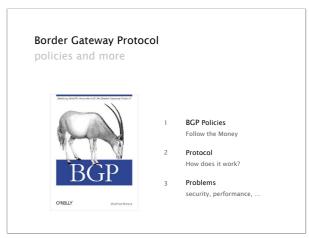
# **Communication Networks**

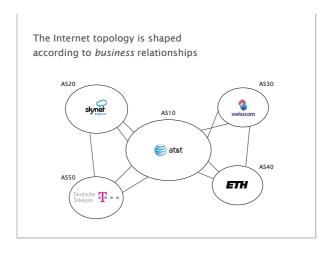
Prof. Laurent Vanbever



Last week on
Communication Networks



# Border Gateway Protocol policies and more 1 BGP Policies Follow the Money Protocol How does it work? Problems security, performance, ...



There are 2 main business relationships today:

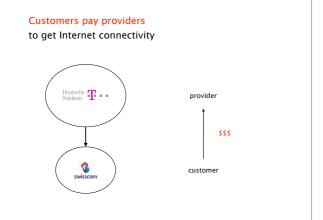
customer/provider

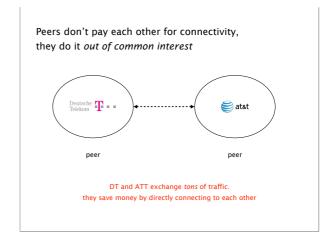
peer/peer

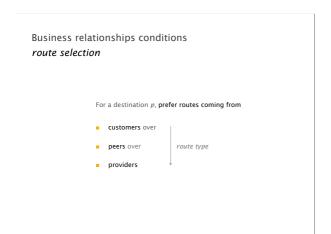
many less important ones (siblings, backups,...)

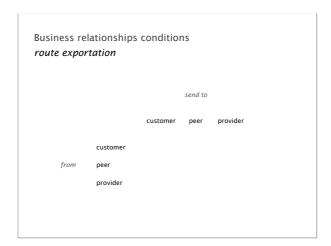
There are 2 main business relationships today:

customer/provider
peer/peer

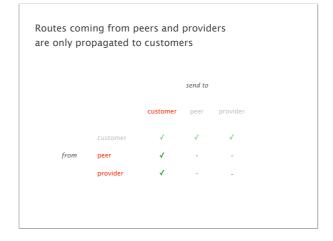


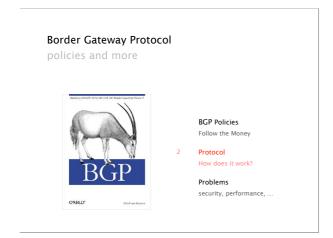


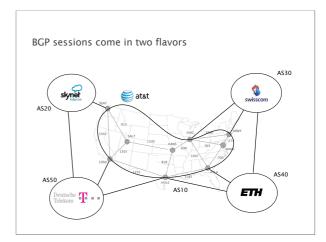




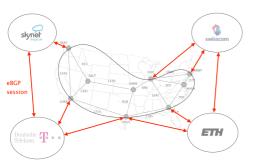


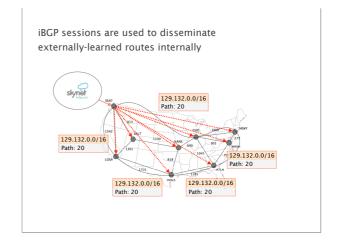






# external BGP (eBGP) sessions connect border routers in different ASes





BGP UPDATEs carry an IP prefix together with a set of attributes

IP prefix Attributes Describe route properties used in route selection/exportation decisions are either local (only seen on iBGP) or global (seen on iBGP and eBGP)

Attributes Usage egress point identification NEXT-HOP AS-PATH outbound traffic control inbound traffic control LOCAL-PREF outbound traffic control MED inbound traffic control

Prefer routes...

with higher LOCAL-PREF with shorter AS-PATH length

with lower MED

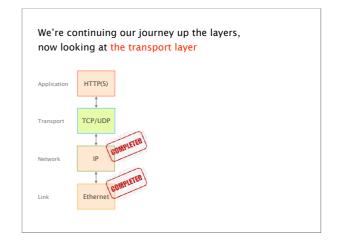
learned via eBGP instead of iBGP with lower IGP metric to the next-hop

with smaller egress IP address (tie-break)

**Border Gateway Protocol** policies and more **BGP** Policies Follow the Money Protocol How does it work? Problems security, performance,

This week on

**Communication Networks** 



### What do we need in the Transport layer?

Functionality implemented in network

• Keep minimal (easy to build, broadly applicable)

Functionality implemented in the application

- Keep minimal (easy to write)
- · Restricted to application-specific functionality

Functionality implemented in the "network stack"

- · The shared networking code on the host
- · This relieves burden from both app and network
- · The transport layer is a key component here

# What do we need in the Transport layer?

### Application layer

- · Communication for specific applications
- e.g., HyperText Transfer Protocol (HTTP), File Transfer Protocol (FTP)

### Network layer

- · Global communication between hosts
- · Hides details of the link technology
- e.g., Internet Protocol (IP)

### What Problems Should Be Solved Here?

### Data delivering, to the correct application

- · IP just points towards next protocol
- Transport needs to demultiplex incoming data (ports)

Files or bytestreams abstractions for the applications

- · Network deals with packets
- Transport layer needs to translate between them

Reliable transfer (if needed)

Not overloading the receiver

Not overloading the network

### What Is Needed to Address These?

Demultiplexing: identifier for application process

Going from host-to-host (IP) to process-to-process

Translating between bytestreams and packets:

· Do segmentation and reassembly

Reliability: ACKs and all that stuff

Corruption: Checksum

Not overloading receiver: "Flow Control"

· Limit data in receiver's buffer

Not overloading network: "Congestion Control"

## **UDP: Datagram messaging service**

UDP provides a connectionless, unreliable transport service

- No-frills extension of "best-effort" IP
- UDP provides only two services to the App layer
  - Multiplexing/Demultiplexing among processes
- · Discarding corrupted packets (optional)

## TCP: Reliable, in-order delivery

TCP provides a connection-oriented, reliable, bytestream transport service

### What UDP provides, plus:

- Retransmission of lost and corrupted packets
- Flow control (to not overflow receiver)
- Congestion control (to not overload network)
- "Connection" set-up & tear-down

### **Connections (or sessions)**

Reliability requires keeping state

- · Sender: packets sent but not ACKed, and related timers
- · Receiver: noncontiguous packets

Each bytestream is called a connection or session

- Each with their own connection state
- · State is in hosts, not network!

### What transport protocols do not provide

Delay and/or bandwidth guarantees

- This cannot be offered by transport
- Requires support at IP level (and let's not go there)

Sessions that survive change-of-IP-address

- · This is an artifact of current implementations
- As we shall see....

### **Important Context: Sockets and Ports**

Sockets: an operating system abstraction

Ports: a networking abstraction

- This is not a port on a switch (which is an interface)
- Think of it as a logical interface on a host

### **Sockets**

A socket is a software abstraction by which an application process exchanges network messages with the (transport layer in the) operating system

- socketID = socket(..., socket.TYPE)
- socketID.sendto(message, ...)
- socketID.recvfrom(...)

Two important types of sockets

- UDP socket: TYPE is SOCK\_DGRAM
- TCP socket: TYPE is SOCK\_STREAM

### **Ports**

Problem: which app (socket) gets which packets

Solution: port as transport layer identifier (16 bits)

 Packet carries source/destination port numbers in transport header

OS stores mapping between sockets and ports

- · Port: in packets
- Socket: in OS

### **More on Ports**

Separate 16-bit port address space for UDP, TCP

"Well known" ports (0-1023)

- · Agreement on which services run on these ports
- e.g., ssh:22, http:80
- · Client (app) knows appropriate port on server
- · Services can listen on well-known port

Ephemeral ports (most 1024-65535):

· Given to clients (at random)

## **Multiplexing and Demultiplexing**

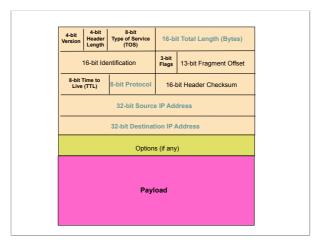
Host receives IP datagrams

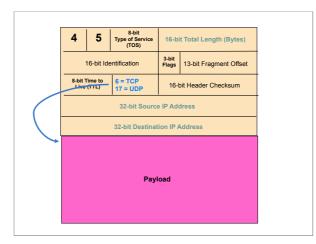
- Each datagram has source and destination IP address,
- Each segment has source and destination port number

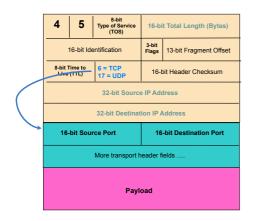
Host uses IP addresses and port numbers to direct the segment to appropriate socket

→ 32 bits →				
source port #	dest port #			
other header fields				
application data (message)				

4	5	8-bit Type of Service (TOS)	16-bit Total Length (Bytes)		
16-bit Identification		3-bit Flags	13-bit Fragment Offset		
	ime to (TTL)	8-bit Protocol	16-bit Header Checksum		
32-bit Source IP Address					
32-bit Destination IP Address					
		Payl	oad		







### **Connection Mappings**

For UDP ports (SOCK\_DGRAM)

OS stores (local port, local IP address) ←→ socket

For TCP ports (SOCK STREAM)

OS stores (local port, local IP, remote port, remote IP) ←→ socket

Why the difference?

Implications for mobility

Why do you need to include local IP?

# **UDP**

# **UDP: User Datagram Protocol**

Lightweight communication between processes

- · Avoid overhead and delays of ordered, reliable delivery
- · Send messages to and receive them from a socket

UDP described in RFC 768 - (1980!)

- IP plus port numbers to support (de)multiplexing
- · Optional error checking on the packet contents
- (checksum field = 0 means "don't verify checksum")

SRC port	DST port	
checksum	length	
DATA		

## Why Would Anyone Use UDP?

Finer control over what data is sent and when

- As soon as an application process writes into the socket
- ... UDP will package the data and send the packet

No delay for connection establishment

- UDP just blasts away without any formal preliminaries
- ... which avoids introducing any unnecessary delays

No connection state

- No allocation of buffers, sequence #s, timers ...
- ... making it easier to handle many active clients at once

Small packet header overhead

• UDP header is only 8 bytes

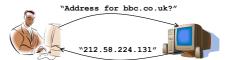
## **Popular Applications That Use UDP**

Some interactive streaming apps

- Retransmitting lost/corrupted packets often pointless: by the time the packet is retransmitted, it's too late
- telephone calls, video conferencing, gaming...
- Modern streaming protocols using TCP (and HTTP)

Simple query protocols like Domain Name System (DNS)

- Connection establishment overhead would double cost
- Easier to have application retransmit if needed



# TCP

### **Transmission Control Protocol (TCP)**

Reliable, in-order delivery (previously, but quick review)

- Ensures byte stream (eventually) arrives intact
- In the presence of corruption and loss

Connection oriented (today)

Explicit set-up and tear-down of TCP session

Full duplex stream-of-bytes service (today)

• Sends and receives a stream of bytes, not messages

Flow control (previously, but quick review)

Ensures that sender doesn't overwhelm receiver

Congestion control (next week)

Dynamic adaptation to network path's capacity

### **Basic Components of Reliability**

### ACKs

- Can't be reliable without knowing whether data has arrived
- TCP uses byte sequence numbers to identify payloads

### Checksums

- · Can't be reliable without knowing whether data is corrupted
- TCP does checksum over TCP and pseudoheader

### Timeouts and retransmissions

- Can't be reliable without retransmitting lost/corrupted data
- TCP retransmits based on timeouts and duplicate ACKs
- Timeout based on estimate of RTT

### **Other TCP Design Decisions**

Sliding window flow control

· Allow W contiguous bytes to be in flight

Cumulative acknowledgements

Selective ACKs (full information) also supported (ignore)

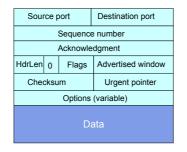
Single timer set after each payload is ACKed

- · Timer is effectively for the "next expected payload"
- · When timer goes off, resend that payload and wait
- · And double timeout period

Various tricks related to "fast retransmit"

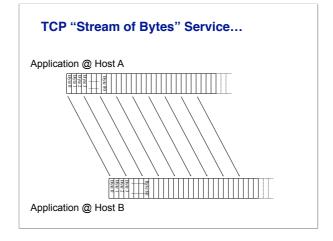
· Using duplicate ACKs to trigger retransmission

### **TCP Header**



# TCP Header Source port Destination port Sequence number Acknowledgment HdrLen 0 Flags Advertised window Checksum Urgent pointer Options (variable) Data

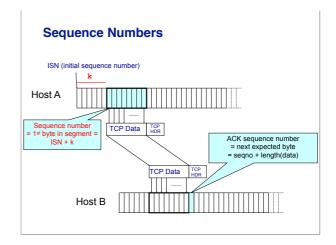
# **Segments and Sequence Numbers**



# Host A Segment sent when: 1. Segment full (Max Segment Size), 2. Not full, but times out

# TCP Segment IP Data TCP Data (segment) IP packet No bigger than Maximum Transmission Unit (MTU) E.g., up to 1500 bytes with Ethernet TCP packet IP packet with a TCP header and data inside TCP header ≥ 20 bytes long TCP segment No more than Maximum Segment Size (MSS) bytes E.g., up to 1460 consecutive bytes from the stream MSS = MTU - (IP header) - (TCP header)

# Sequence Numbers ISN (initial sequence number) k bytes Host A



### **ACKing and Sequence Numbers**

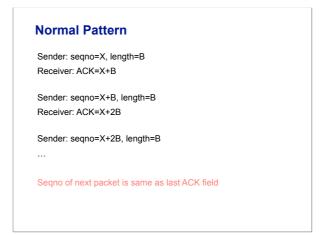
Sender sends packet

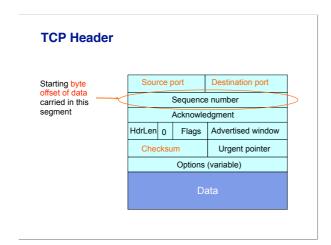
= 1st byte in segment = ISN + k

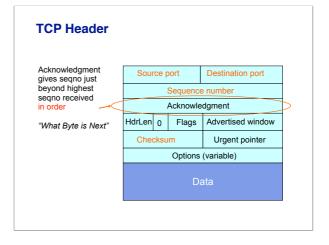
- Data starts with sequence number X
- · Packet contains B bytes
- X, X+1, X+2, ....X+B-1

Upon receipt of packet, receiver sends an ACK

- · If all data prior to X already received:
- ACK acknowledges X+B (because that is next expected byte)
- If highest contiguous byte received is smaller value Y
- ACK acknowledges Y+1
- Even if this has been ACKed before







# Source port Destination port Sequence number Acknowledgment HdrLen 0 Flags Advertised window Checksum Urgent pointer Options (variable) Data

# Sliding Window Flow Control Advertised Window: W Can send W bytes beyond the next expected byte Receiver uses W to prevent sender from overflowing buffer Limits number of bytes sender can have in flight

### **Filling the Pipe**

Simple example:

- · W (in bytes), which we assume is constant
- · RTT (in sec), which we assume is constant
- B (in bytes/sec)

How fast will data be transferred?

### If W/RTT < B, the transfer has speed W/RTT

If W/RTT > B, the transfer has speed B

### **Advertised Window Limits Rate**

Sender can send no faster than W/RTT bytes/sec

Receiver only advertises more space when it has consumed old arriving data

In original TCP design, that was the **sole** protocol mechanism controlling sender's rate

What's missing?

### **Implementing Sliding Window**

Both sender & receiver maintain a window

Sender: not yet ACK'ed

· Receiver: not yet delivered to application

### Left edge of window:

- Sender: beginning of unacknowledged data
- Receiver: beginning of undelivered data

### For the sender

• Window size = maximum amount of data in flight

### For the receiver:

• Window size = maximum amount of undelivered data

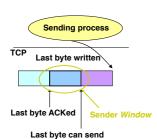
# Allow a larger amount of data "in flight" • Allow sender to get ahead of the receiver • ... though not too far ahead Sending process TCP Last byte written TCP Last byte read Next/byte needed

Last byte received

Last byte can send Receiver Window

## **Sliding Window**

For the sender, when receives an acknowledgment for new data, window advances (*slides* forward)

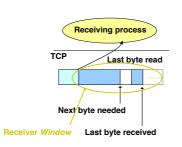


Lust byte out octio

# Soliding Window For the sender, when receives an acknowledgment for new data, window advances (slides forward) Sending process TCP Last byte written Last byte ACKed Sender Window Last byte can send

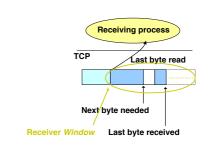
### Sliding Window

For the receiver, as the receiving process consumes data, the window slides forward



### Sliding Window

For the receiver, as the receiving process consumes data, the window slides forward



### **Sliding Window Summary**

Sender: window advances when new data ack'd

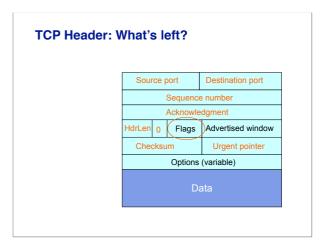
Receiver: window advances as receiving process consumes data

Receiver advertises to the sender where the receiver window currently ends ("righthand edge")

- · Sender agrees not to exceed this amount
- It makes sure by setting its own window size to a value that can't send beyond the receiver's righthand edge

# "Must Be Zero" 6 bits reserved Number of 4-byte words in TCP header; 5 = no options Source port Destination port Sequence number Acknowledgment HdrLen 0 Flags Advertised window Urgent pointer Options (variable) Data

# Used with URG flag to indicate urgent data (not discussed further) Source port Sequence number Acknowledgment HidrLen 0 Flags Advertised window Checksum Urgent pointer Options (variable) Data



# TCP Connection Establishment and Initial Sequence Numbers

## **Initial Sequence Number (ISN)**

Sequence number for the very first byte

• E.g., Why not just use ISN = 0?

### Practical issue

- IP addresses and port #s uniquely identify a connection
- Eventually, though, these port #s do get used again
- ... small chance an old packet is still in flight

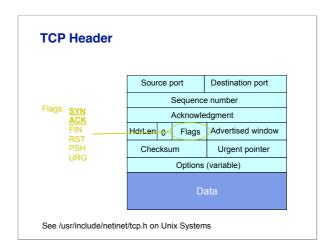
TCP therefore requires changing ISN

- initially set from 32-bit clock that ticks every 4 microseconds
- now drawn from a pseudo random number generator (security)

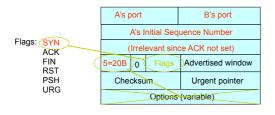
To establish a connection, hosts exchange ISNs

· How does this help?

# Establishing a TCP Connection A SYN SYN ACK B its ISN to the other host. Three-way handshake to establish connection • Host A sends a SYN (open; "synchronize sequence numbers") • Host B returns a SYN acknowledgment (SYN ACK) • Host A sends an ACK to acknowledge the SYN ACK



### Step 1: A's Initial SYN Packet



A tells B it wants to open a connection...

# Step 2: B's SYN-ACK Packet B's port A's port B's Initial Sequence Number ACK = A's ISN plus 1 FIN 20B 0° Flags Advertised window

RST

LIRG

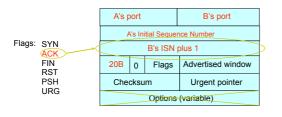
B tells A it accepts, and is ready to hear the next byte...
... upon receiving this packet, A can start sending data

Checksum

Urgent pointer

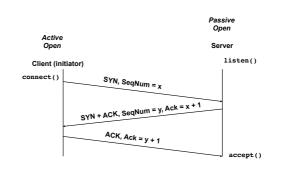
Options (variable)

### Step 3: A's ACK of the SYN-ACK



A tells B it's likewise okay to start sending ... upon receiving this packet, B can start sending data

# Timing Diagram: 3-Way Handshaking



## What if the SYN Packet Gets Lost?

Suppose the SYN packet gets lost

- Packet is lost inside the network, or:
- Server discards the packet (e.g., listen queue is full)

Eventually, no SYN-ACK arrives

- Sender sets a timer and waits for the SYN-ACK
- ... and retransmits the SYN if needed

How should the TCP sender set the timer?

- Sender has no idea how far away the receiver is
- Hard to guess a reasonable length of time to wait
- SHOULD (RFCs 1122 & 2988) use default of 3 seconds
- Other implementations instead use 6 seconds

### **SYN Loss and Web Downloads**

User clicks on a hypertext link

- Browser creates a socket and does a "connect"
- The "connect" triggers the OS to transmit a SYN

If the SYN is lost...

- 3-6 seconds of delay: can be very long
- User may become impatient
- ... and click the hyperlink again, or click "reload"

User triggers an "abort" of the "connect"

- Browser creates a new socket and another "connect"
- Essentially, forces a faster send of a new SYN packet!
- Sometimes very effective, and the page comes quickly

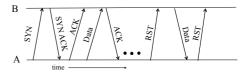
### **Tearing Down the Connection**

# Normal Termination, One Side At A Time B A Finish (FIN) to close and receive remaining bytes FIN occupies one octet in the sequence space Other host ack's the octet to confirm Closes A's side of the connection, but not B's Until B likewise sends a FIN Which A then acks Connection now closed Connection now half-closed Connection now half-closed Noted reincamation B will retransmit FIN if ACK is lost

# **Normal Termination, Both Together**

Same as before, but B sets FIN with their ack of A's FIN SYNACK

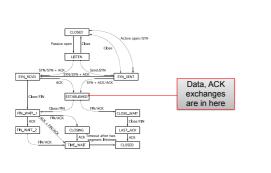
# **Abrupt Termination**



A sends a RESET ( $\mbox{\bf RST})$  to B

- E.g., because app. process on A crashed That's it
- . B does not ack the RST
- Thus, **RST** is not delivered reliably
- And: any data in flight is lost
- But: if B sends anything more, will elicit another RST

### **TCP State Transitions**



# **Reliability: TCP Retransmission**

### **Timeouts and Retransmissions**

Reliability requires retransmitting lost data

Involves setting timer and retransmitting on timeout

TCP resets timer whenever new data is ACKed

Retx of packet containing "next byte" when timer goes off

## **Example**

Arriving ACK expects 100

Sender sends packets 100, 200, 300, 400, 500

Timer set for 100

Arriving ACK expects 300

• Timer set for 300

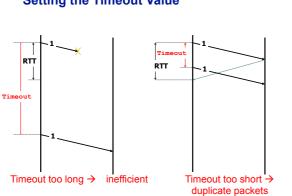
Timer goes off

· Packet 300 is resent

Arriving ACK expects 600

- Packet 600 sent
- Timer set for 600

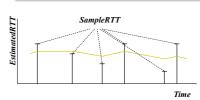
# **Setting the Timeout Value**



# **RTT Estimation**

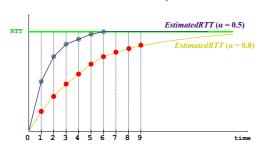
Use exponential averaging of RTT samples

SampleRTT= AckRcvdTime- SendPacketTime  $\textit{EstimatedRTT} = \alpha \times \textit{EstimatedRTT} + (1 - \alpha) \times \textit{SampleRTT}$  $0 < \alpha \le 1$ SampleRTT



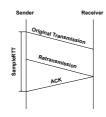
## **Exponential Averaging Example**

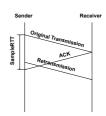
EstimatedRTT =  $\alpha$ \*EstimatedRTT +  $(1 - \alpha)$ \*SampleRTT Assume RTT is constant → SampleRTT = RTT



### **Problem: Ambiguous Measurements**

How do we differentiate between the real ACK, and ACK of the retransmitted packet?





### Karn/Partridge Algorithm

Measure SampleRTT only for original transmissions

- Once a segment has been retransmitted, do not use it for any further measurements
- Computes EstimatedRTT using  $\alpha = 0.875$

Timeout value (RTO) = 2 × EstimatedRTT

Use exponential backoff for repeated retransmissions

- Every time RTO timer expires, set RTO ← 2·RTO
  - (Up to maximum ≥ 60 sec)
- Every time new measurement comes in (= successful original transmission), collapse RTO back to 2 × EstimatedRTT

# Karn/Partridge in action

Figure 5: Performance of an RFC793 retransmit time



from Jacobson and Karels, SIGCOMM 1988

## This is all very interesting, but.....

Implementations often use a coarse-grained timer

• 500 msec is typical

### So what?

- · Above algorithms are largely irrelevant
- · Incurring a timeout is expensive

So we rely on duplicate ACKs

### Loss with cumulative ACKs

Sender sends packets with 100B and seqnos.:

• 100, 200, 300, 400, 500, 600, 700, 800, 900, ...

Assume the fifth packet (segno 500) is lost, but no others

Stream of ACKs will be:

• 200, 300, 400, 500, 500, 500, 500,...

### Loss with cumulative ACKs

"Duplicate ACKs" are a sign of an isolated loss

- The lack of ACK progress means 500 hasn't been delivered
- Stream of ACKs means some packets are being delivered

Therefore, could trigger resend upon receiving k duplicate ACKs

TCP uses k=3

We will revisit this in congestion control

# Communication Networks

Spring 2018





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FTH Zürich (D-ITFT) April 23 2018