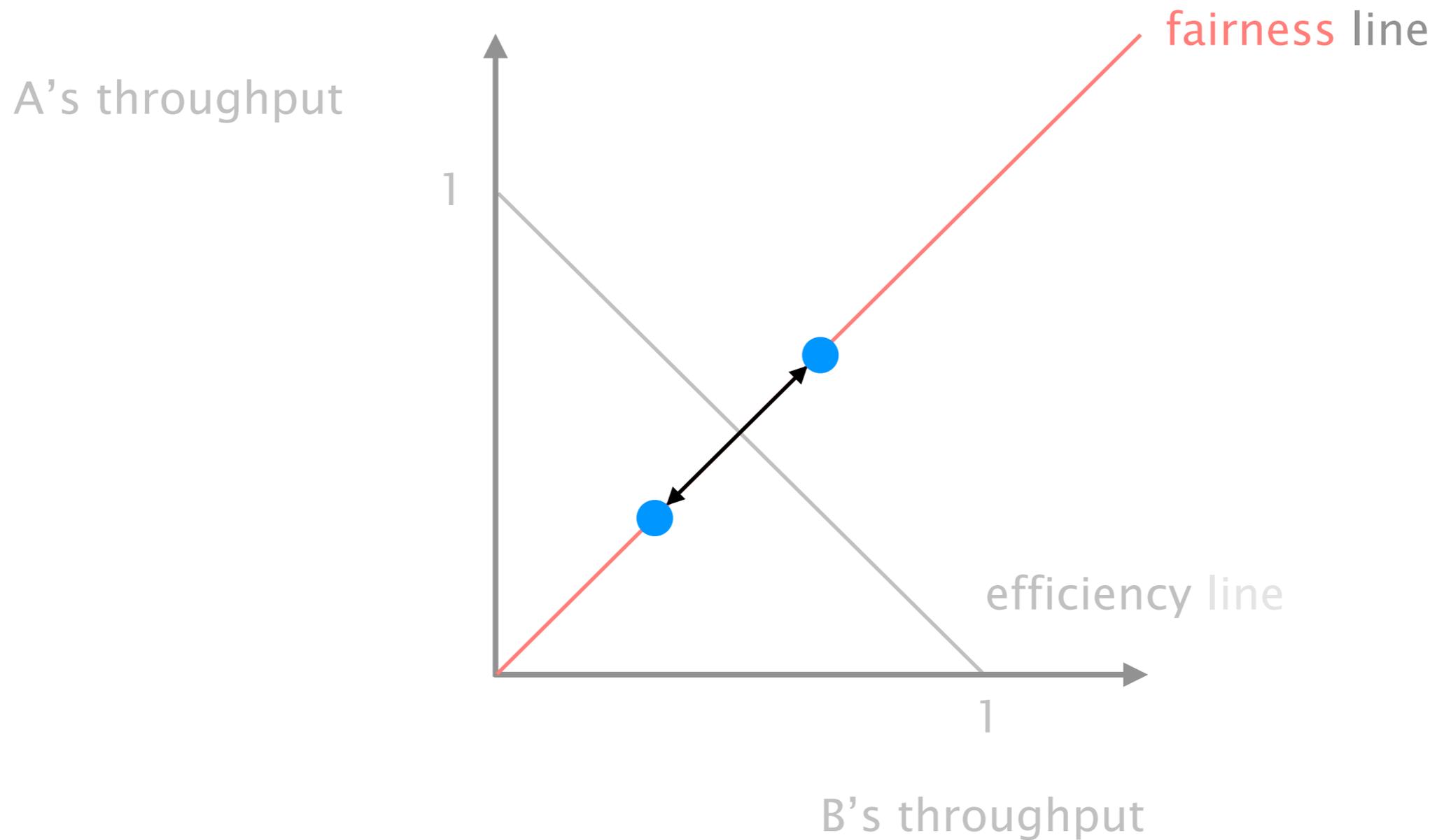
aggressive

aggressive

MIAD converges to a totally unfair allocation,  
favoring the flow with a greater rate at the beginning



If flows start along the fairness line, MIAD fluctuates along it, **yet deviating from it at the slightest change**



increase  
behavior

decrease  
behavior

AIAD

gentle

gentle

**AIMD**

**gentle**

**aggressive**

MIAD

aggressive

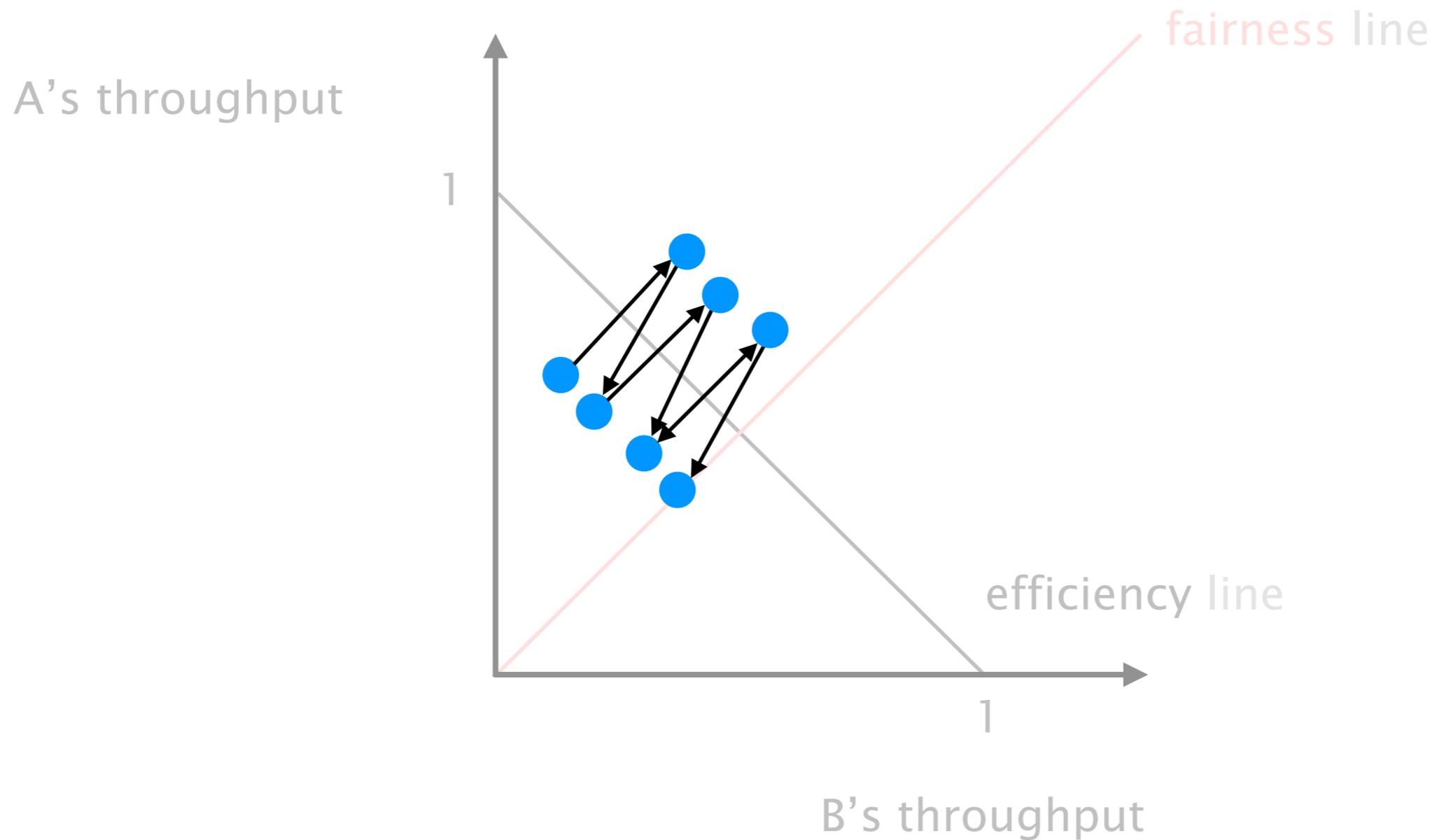
gentle

MIMD

aggressive

aggressive

AIMD converge to fairness and efficiency,  
it then fluctuates around the optimum (in a stable way)



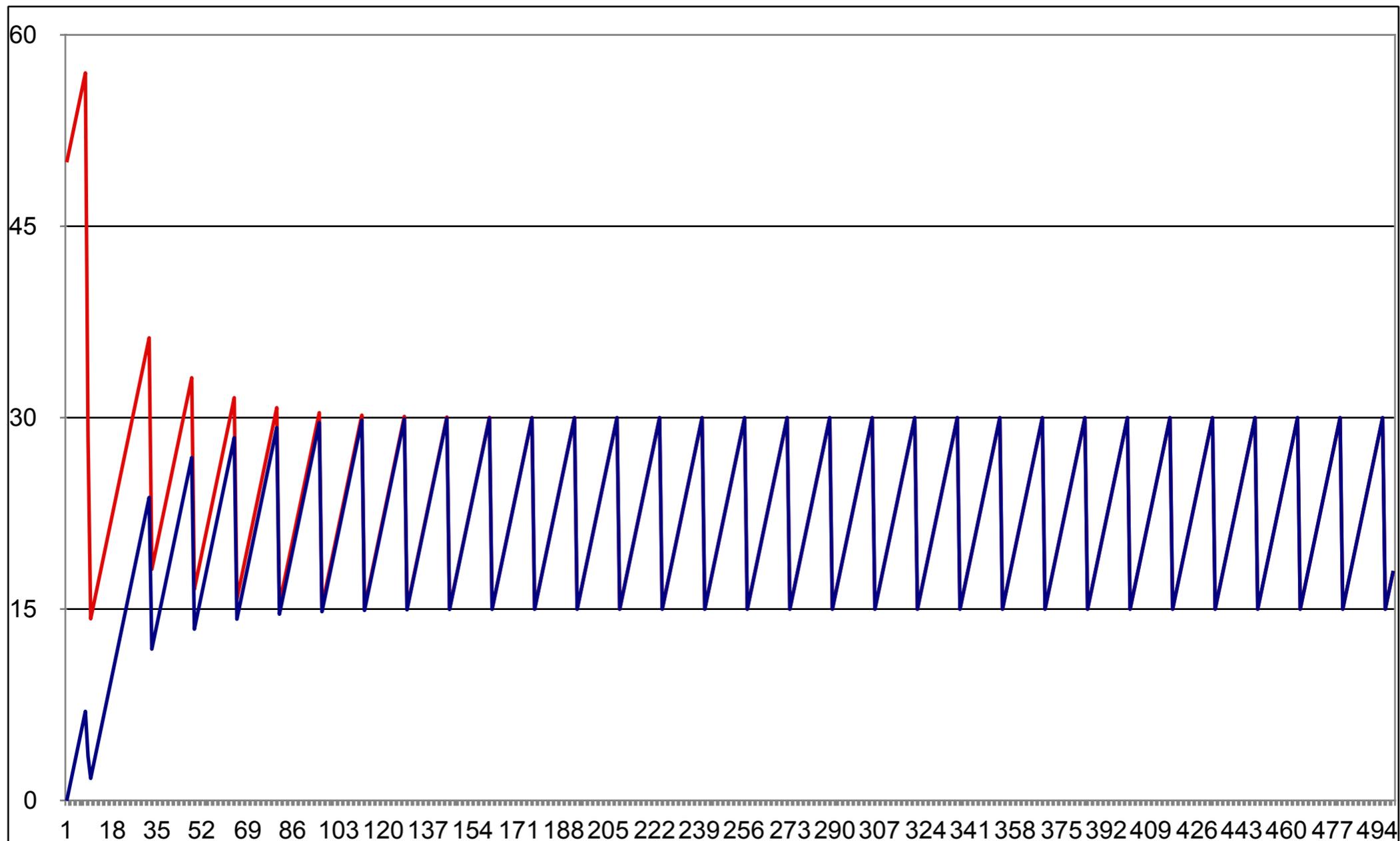
AIMD converge to fairness and efficiency,  
it then fluctuates around the optimum (in a stable way)

Intuition

During increase,  
both flows gain bandwidth at the same rate

During decrease,  
the faster flow releases more

AIMD converge to fairness and efficiency,  
it then fluctuates around the optimum (in a stable way)



# In practice, TCP implements AIMD

	increase behavior	decrease behavior
AIAD	gentle	gentle
<b>AIMD</b>	<b>gentle</b>	<b>aggressive</b>
MIAD	aggressive	gentle
MIMD	aggressive	aggressive

# In practice, TCP implements AIMD

Implementation

After each ACK,

Increment cwnd by  $1/\text{cwnd}$

linear increase of max. 1 per RTT

Question

When does a sender leave slow-start and start AIMD?

Introduce a slow start threshold,  
adapt it in function of congestion:

on timeout,  $\text{ssthresh} = \text{CNWD}/2$

# TCP congestion control in less than 10 lines of code

## **Initially:**

    cwnd = 1

    ssthresh = infinite

## **New ACK received:**

    if (cwnd < ssthresh):

        /\* Slow Start\*/

        cwnd = cwnd + 1

    else:

        /\* Congestion Avoidance \*/

        cwnd = cwnd + 1/cwnd

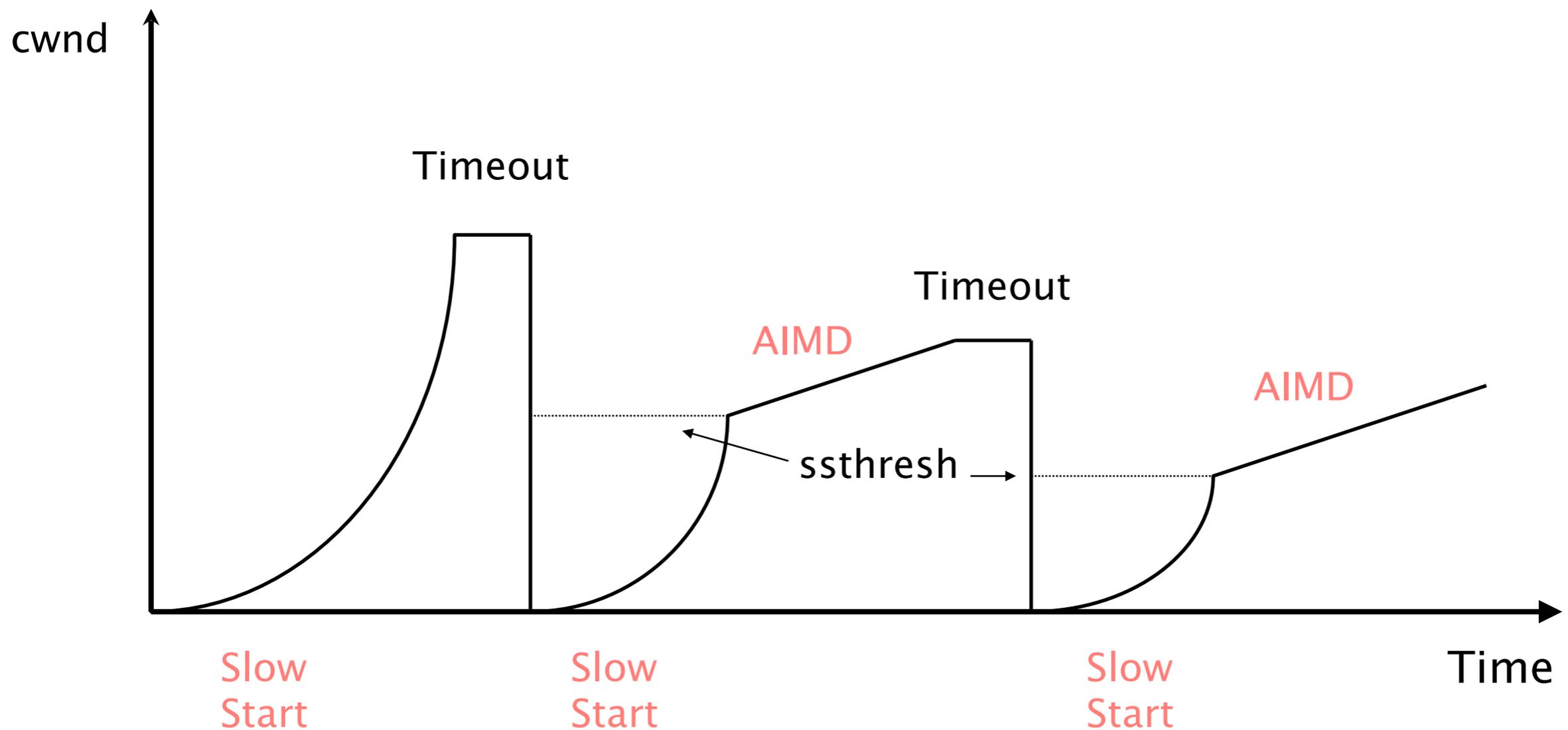
## **Timeout:**

    /\* Multiplicative decrease \*/

    ssthresh = cwnd/2

    cwnd = 1

The congestion window of a TCP session typically undergoes multiple cycles of slow-start/AIMD



Going back all the way back to 0 upon timeout  
completely destroys throughput

solution

Avoid timeout expiration...  
which are usually  $>500\text{ms}$

Detecting losses can be done **using ACKs** or timeouts, the two signals differ in their degree of severity

**duplicated ACKs**

**mild congestion signal**

packets are still making it

timeout

**severe congestion signal**

multiple consequent losses

TCP automatically resends a segment after receiving 3 duplicates ACKs for it

this is known as a “fast retransmit”

After a fast retransmit, TCP switches back to AIMD,  
without going all way the back to 0

this is known as “fast recovery”

# TCP congestion control (almost complete)

## Initially:

    cwnd = 1

    ssthresh = infinite

## New ACK received:

    if (cwnd < ssthresh):

        /\* Slow Start \*/

        cwnd = cwnd + 1

    else:

        /\* Congestion Avoidance \*/

        cwnd = cwnd + 1/cwnd

    dup\_ack = 0

## Timeout:

    /\* Multiplicative decrease \*/

    ssthresh = cwnd/2

    cwnd = 1

## Duplicate ACKs received:

    dup\_ack ++;

    if (dup\_ack >= 3):

        /\* Fast Recovery \*/

        ssthresh = cwnd/2

        cwnd = ssthresh

### Initially:

```
  cwnd = 1  
  ssthresh = infinite
```

### New ACK received:

```
  if (cwnd < ssthresh):  
    /* Slow Start */  
    cwnd = cwnd + 1  
  else:  
    /* Congestion Avoidance */  
    cwnd = cwnd + 1/cwnd
```

```
  dup_ack = 0
```

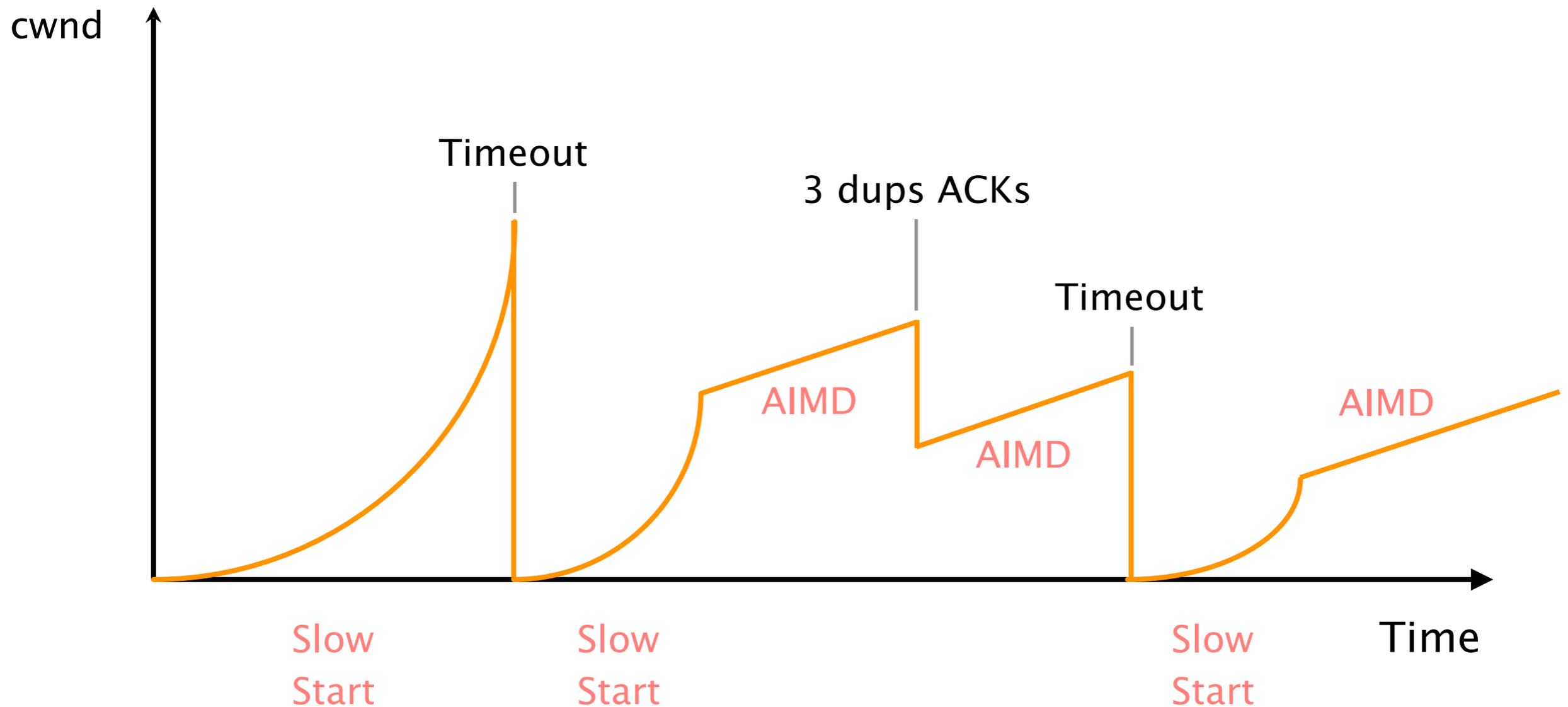
### Timeout:

```
  /* Multiplicative decrease */  
  ssthresh = cwnd/2  
  cwnd = 1
```

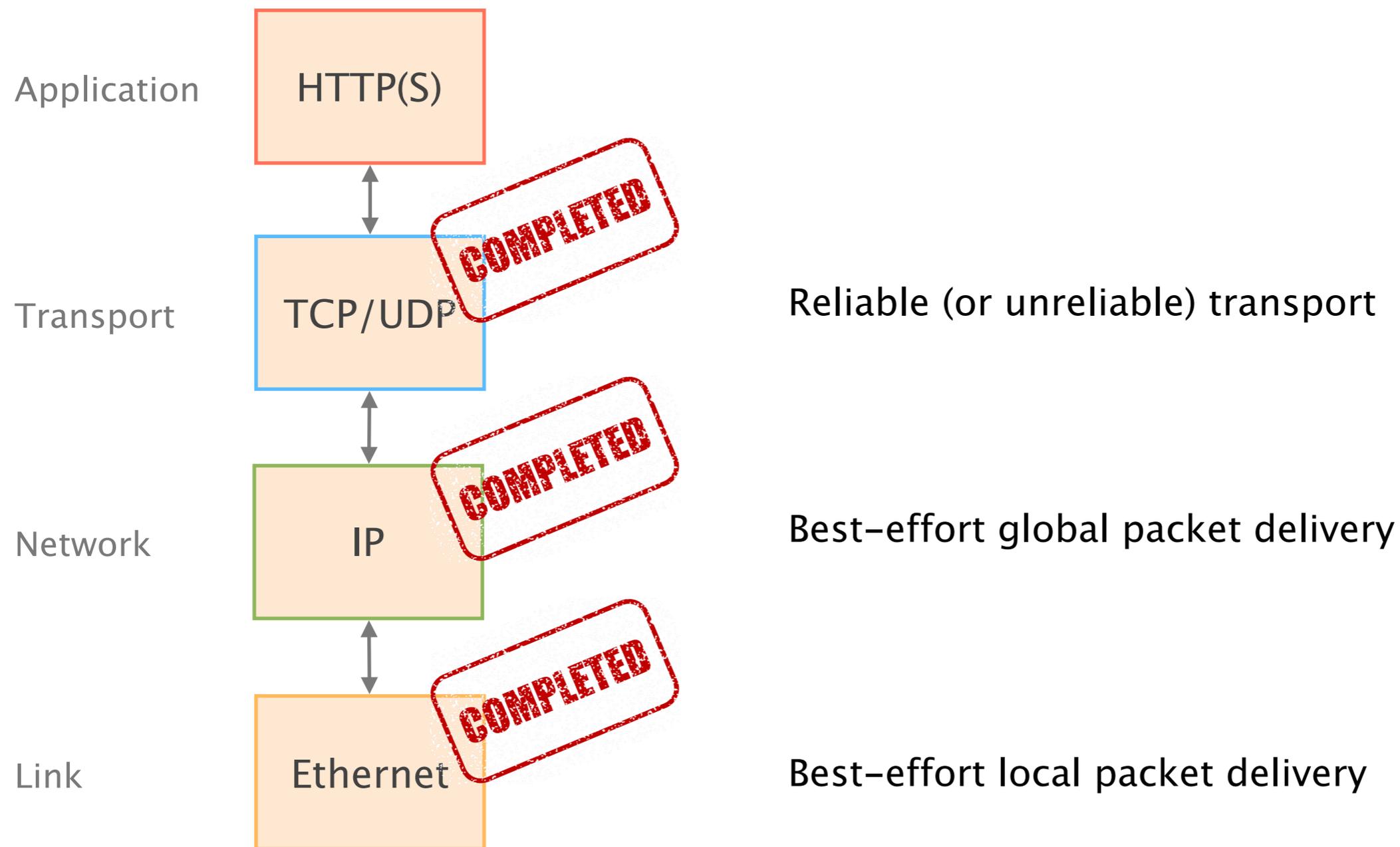
### Duplicate ACKs received:

```
  dup_ack ++;  
  if (dup_ack >= 3):  
    /* Fast Recovery */  
    ssthresh = cwnd/2  
    cwnd = ssthresh
```

# Congestion control makes TCP throughput look like a “sawtooth”



We now have completed **the transport layer (!)**



Congestion  
Control

DNS

google.ch ↔ 172.217.16.131

Internet has one global system for

- addressing hosts IP  
by design
- naming hosts DNS  
by "accident", an afterthought

Internet has one global system for

- naming hosts DNS  
by "accident", an afterthought

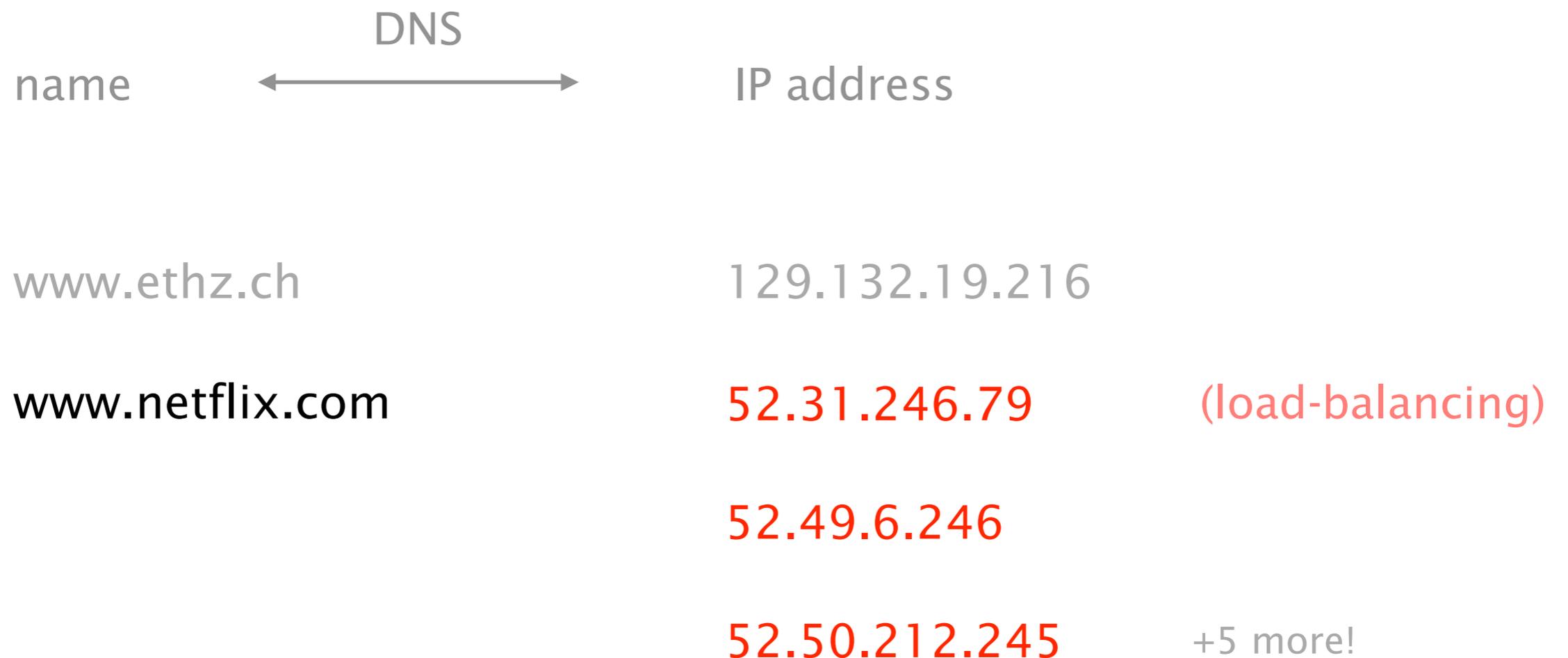
# Using Internet services can be divided into four logical steps

step 1	A person has name of entity she wants to access	www.ethz.ch
step 2	She invokes an application to perform the task	Chrome
step 3	The application invokes DNS to resolve the name into an IP address	129.132.19.216
step 4	The application invokes transport protocol to establish an app-to-app connection	

The DNS system is a distributed database  
which enables to resolve a name into an IP address



In practice,  
names can be mapped to more than one IP



In practice,  
IPs can be mapped by more than one name

name	DNS	IP address
www.ethz.ch		129.132.19.216
www.vanbever.eu		188.165.240.60
www.route-aggregation.net		188.165.240.60

# How does one resolve a name into an IP?

initially

*all* host to address mappings  
were in a file called hosts.txt

in /etc/hosts

problem

scalability in terms of query load & speed  
management

consistency

availability

When you need... more flexibility,  
you add... a layer of indirection

When you need... more scalability,  
you add... a hierarchical structure

To scale,  
DNS adopt **three** intertwined hierarchies

naming structure

hierarchy of addresses

<https://www.ee.ethz.ch/de/departement/>

management

hierarchy of authority  
over names

infrastructure

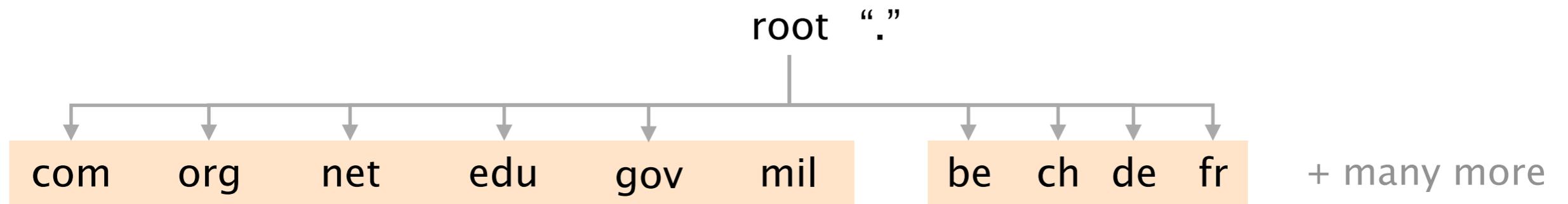
hierarchy of DNS servers

naming structure

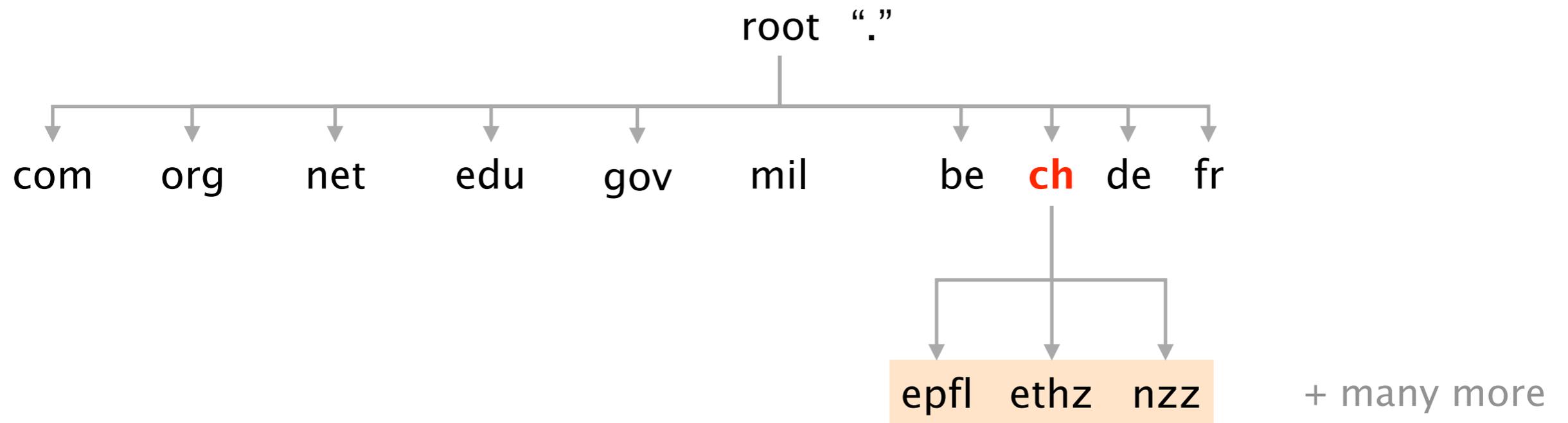
addresses are hierarchical

<https://www.ee.ethz.ch/de/departement/>

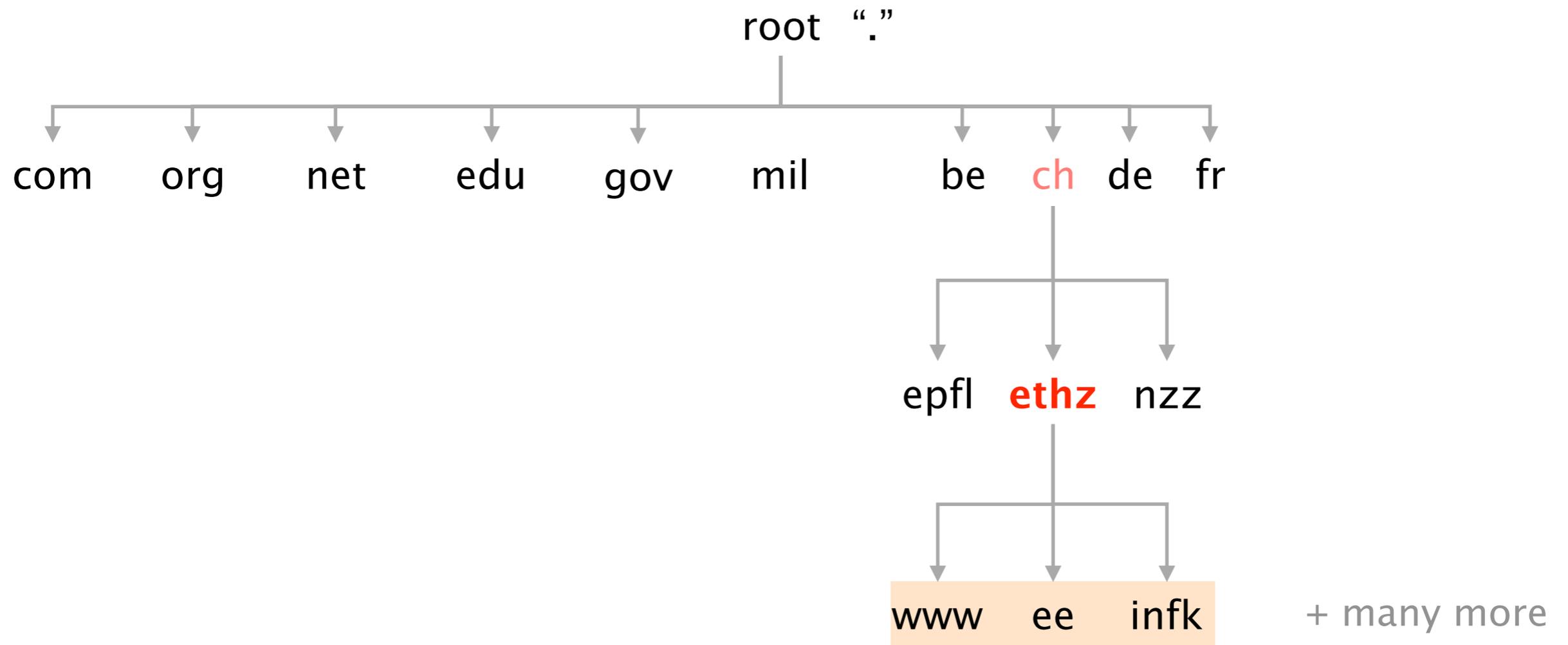
# Top Level Domain (TLDs) sit at the top



# Domains are subtrees



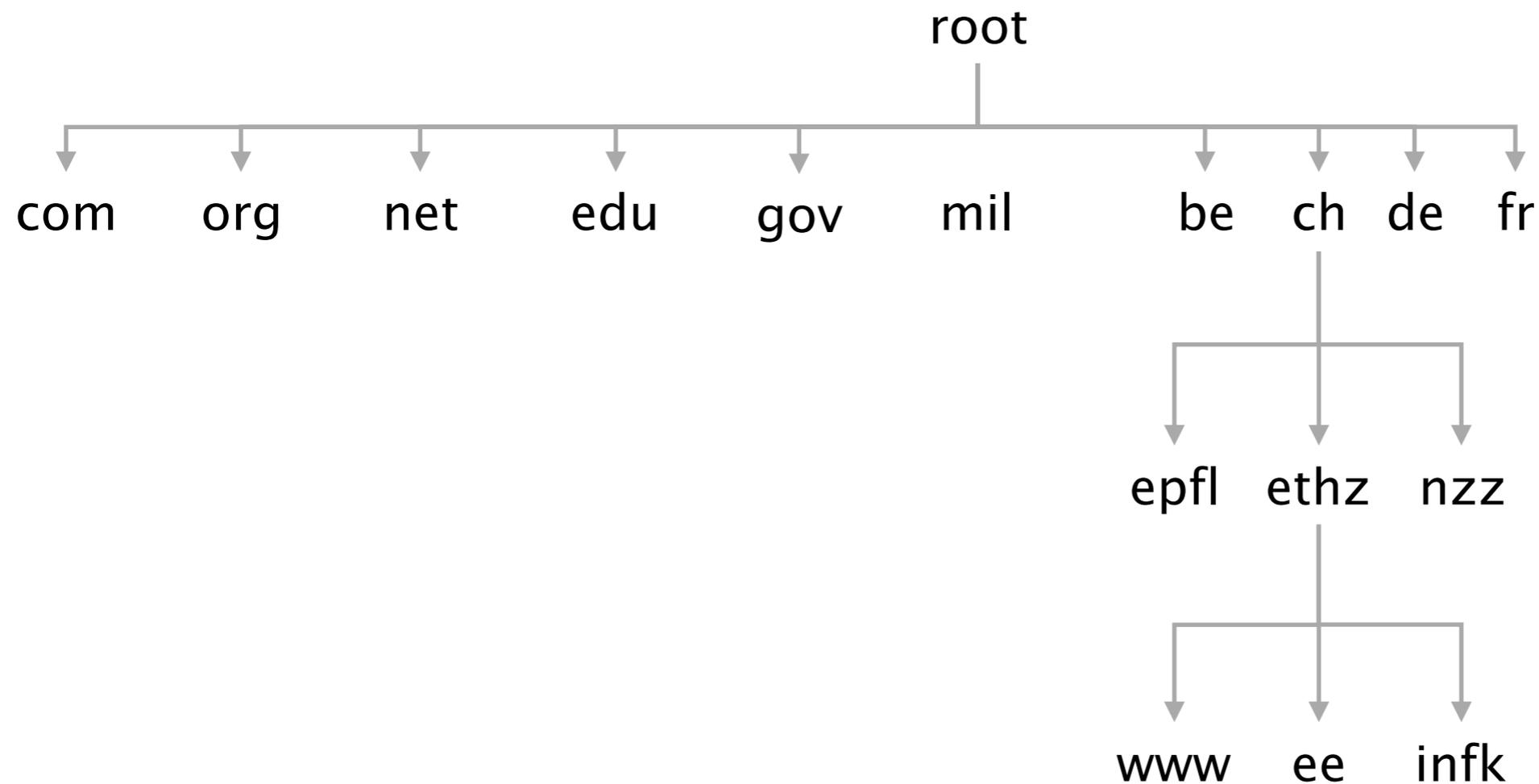
A name, *e.g.* ee.ethz.ch, represents a leaf-to-root path in the hierarchy



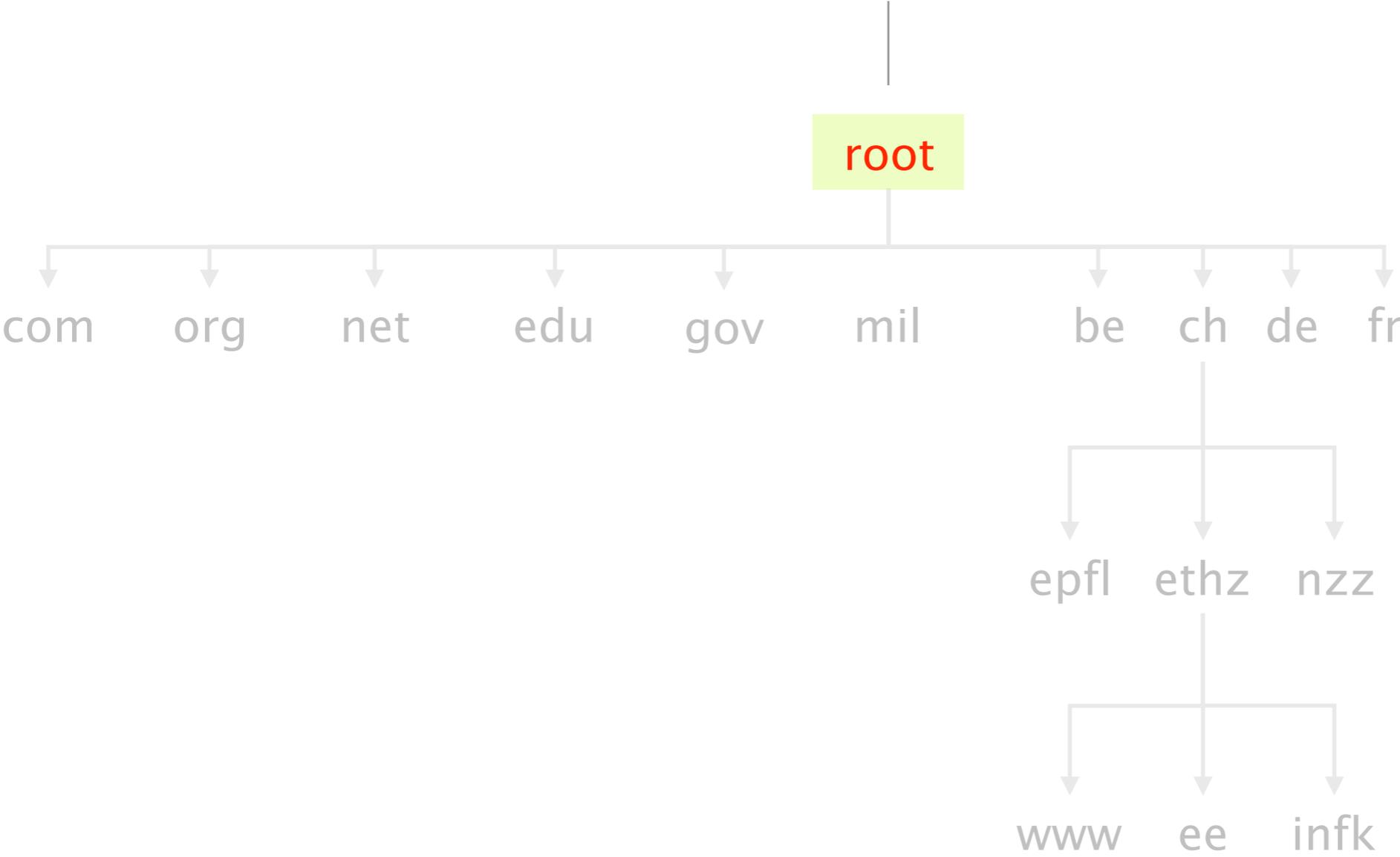
management

hierarchy of authority  
over names

The DNS system is  
hierarchically administered

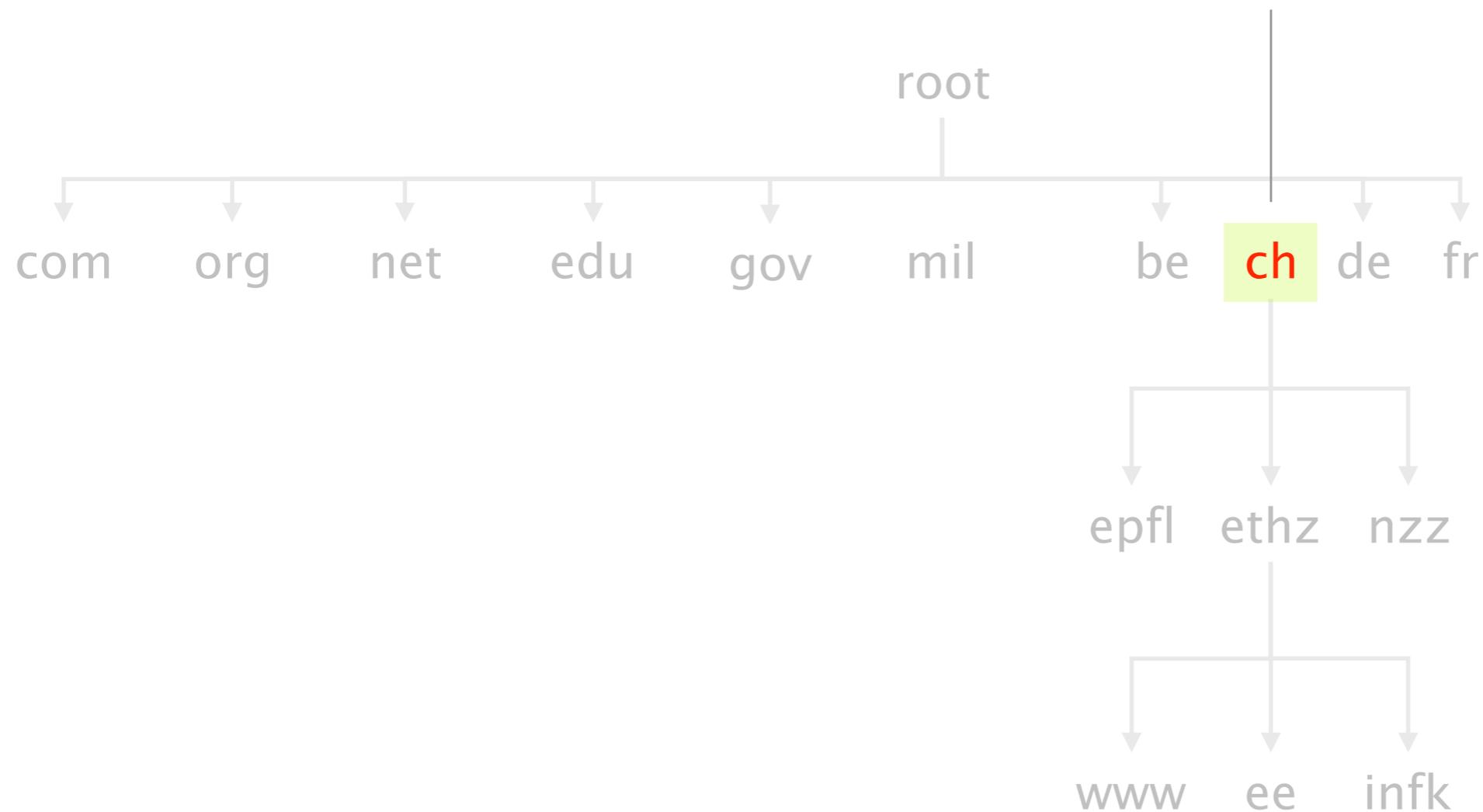


managed by IANA (\*)



(\*) see <http://www.iana.org/domains/root/db>

managed by The Swiss Education & Research Network (\*)



(\*) see <https://www.switch.ch/about/id/>

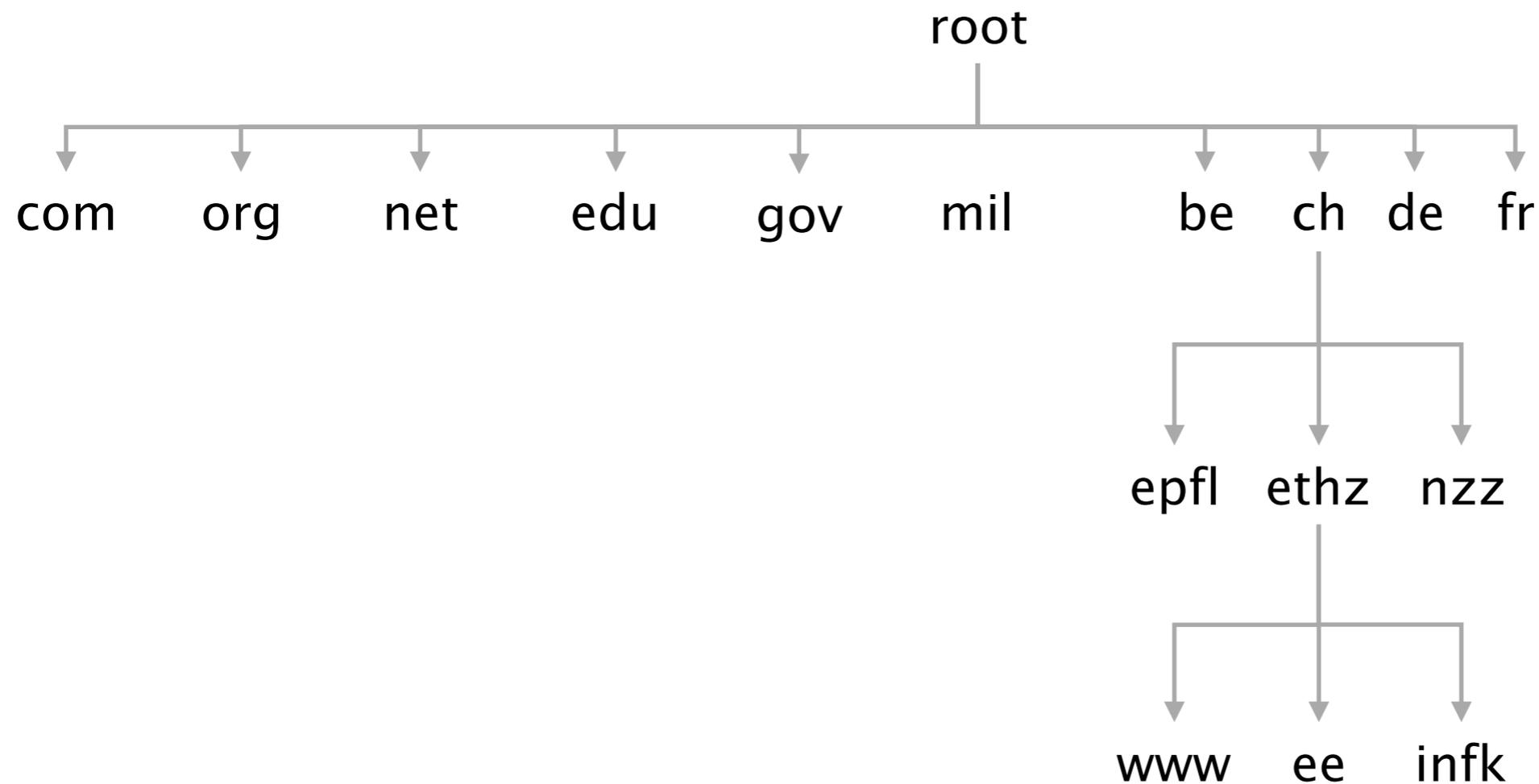


Hierarchical administration means  
that name collision is trivially avoided

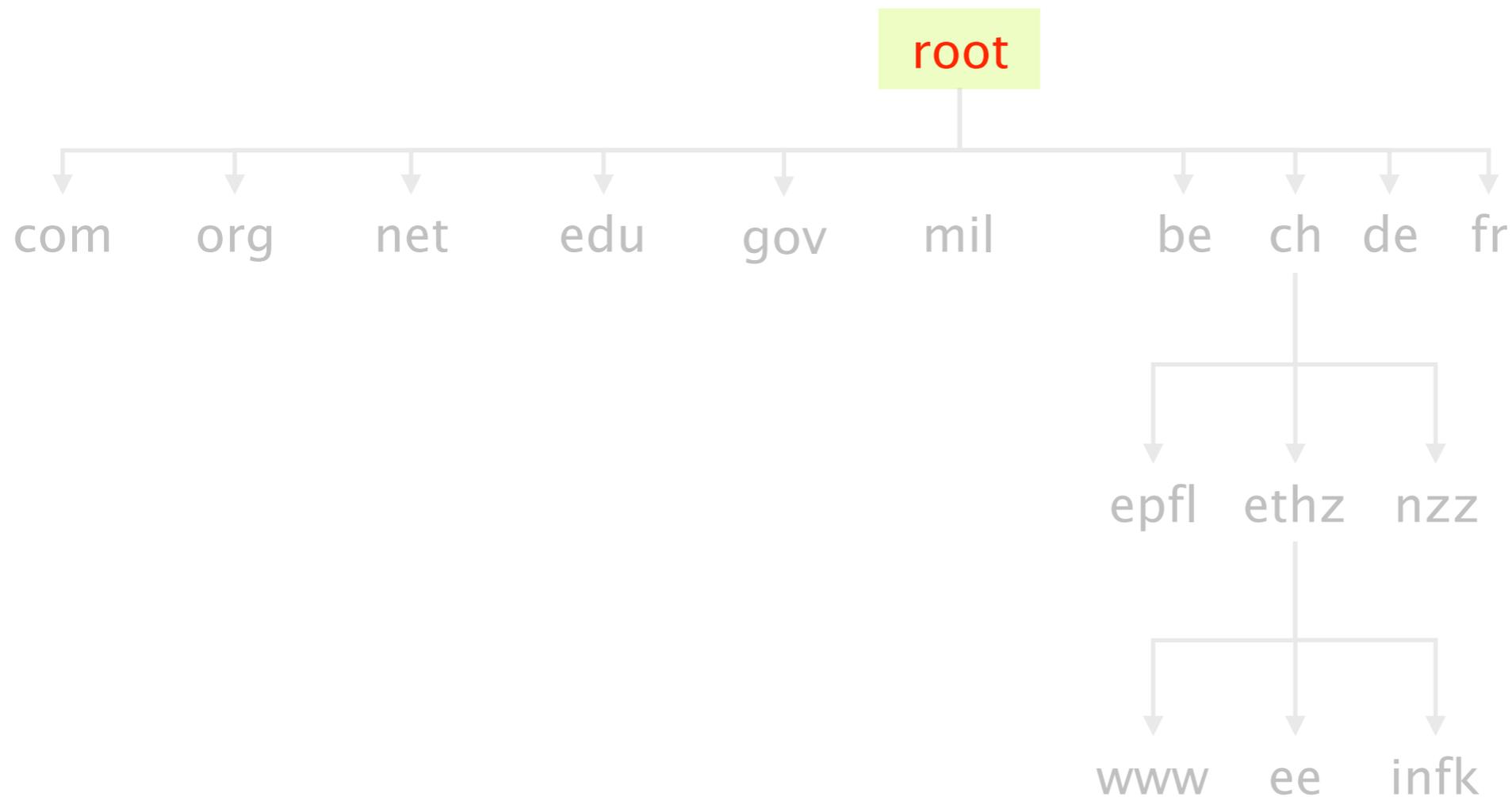
infrastructure

hierarchy of DNS servers

The DNS infrastructure is hierarchically organized



# 13 root servers (managed professionally) serve as root (\*)



(\*) see <http://www.root-servers.org/>

a. root-servers.net	VeriSign, Inc.
b. root-servers.net	University of Southern California
c. root-servers.net	Cogent Communications
d. root-servers.net	University of Maryland
e. root-servers.net	NASA
f. root-servers.net	Internet Systems Consortium
g. root-servers.net	US Department of Defense
h. root-servers.net	US Army
i. root-servers.net	Netnod
j. root-servers.net	VeriSign, Inc.
k. root-servers.net	RIPE NCC
l. root-servers.net	ICANN
m. root-servers.net	WIDE Project



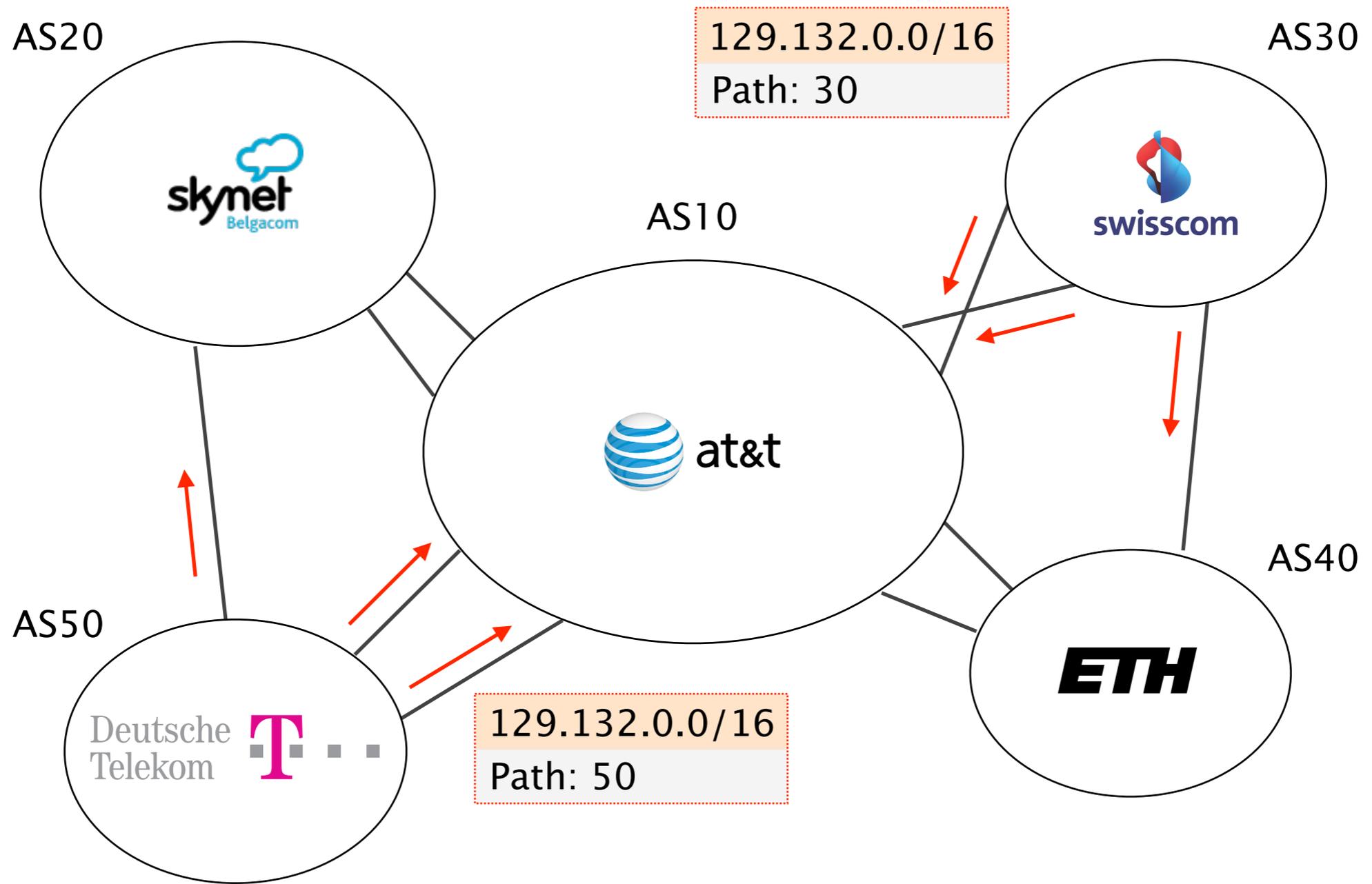
To scale root servers,  
operators rely on **BGP anycast**

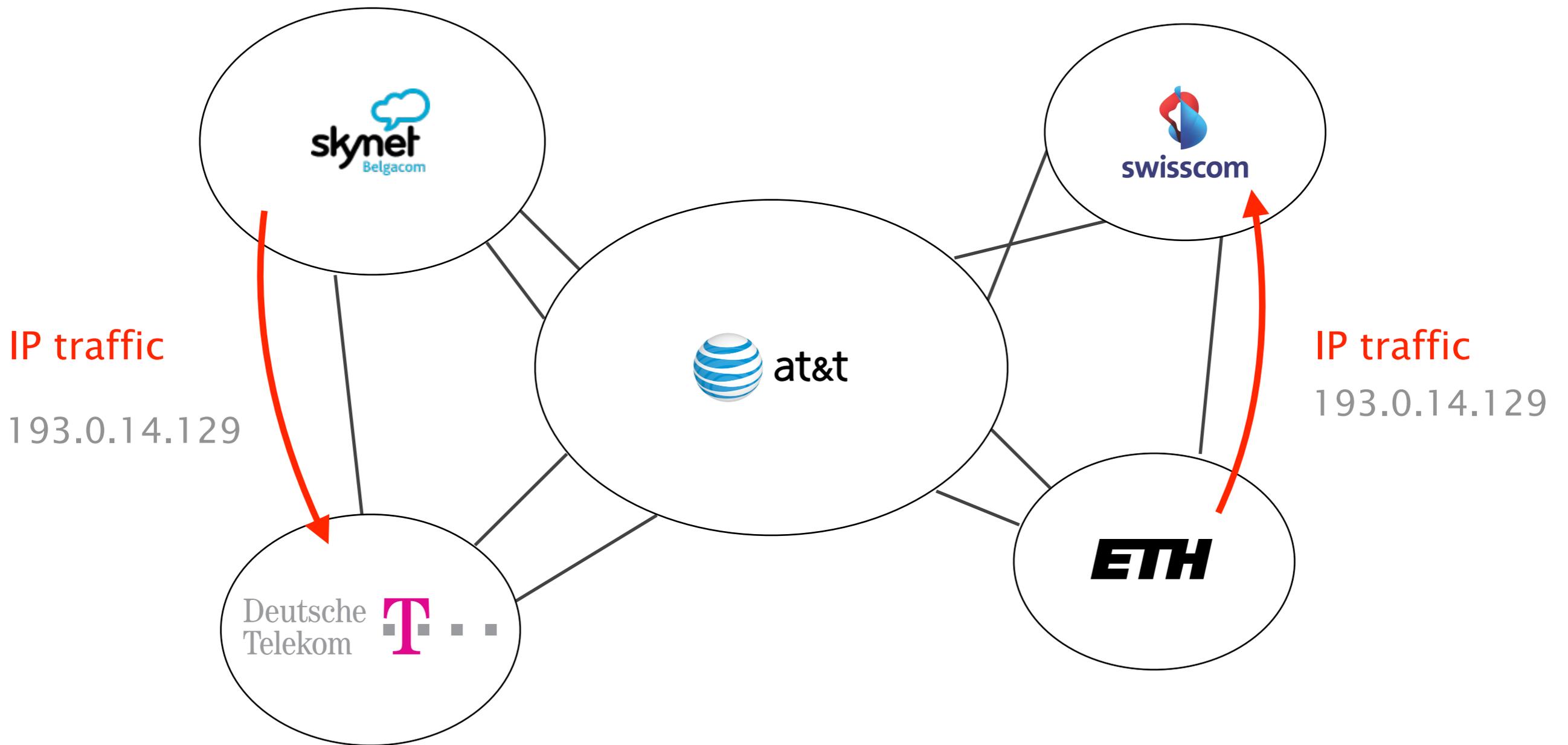
Intuition

Routing finds shortest-paths

If several locations announce the same prefix,  
then routing will deliver the packets to  
the “closest” location

This enables seamless replications of resources





Do you see any problems in  
performing load-balancing this way?

Instances of the k-root server (\*) are hosted in more than 40 locations worldwide

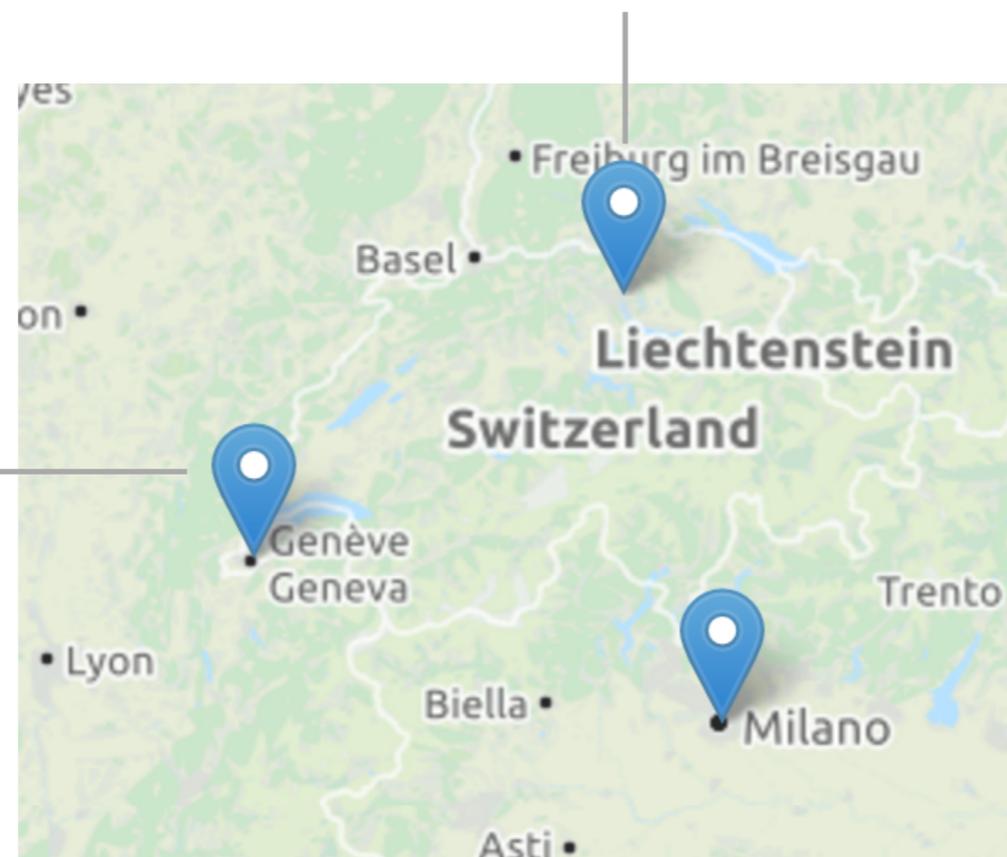


(\*) see [k.root-servers.org](http://k.root-servers.org)

Two of these locations are in Switzerland:  
in Zürich and in Geneva

Swiss Internet Exchange  
ns1.ch-zrh.k.ripe.net

CERN  
ns1.ch-gva.k.ripe.net

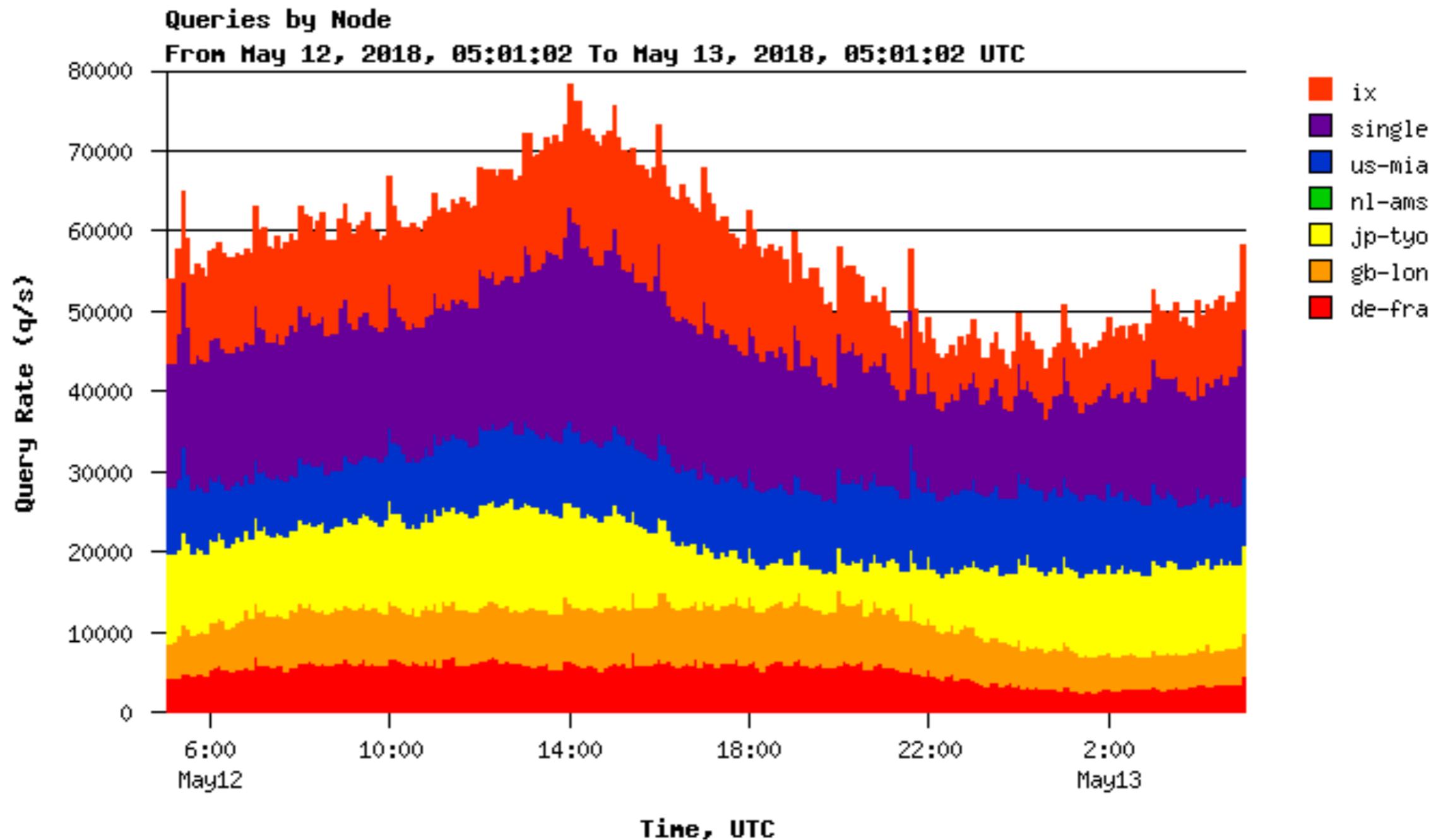


All locations announce **193.0.14.0/23** in BGP,  
with **193.0.14.129** being the IP of the server

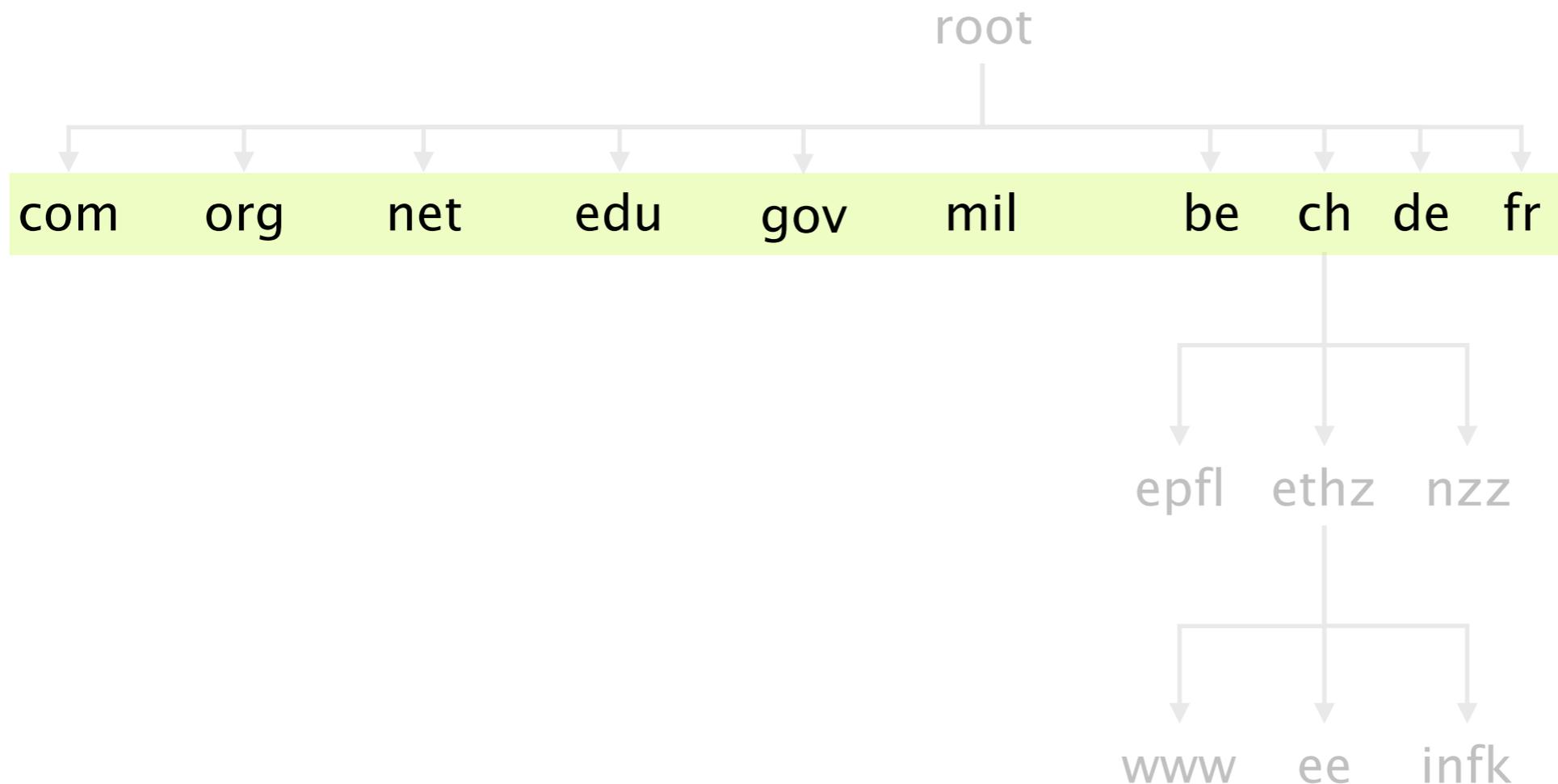
Two of these locations are in Switzerland:  
in **Zürich** and in Geneva

Do you mind guessing which one we use, here... **in Zürich?**

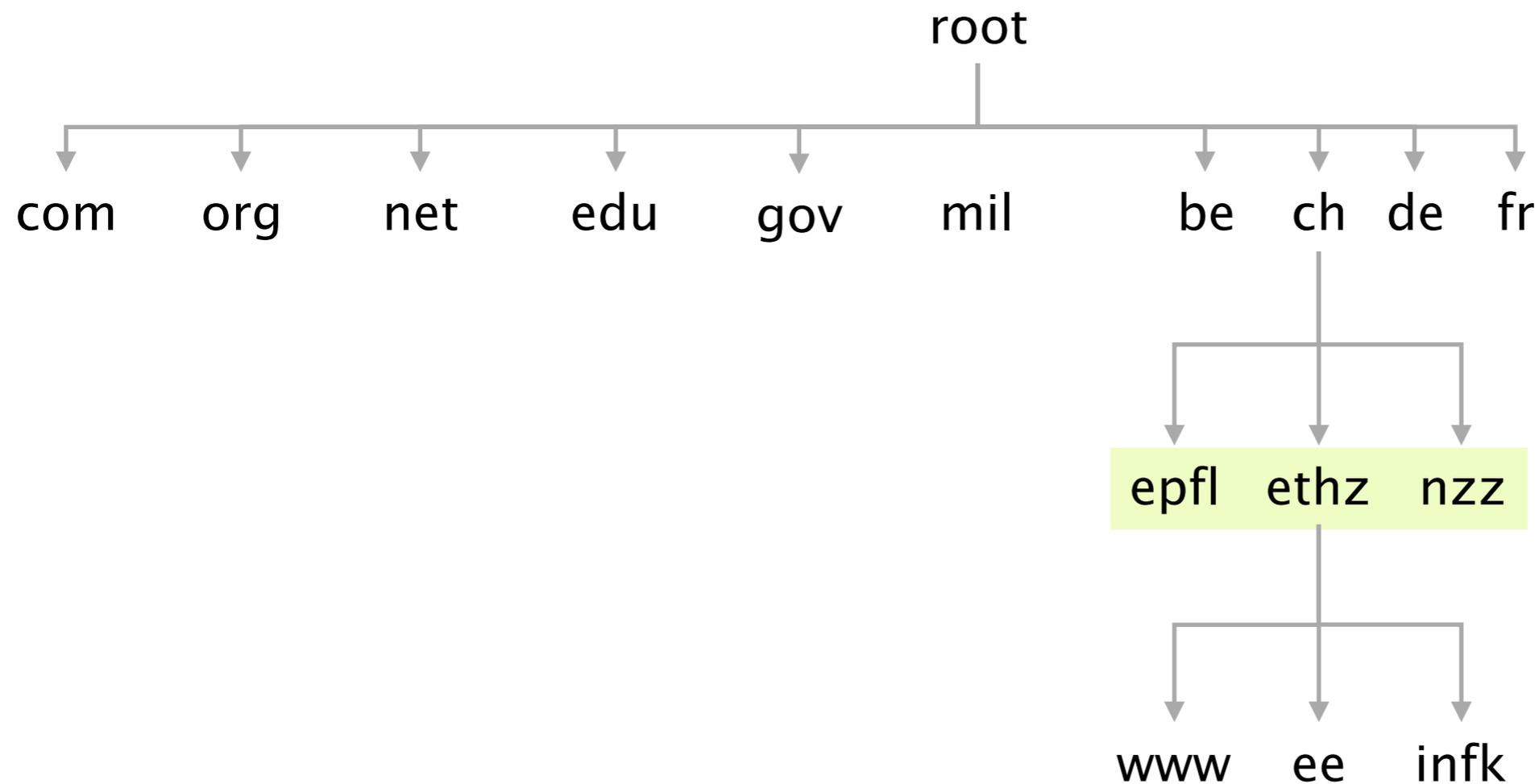
Each instance receives up to 70k queries per second summing up to more than 4 billions queries per day



TLDs server are also managed professionally by private or non-profit organization



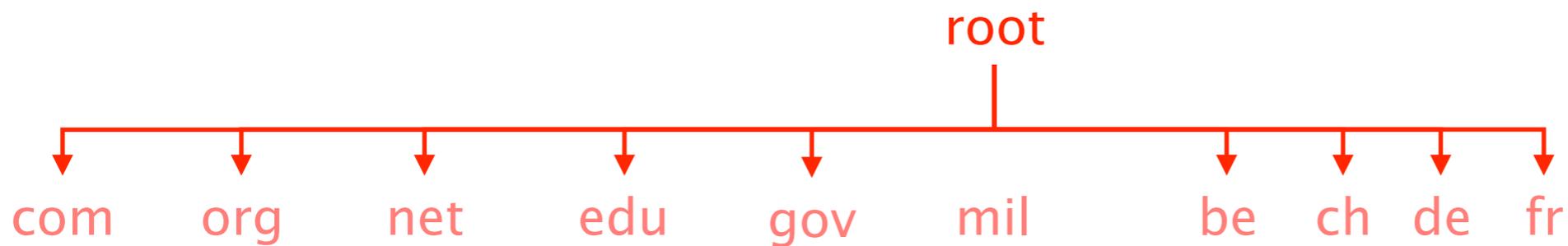
The bottom (and bulk) of the hierarchy is managed by Internet Service Provider or locally



Every server knows the address of the root servers (\*)  
required for bootstrapping the systems

(\*) see <https://www.internic.net/domain/named.root>

Each root server knows  
the address of all TLD servers

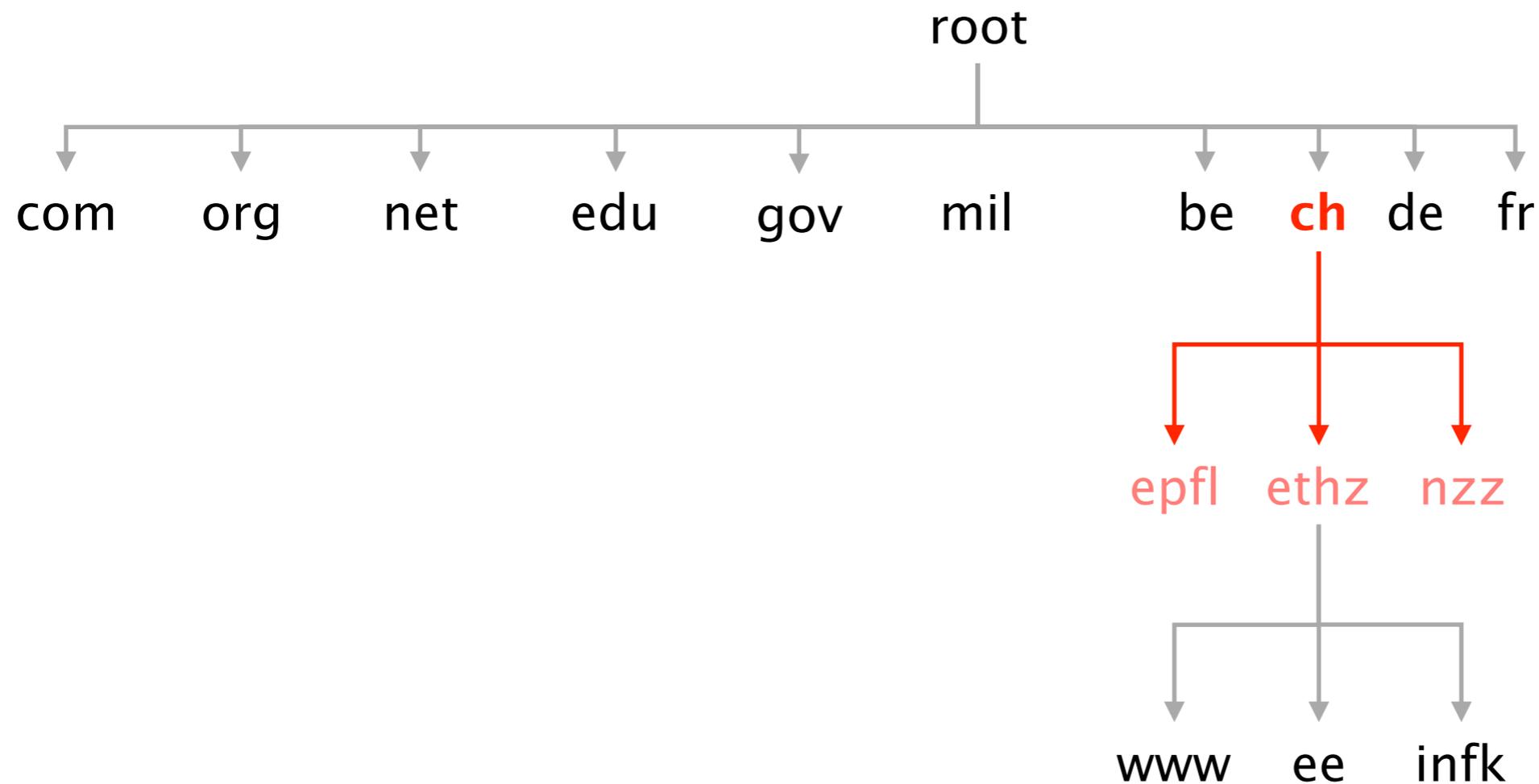


```
lvanbever:~$ dig @a.root-servers.net ch.
```

```
ch.          172800  IN      NS      a.nic.ch.
ch.          172800  IN      NS      b.nic.ch.
ch.          172800  IN      NS      c.nic.ch.
ch.          172800  IN      NS      d.nic.ch.
ch.          172800  IN      NS      e.nic.ch.
ch.          172800  IN      NS      f.nic.ch.
ch.          172800  IN      NS      h.nic.ch.
```

From there on,  
each server knows the address of all children

Any .ch DNS server knows  
the addresses of all sub-domains



To scale,  
DNS adopt **three** intertwined hierarchies

naming structure

addresses are hierarchical

<https://www.ee.ethz.ch/de/departement/>

management

hierarchy of authority  
over names

infrastructure

hierarchy of DNS servers

To ensure availability, each domain must have at least a primary and secondary DNS server

Ensure name service availability  
as long as one of the servers is up

DNS queries can be load-balanced  
across the replicas

On timeout, client use alternate servers  
exponential backoff when trying the same server

# Overall, the DNS system is highly scalable, available, and extensible

scalable	#names, #updates, #lookups, #users, but also in terms of administration
available	domains replicate independently of each other
extensible	any level (including the TLDs) can be modified independently

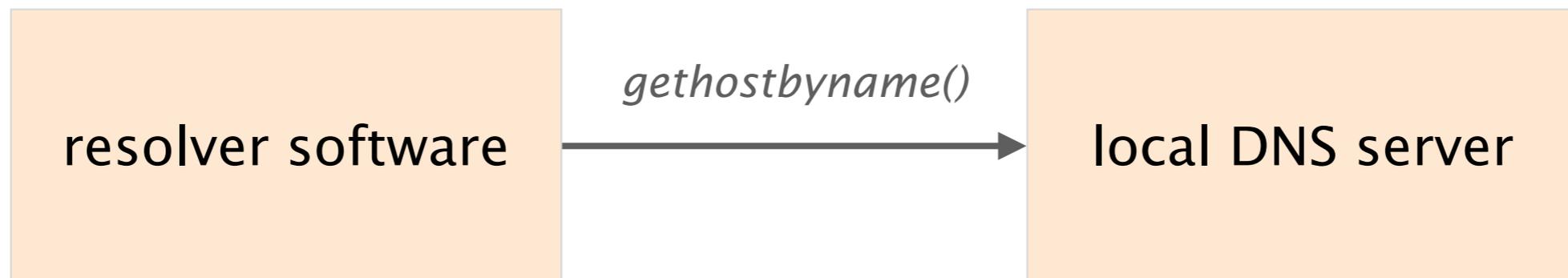
You've founded next-startup.ch and want to host it yourself, how do you insert it into the DNS?

You register next-startup.ch at a registrar *X*  
*e.g.* Swisscom or GoDaddy

Provide *X* with the name and IP of your DNS servers  
*e.g.*, [ns1.next-startup.ch,129.132.19.253]

You set-up a DNS server @129.132.19.253  
define A records for www, MX records for next-startup.ch...

# Using DNS relies on two components



trigger resolution process  
send request to local DNS server

usually, near the endhosts  
configured statically (`resolv.conf`)  
or dynamically (DHCP)

DNS query and reply uses UDP (port 53),  
reliability is implemented by repeating requests (\*)

(\*) see Book (Section 5)

A DNS server stores Resource Records composed of a (name, value, type, TTL)

Records	Name	Value
A	hostname	IP address
NS	domain	DNS server name
MX	domain	Mail server name
CNAME	alias	canonical name
PTR	IP address	corresponding hostname

DNS resolution can either be recursive or iterative

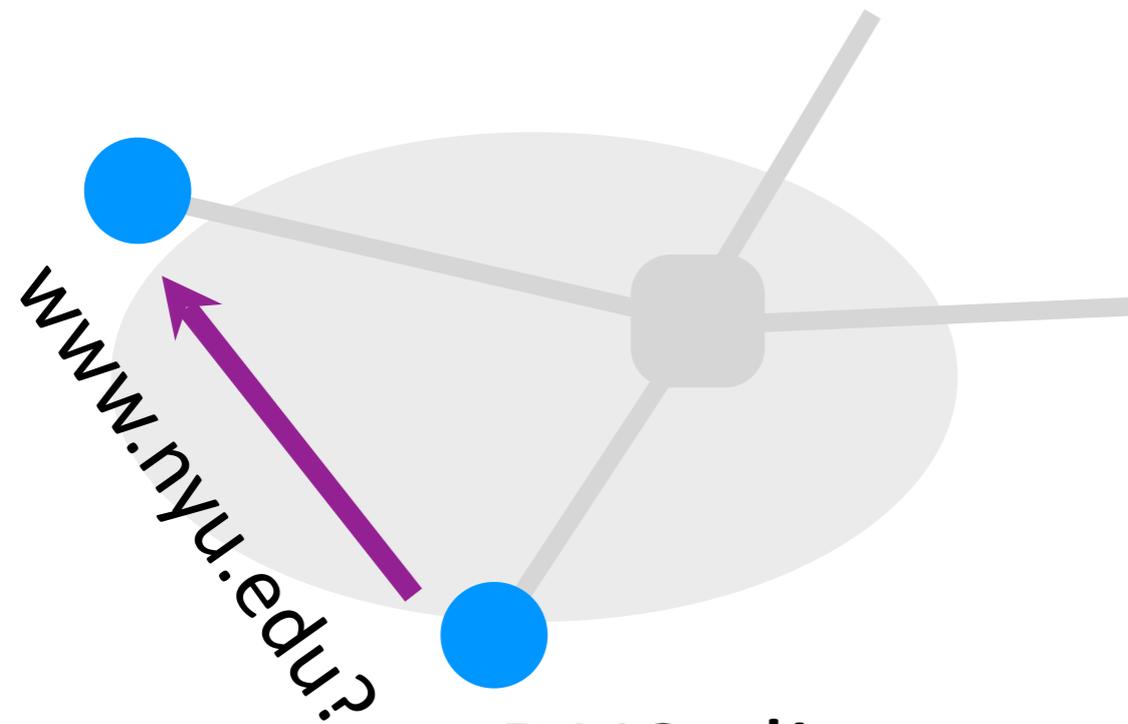
When performing a recursive query,  
the client offload the task of resolving to the server

local  
DNS server  
(dns1.ethz.ch)

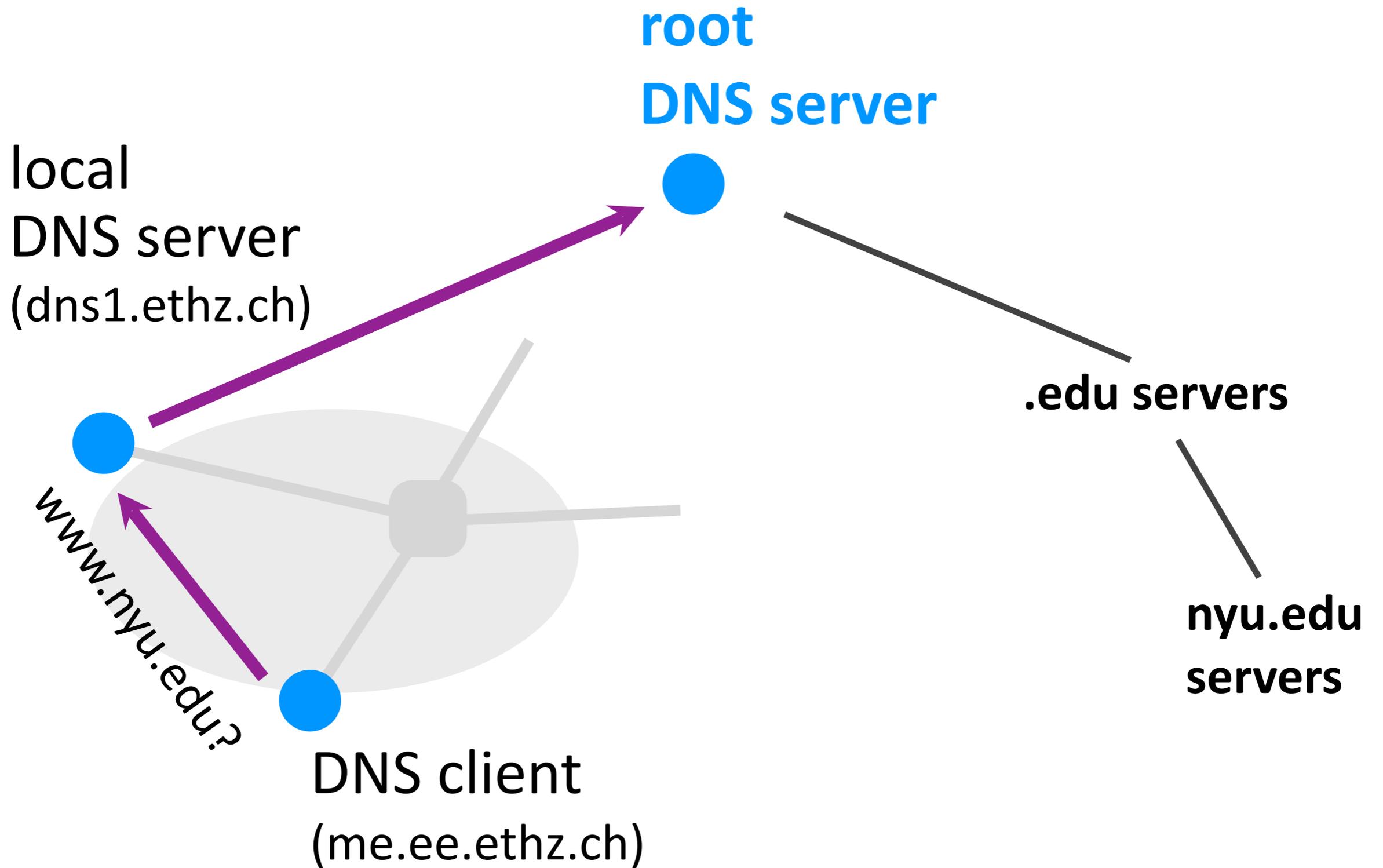
root servers

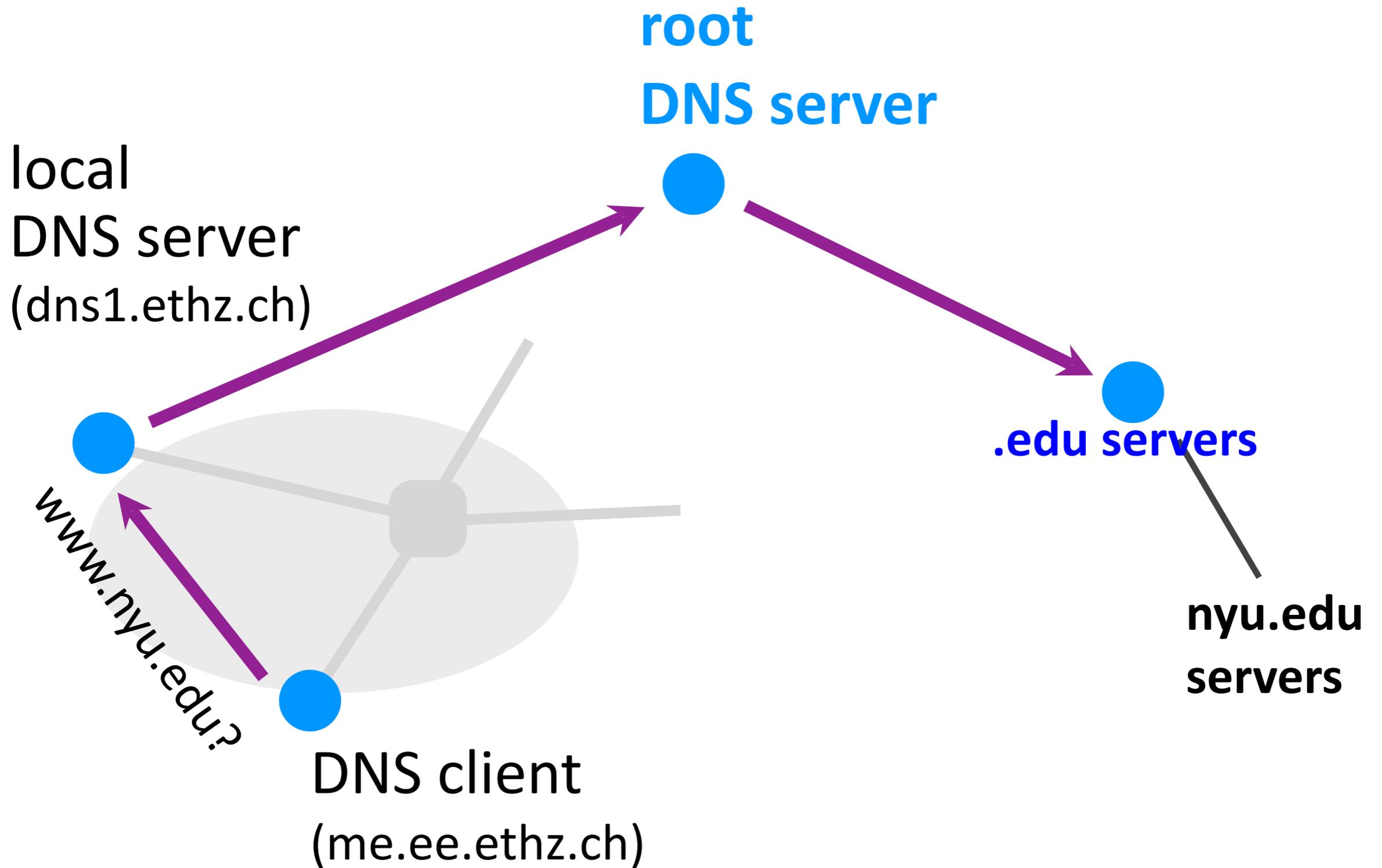
.edu servers

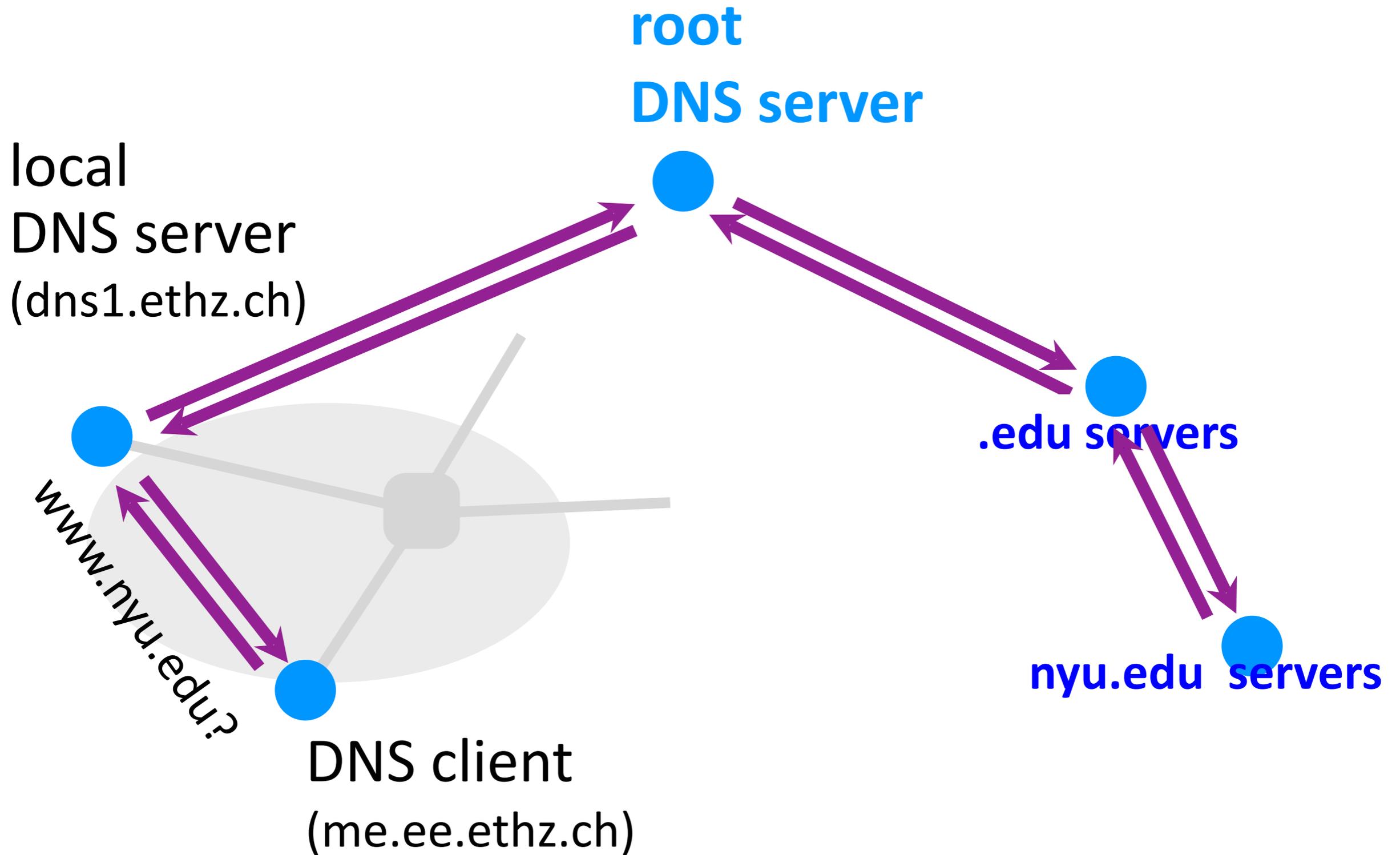
nyu.edu  
servers



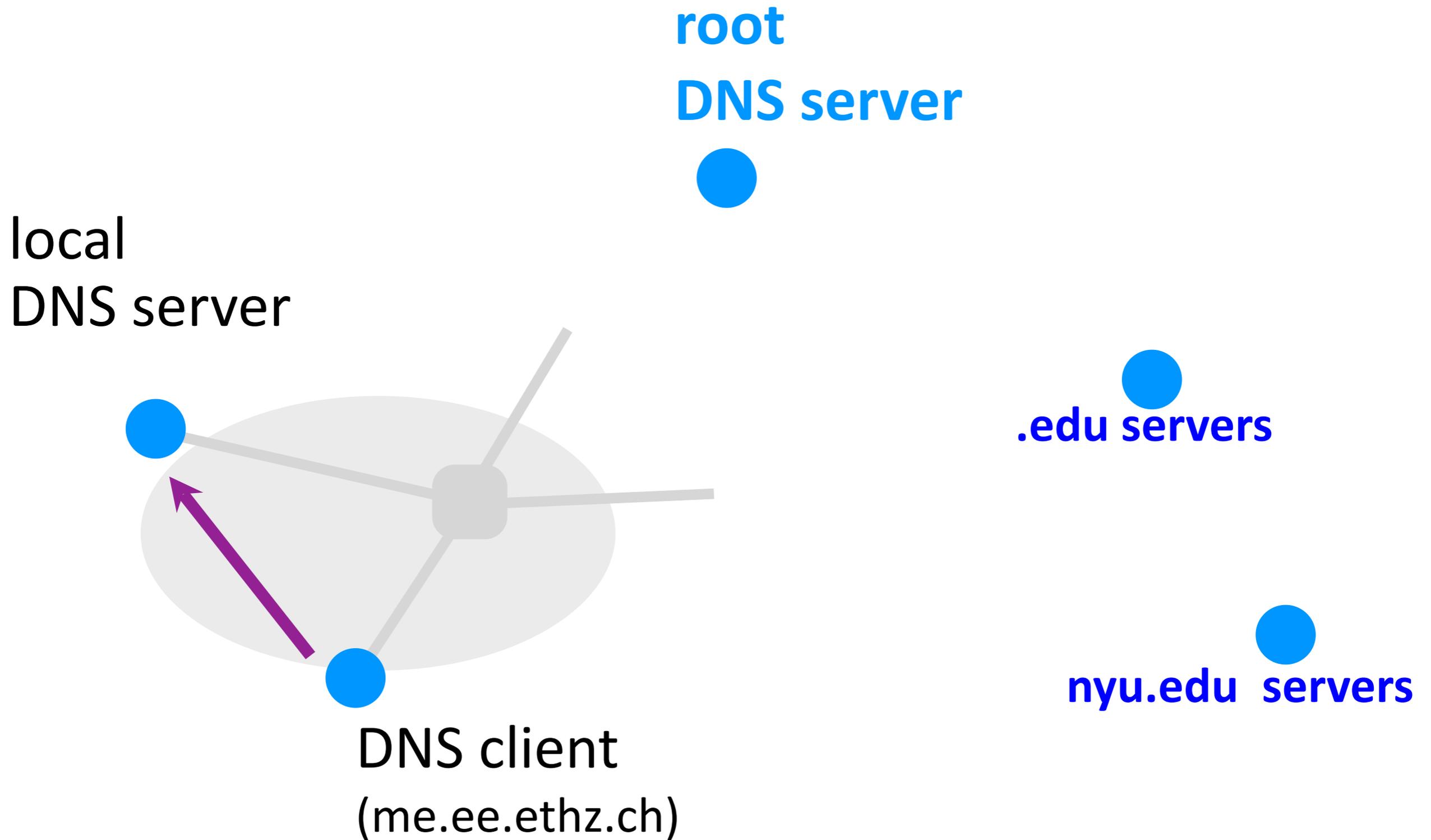
DNS client  
(me.ee.ethz.ch)

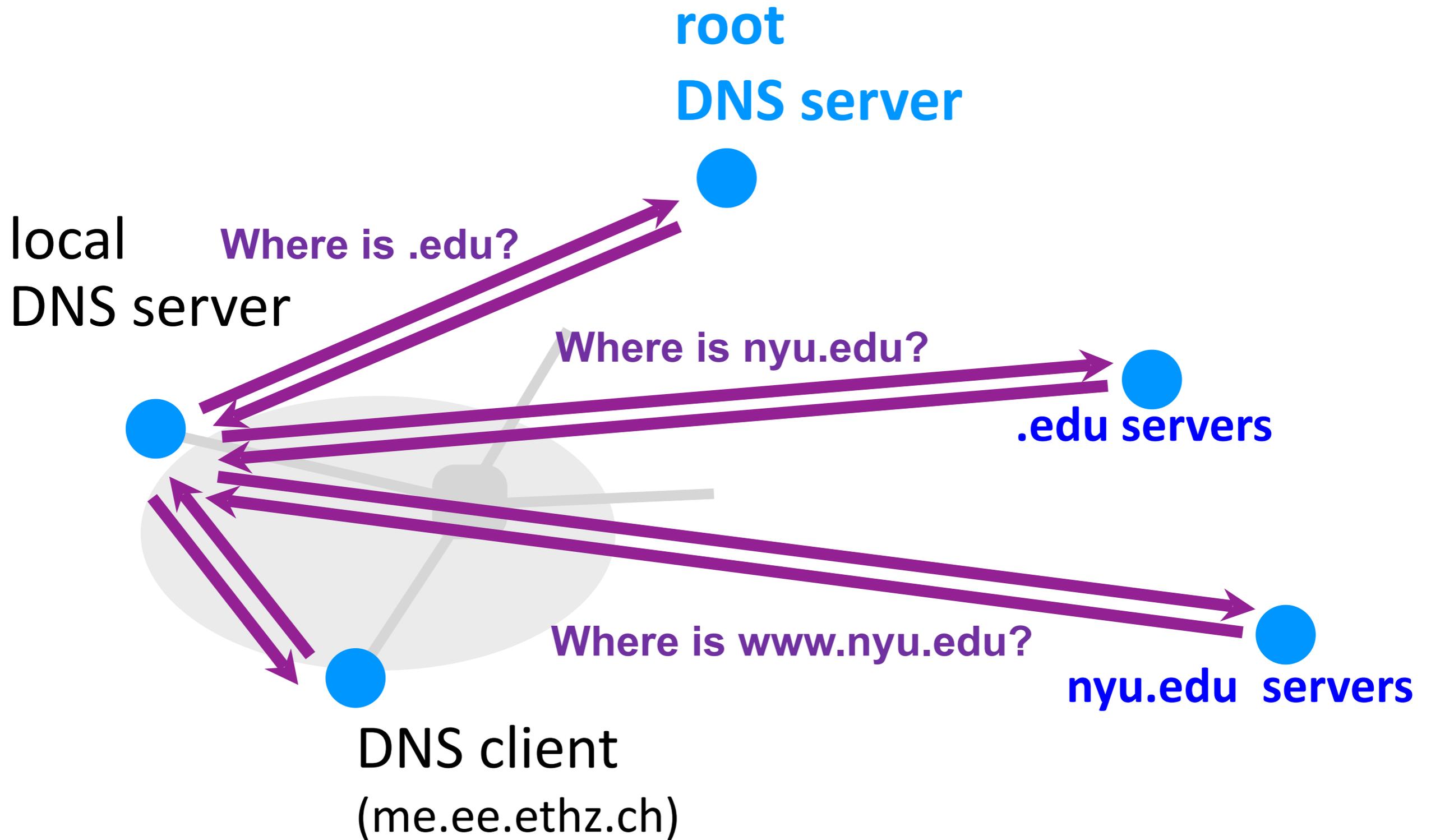






When performing a iterative query, the server only returns the address of the next server to query





To reduce resolution times,  
DNS relies on caching

DNS servers cache responses to former queries  
*and your client and the applications (!)*

Authoritative servers associate a lifetime to each record  
Time-To-Live (TTL)

DNS records can only be cached for TTL seconds  
after which they must be cleared

As top-level servers rarely change & popular website visited often, caching is **very effective** (\*)

Top 10% of names account for 70% of lookups

9% of lookups are unique

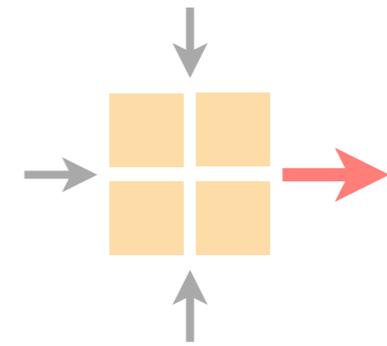
Limit cache hit rate to 91%

Practical cache hit rates **~75%**

(\*) see <https://pdos.csail.mit.edu/papers/dns:ton.pdf>

# Communication Networks

Spring 2019



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April 15 2019